#### SPROWLS ROBERT J

Form 5

February 03, 2009

## FORM 5

OMB APPROVAL

OMB Number: 3235-0362 January 31,

Expires: 2005

Estimated average burden hours per response... 1.0

to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box if

no longer subject

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940

Form 4 Transactions Reported

| 1. Name and Add<br>SPROWLS Re | *        | ng Person * | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>AMERICAN STATES WATER CO<br>[AWR] | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)    |  |  |  |
|-------------------------------|----------|-------------|--|---|--|--|--|
| (Last) 630 E FOOTH            | (First)  | (Middle)    | 3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2008                    | Director 10% Owner Selfow) Other (specify below) Sr. Vice President Finance |  |  |  |
|                               | (Street) |             | 4. If Amendment, Date Original Filed(Month/Day/Year)                                       | 6. Individual or Joint/Group Reporting  (check applicable line)             |  |  |  |

### SAN DIMAS, CAÂ 91773

\_X\_ Form Filed by One Reporting Person \_\_\_ Form Filed by More than One Reporting Person

| (City)                               | (State)                           | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |   |                  |             |   |   |   |
|--------------------------------------|-----------------------------------|--|---|---|------------------|-------------|---|---|---|
| 1.Title of<br>Security<br>(Instr. 3) | rity (Month/Day/Year) Execution 1 |  | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |                  |             | 5. Amount of<br>Securities<br>Beneficially<br>Owned at end<br>of Issuer's | 6. Ownership Form: Direct (D) or Indirect | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|                                      |                                   |  |   | Amount  | (A)<br>or<br>(D) | Price       | Fiscal Year (Instr. 3 and 4)  | (I)<br>(Instr. 4)                         |   |
| Common<br>Stock                      | 03/01/2008                        | Â  | A                                       | 25.1285<br>(1)  | A                | \$<br>32.55 | 4,130.9025  | D   | Â   |
| Common<br>Stock                      | 06/01/2008                        | Â  | A                                       | 24.4215<br>(2)  | A                | \$<br>33.75 | 4,155.324   | D   | Â   |
| Common<br>Stock                      | 09/01/2008                        | Â  | A                                       | 21.0157<br>(3)  | A                | \$<br>39.51 | 4,176.3397  | D   | Â   |
| Common<br>Stock                      | 12/01/2008                        | Â  | A                                       | 26.2103<br>(4)  | A                | \$<br>31.88 | 4,202.55  | D   | Â   |
|                                      | 12/31/2008                        | Â  | A                                       |   | A                | \$0         | 3,131.7872  | I   | 401(k)  |

### Edgar Filing: SPROWLS ROBERT J - Form 5

Common 727.8576 Stock (5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

> of D

> O

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.          | 5.         | 6. Date Exerc   | cisable and | 7. Title  | and          | 8. Price of |
|-------------|-------------|---------------------|--------------------|-------------|------------|-----------------|-------------|-----------|--------------|-------------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction | Number     | Expiration D    | ate         | Amount    | t of         | Derivative  |
| Security    | or Exercise |                     | any                | Code        | of         | (Month/Day/     | Year)       | Underly   | ing          | Security    |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)  | Derivative | e               |             | Securiti  | es           | (Instr. 5)  |
|             | Derivative  |                     |                    |             | Securities |                 |             | (Instr. 3 | and 4)       |             |
|             | Security    |                     |                    |             | Acquired   | quired          |             |           |              |             |
|             |             |                     |                    |             | (A) or     |                 |             |           |              |             |
|             |             |                     |                    |             | Disposed   |                 |             |           |              |             |
|             |             |                     |                    |             | of (D)     |                 |             |           |              |             |
|             |             |                     |                    |             | (Instr. 3, |                 |             |           |              |             |
|             |             |                     |                    |             | 4, and 5)  |                 |             |           |              |             |
|             |             |                     |                    |             |            |                 |             |           | \            |             |
|             |             |                     |                    |             |            |                 |             |           | Amount       |             |
|             |             |                     |                    |             |            | Date Expiration |             |           | or<br>Number |             |
|             |             |                     |                    |             |            | Exercisable     | Date        | nue N     |              |             |
|             |             |                     |                    |             | (A) (D)    |                 |             |           |              |             |
|             |             |                     |                    |             | (A) (D)    |                 |             | S         | Shares       |             |

## **Reporting Owners**

| Reporting Owner Name / Address | Relationships |
|--------------------------------|---------------|
|--------------------------------|---------------|

Director 10% Owner Officer Other

SPROWLS ROBERT J 630 E FOOTHILL BLVD SAN DIMAS, CAÂ 91773

 $\hat{A}$   $\hat{A}$   $\hat{A}$  Sr. Vice President Finance  $\hat{A}$ 

## **Signatures**

/s/ Robert J. 02/03/2009 Sprowls

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) DER units credited on 03/01/2008 as dividends at FMV
- (2) DER units credited on 06/01/2008 as dividends at FMV
- (3) DER units credited on 09/01/2008 as dividends at FMV
- (4) DER units credited on 12/01/2008 as dividends at FMV
- (5) Updated 401(k) employer contributions of company stock

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

## Edgar Filing: SPROWLS ROBERT J - Form 5

| Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. |
|---|
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |