

NYSE Group, Inc.  
Form 4  
January 10, 2007

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Putnam Gerald D

(Last) (First) (Middle)

C/O NYSE GROUP, INC., 11  
WALL STREET

(Street)

NEW YORK, NY 10005

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
NYSE Group, Inc. [NYX]

3. Date of Earliest Transaction  
(Month/Day/Year)  
01/08/2007

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)

President and Co-COO

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				(A) or (D)	Price			
				Code	V	Amount		
Common Stock, par value \$0.01 per share	01/08/2007		S	200 <sup>(1)</sup> D	\$ 102.88	825,602	I	See Footnote <sup>(2)</sup>
Common Stock, par value \$0.01 per share	01/08/2007		S	200 <sup>(1)</sup> D	\$ 103.06	825,402	I	See Footnote <sup>(2)</sup>
Common Stock, par	01/08/2007		S	200 <sup>(1)</sup> D	\$ 103.11	825,202	I	See Footnote

Edgar Filing: NYSE Group, Inc. - Form 4

value								(2)
\$0.01 per share								
Common Stock, par value \$0.01 per share	01/08/2007	S	200 <u>(1)</u>	D	\$ 103.24	825,002	I	See Footnote (2)
Common Stock, par value \$0.01 per share	01/08/2007	S	200 <u>(1)</u>	D	\$ 103.25	824,802	I	See Footnote (2)
Common Stock, par value \$0.01 per share	01/08/2007	S	200 <u>(1)</u>	D	\$ 103.3	824,602	I	See Footnote (2)
Common Stock, par value \$0.01 per share	01/08/2007	S	200 <u>(1)</u>	D	\$ 103.36	824,402	I	See Footnote (2)
Common Stock, par value \$0.01 per share	01/08/2007	S	200 <u>(1)</u>	D	\$ 103.4	824,202	I	See Footnote (2)
Common Stock, par value \$0.01 per share	01/08/2007	S	200 <u>(1)</u>	D	\$ 103.42	824,002	I	See Footnote (2)
Common Stock, par value \$0.01 per share	01/08/2007	S	300 <u>(1)</u>	D	\$ 103.45	823,702	I	See Footnote (2)
Common Stock, par value \$0.01 per share	01/08/2007	S	300 <u>(1)</u>	D	\$ 103.65	823,402	I	See Footnote (2)
Common Stock, par value	01/08/2007	S	300 <u>(1)</u>	D	\$ 103.8	823,102	I	See Footnote (2)

Edgar Filing: NYSE Group, Inc. - Form 4

\$0.01 per share								
Common Stock, par value \$0.01 per share	01/08/2007	S	300 <u>(1)</u>	D	\$ 101.04	822,802	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	01/08/2007	S	300 <u>(1)</u>	D	\$ 102.85	822,502	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	01/08/2007	S	300 <u>(1)</u>	D	\$ 103.31	822,202	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	01/08/2007	S	300 <u>(1)</u>	D	\$ 100.6	821,902	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	01/08/2007	S	300 <u>(1)</u>	D	\$ 101.05	821,602	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	01/08/2007	S	300 <u>(1)</u>	D	\$ 101.22	821,302	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	01/08/2007	S	300 <u>(1)</u>	D	\$ 102.5	821,002	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	01/08/2007	S	300 <u>(1)</u>	D	\$ 103	820,702	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per	01/08/2007	S	300 <u>(1)</u>	D	\$ 103.15	820,402	I	See Footnote <u>(2)</u>

Edgar Filing: NYSE Group, Inc. - Form 4

share								
Common Stock, par value \$0.01 per share	01/08/2007	S	300 <u>(1)</u>	D	\$ 103.21	820,102	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	01/08/2007	S	300 <u>(1)</u>	D	\$ 103.35	819,802	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	01/08/2007	S	350 <u>(1)</u>	D	\$ 105.53	819,452	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	01/08/2007	S	400 <u>(1)</u>	D	\$ 103.44	819,052	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	01/08/2007	S	400 <u>(1)</u>	D	\$ 103.91	818,652	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	01/08/2007	S	400 <u>(1)</u>	D	\$ 104.3	818,252	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	01/08/2007	S	400 <u>(1)</u>	D	\$ 103.2	817,852	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	01/08/2007	S	500 <u>(1)</u>	D	\$ 104.47	817,352	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	01/08/2007	S	500 <u>(1)</u>	D	\$ 103.16	816,852 <u>(3)</u>	I	See Footnote <u>(2)</u>



Edgar Filing: NYSE Group, Inc. - Form 4

This is report 4 of 5 for January 8, 2007, for Mr. Putnam.

The sales of shares reported on this Form 4 were made pursuant to a selling plan, dated November 30, 2006, intended to comp

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.