

AECOM TECHNOLOGY CORP
Form 4
June 04, 2014

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
NEWMAN RICHARD G

2. Issuer Name and Ticker or Trading Symbol
AECOM TECHNOLOGY CORP
[ACM]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner
 Officer (give title below) Other (specify below)

C/O AECOM TECHNOLOGY CORPORATION, 555 S. FLOWER STREET, SUITE 3700

06/02/2014

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

LOS ANGELES, CA 90071

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
				(A) or (D) Code V Amount (D) Price			
Common Stock	06/02/2014		S ⁽¹⁾	25,000 D \$ 32.0343 <u>(2)</u>	20,160	I	by RGN 2012 Spousal Trust
Common Stock					332,981	I	by R&C Newman Revocable Trust
Common Stock					14,931	I	by C&R Newman

Common Stock	39,145	I	Family Foundation by Richard G Newman TTEE U/A DTD 8/15/2011 Richard G Newman 2011 GRAT
Common Stock	39,145	I	by Christine H Newman TTEE U/A DTD 8/15/2011 Christine H Newman 2011 GRAT
Common Stock	15,636 ⁽³⁾	I	by John E. Newman (son)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. F Der Sec (Ins	
				Code V (A) (D)		Date Exercisable	Expiration Date	Title	Amount or Number of Shares
	\$ 23.94					⁽⁴⁾	12/01/2015		49,141

Employee Stock Option					Common Stock	
Employee Stock Option	\$ 24.45		(5)	12/02/2016	Common Stock	15,989
Employee Stock Option	\$ 25.38		03/31/2011	05/28/2017	Common Stock	14,558
Employee Stock Option	\$ 28.44		03/03/2012	03/03/2018	Common Stock	13,416
Restricted Stock Unit	(6)		(7)	(7)	Common Stock	4,112

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
NEWMAN RICHARD G C/O AECOM TECHNOLOGY CORPORATION 555 S. FLOWER STREET, SUITE 3700 LOS ANGELES, CA 90071	X			

Signatures

/s/ David Y. Gan, Attorney-in-Fact for Richard G.
Newman

06/04/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales in this Form 4 were made pursuant to a 10b5-1 trading plan adopted on December 13, 2013.
This transaction was executed in multiple trades at prices ranging from \$31.915 to \$32.15. The price reported above reflects the weighted
 - (2) average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
 - (3) The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.
 - (4) The option vested in three equal annual installments beginning on December 1, 2009.
 - (5) The option vested in three equal annual installments beginning on December 2, 2010.

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- (6) Each restricted stock unit represents a contingent right to receive one share of AECOM common stock.
- (7) The restricted stock units vest on March 5, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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