## Edgar Filing: VEECO INSTRUMENTS INC - Form 4

| VEECO INS<br>Form 4<br>January 10, 2   | TRUMENTS IN  | С  |  |   |                 |          |  |   |   |          |  |
|--|--|--|--|---|-----------------|----------|--|---|---|----------|--|
| FORN<br>Check th   | <b>14</b> UNITED S   | <b>4</b> UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 |  |   |                 |          |  |   |   |          |  |
| if no long<br>subject to<br>Section 1<br>Form 4 o<br>Form 5<br>obligatio<br>may cont<br>See Instru<br>1(b).                            | er<br>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>20(h) of the Longetreent Company Act of 1940 |  |  |   |                 |          |  |   | Expires: January 31,<br>2005<br>Estimated average<br>burden hours per<br>response 0.5 |          |  |
| (Print or Type I   | Responses)   |  |  |   |                 |          |  |   |   |          |  |
| Miller William John Symbol   |  |  |  | <sup>r</sup> Name <b>and</b><br>) INSTRU<br>] |                 |          | 0  | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)   |   |          |  |
|  |  |  |  | of Earliest Transaction<br>Day/Year)<br>2014  |                 |          |  | Director       10% Owner         Officer (give title       Other (specify below)         below)       below)         EVP Process Equipment  |   |          |  |
|  |  |  |  | endment, Date Original<br>nth/Day/Year)       |                 |          |  | <ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting Person</li> </ul> |   |          |  |
| (City)   | (State)  | (Zip)  | Tabl                                   | e I - Non-D                                   | erivative       | Secur    | ities Acq  | uired, Disposed of  | , or Beneficial   | ly Owned |  |
| 1.Title of<br>Security<br>(Instr. 3)2. Transaction Date<br>(Month/Day/Year)2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) |  |  | 3.<br>Transactic<br>Code<br>(Instr. 8) |   | ispose          | d of (D) | Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4)  |   |          |  |
| Common<br>Stock  | 01/09/2014   |  |  | Code V<br>M                                   | Amount<br>1,000 | (D)<br>A | Price<br>\$<br>18.11   | (Instr. 3 and 4)<br>63,328  | D   |          |  |
| Common<br>Stock  | 01/09/2014   |  |  | S   | 1,000<br>(1)    | D        | \$<br>35.55  | 62,328  | D   |          |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | Securities |       | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount<br>of Underlying<br>Securities<br>(Instr. 3 and 4) |  |
|---|---|---|---|--|------------|-------|--|--------------------|--|--|
|   |   |   |   | Code V                                 | (A)        | (D)   | Date<br>Exercisable  | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |
| Stock<br>Option<br>(right to<br>purchase)           | \$ 18.11  | 01/09/2014                              |   | М                                      |            | 1,000 | (2)  | 06/07/2014         | common<br>stock  | 1,000                                  |

## **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |                          |       |  |  |  |
|--|---------------|-----------|--------------------------|-------|--|--|--|
|  | Director      | 10% Owner | Officer                  | Other |  |  |  |
| Miller William John<br>VEECO INSTRUMENTS INC.<br>TERMINAL DRIVE<br>PLAINVIEW, NY 11803 |               |           | EVP Process<br>Equipment |       |  |  |  |
| Signatures   |               |           |                          |       |  |  |  |
| Gregory A. Robbins,<br>Attorney-in-fact  | 01/           | 10/2014   |                          |       |  |  |  |
| **Signature of Reporting Person  |               | Date      |                          |       |  |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents securities sold pursuant to a 10b5-1 sales plan adopted by the reporting person.
- (2) The option was granted on June 8, 2007 and became exercisable with respect to 1/3 of such shares on each of the first, second and third anniversaries of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.