

AUTODESK INC
Form 4
August 08, 2007

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Bhatt Jay

(Last) (First) (Middle)
111 MCINNIS PARKWAY
(Street)

SAN RAFAEL, CA 94903

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
AUTODESK INC [ADSK]

3. Date of Earliest Transaction
(Month/Day/Year)
08/06/2007

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
X Officer (give title below) ___ Other (specify below)
Sr. VP, AEC

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | | (A) or (D) | Code V Amount (D) Price | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security | 2. Conversion or Exercise | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any | 4. Transaction Code | 5. Number of Derivative Securities Acquired | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title of Underlying Security (Instr. 3 & 4) |
|---------------------------------|---------------------------|--------------------------------------|-----------------------------------|---------------------|---|--|--|
| | | | | | | | |

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| (Instr. 3) | Price of Derivative Security | (Month/Day/Year) | (Instr. 8) Code | (A) or Disposed of (D) (Instr. 3, 4, and 5) | | Date Exercisable | Expiration Date | Title |
|---|------------------------------------|------------------|--------------------|---|--------|---------------------------|--------------------|---------------|
| | | | | (A) | (D) | | | |
| Incentive Stock Option (right to buy) | \$ 7.445 | 08/06/2007 | D ⁽¹⁾ | | 5,000 | 08/11/2007 ⁽²⁾ | 08/11/2013 | Comm Stock |
| Non-Qualified Stock Option (right to buy) | \$ 8.105 | 08/06/2007 | A ⁽¹⁾ | 5,000 | | 08/11/2007 ⁽²⁾ | 08/11/2013 | Comm Stock |
| Non-Qualified Stock Option (right to buy) | \$ 7.445 | 08/06/2007 | D ⁽¹⁾ | | 2,364 | 08/11/2006 ⁽³⁾ | 08/11/2013 | Comm Stock |
| Non-Qualified Stock Option (right to buy) | \$ 8.105 | 08/06/2007 | A ⁽¹⁾ | 2,364 | | 08/11/2006 ⁽³⁾ | 08/11/2013 | Comm Stock |
| Incentive Stock Option (right to buy) | \$ 13.405 | 08/06/2007 | D ⁽¹⁾ | | 7,190 | 02/09/2007 ⁽⁴⁾ | 02/09/2014 | Comm Stock |
| Non-Qualified Stock Option (right to buy) | \$ 13.835 | 08/06/2007 | A ⁽¹⁾ | 7,190 | | 02/09/2007 ⁽⁴⁾ | 02/09/2014 | Comm Stock |
| Non-Qualified Stock Option (right to buy) | \$ 13.405 | 08/06/2007 | D ⁽¹⁾ | | 2,810 | 02/09/2007 ⁽⁵⁾ | 02/09/2014 | Comm Stock |
| Non-Qualified Stock Option (right to buy) | \$ 13.835 | 08/06/2007 | A ⁽¹⁾ | 2,810 | | 02/09/2007 ⁽⁵⁾ | 02/09/2014 | Comm Stock |
| Incentive Stock Option (right to buy) | \$ 16.42 | 08/06/2007 | D ⁽¹⁾ | | 2,008 | 04/05/2008 ⁽⁶⁾ | 04/05/2014 | Comm Stock |
| Non-Qualified Stock Option (right to buy) | \$ 17.525 | 08/06/2007 | A ⁽¹⁾ | 2,008 | | 04/05/2008 ⁽⁶⁾ | 04/05/2014 | Comm Stock |
| Non-Qualified Stock Option (right to buy) | \$ 16.42 | 08/06/2007 | D ⁽¹⁾ | | 11,492 | 04/05/2006 ⁽⁷⁾ | 04/05/2014 | Comm Stock |
| Non-Qualified Stock Option (right to buy) | \$ 17.525 | 08/06/2007 | A ⁽¹⁾ | 11,492 | | 04/05/2006 ⁽⁷⁾ | 04/05/2014 | Comm Stock |
| Non-Qualified Stock Option | \$ 18.185 | 08/06/2007 | D ⁽¹⁾ | | 30,000 | 08/13/2006 ⁽⁸⁾ | 08/13/2014 | Comm Stock |

(right to buy)

Non-Qualified

| | | | | | | | |
|--------------|----------|------------|------------------|--------|---------------------------|------------|---------------|
| Stock Option | \$ 21.89 | 08/06/2007 | A ⁽¹⁾ | 30,000 | 08/13/2006 ⁽⁸⁾ | 08/13/2014 | Comm Stock |
|--------------|----------|------------|------------------|--------|---------------------------|------------|---------------|

(right to buy)

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-------------|-------|
| | Director | 10% Owner | Officer | Other |
| Bhatt Jay 111 MCINNIS PARKWAY SAN RAFAEL, CA 94903 | | | Sr. VP, AEC | |

Signatures

| | |
|---|------------|
| Diane Cree, Attorney-in-Fact for Jay Bhatt | 08/06/2007 |
|---|------------|

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reported transactions involved an amendment of an outstanding option resulting in a deemed cancellation of the old option and a grant of a replacement option. See the current report on Form 8-K filed with the SEC by Autodesk, Inc. on July 27, 2007 for more information.

- (2) The option vests over a 4-year period beginning on August 11, 2003, at the rate of 0 shares on the first year anniversary, 2,636 shares on each of the second and third year anniversaries, and 5,000 on the fourth year anniversary.
- (3) The option vests over a 3-year period beginning on August 11, 2003, at the rate of 5,000 shares on the first year anniversary, 2,364 shares on each of the second and third year anniversaries.
- (4) The option vests over a 4-year period beginning on February 9, 2004, at the rate of 0 shares on each of the first and second year anniversaries, 2,190 shares on the third year anniversary, and 5,000 on the fourth year anniversary.
- (5) The option vests over a 3-year period beginning on February 9, 2004, at the rate of 5,000 shares on each of the first and second year anniversaries, and 2,810 shares on the third year anniversary.
- (6) The option vests over a 4-year period beginning on April 5, 2004, at the rate of 0 shares on each of the first, second and third year anniversaries, and 2,008 on the fourth year anniversary.
- (7) The option vests over a 4-year period beginning on April 5, 2004, at the rate of 5,000 shares on each of the first, second and third year anniversaries, and 2,992 on the fourth year anniversary.
- (8) The option vests in four equal annual installments of 10,000 shares each beginning on August 13, 2004.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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