Edgar Filing: AMERICAN RIVER BANKSHARES - Form 4

AMERICAN RIVER BANKSHARES

Form 4 May 22, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

burden hours per

5 D 1 (1 CD (D () () ()

(D) or

Indirect (I)

(Instr. 4)

D

Beneficial

Ownership

(Instr. 4)

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average

OMB APPROVAL

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

See Instruction 1(b).

(Instr. 3)

Common

Stock

05/21/2015

(Print or Type Responses)

1 Name and Address of Departing De

Bender Kevin	Reporting Person _	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
		AMERICAN RIVER BANKSHARES [AMRB]	(Check all applicable)			
(Last) (First	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	Director 10% Owner X Officer (give title Other (specify			
686 BONLEY CT.		(Month/Day/Year) 05/21/2015	below) below) EVP - Chief Operating Officer			
(Stree	et)	4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
		Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person			
FOLSOM, CA 9563	0		Form filed by More than One Reporting Person			
(City) (State	e) (Zip)	Table I - Non-Derivative Securities Ac	equired, Disposed of, or Beneficially Owned			
	saction Date 2A. Dec/Day/Year) Execution		5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect			

Disposed of (D)

(Instr. 3, 4 and 5)

(A)

(D)

A

Price

\$0

Code

A

(Instr. 8)

Code V Amount

1,561

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

05/21/2015

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Beneficially

Following

Transaction(s) (Instr. 3 and 4)

Reported

17,928

Owned

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(Instr. 3, 4, and 5)				
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option/ Right to Buy	\$ 9.56	05/21/2015	05/21/2015	A	921	05/21/2016	05/21/2025	Common Stock	0
Option/ Right to Buy	\$ 9.56	05/21/2015	05/21/2015	A	921	05/21/2017	05/21/2025	Common Stock	0
Option/ Right to Buy	\$ 9.56	05/21/2015	05/21/2015	A	921	05/21/2018	05/21/2025	Common Stock	0
Option/ Right to Buy	\$ 9.56	05/21/2015	05/21/2015	A	921	05/21/2019	05/21/2025	Common Stock	0
Option/ Right to Buy	\$ 9.56	05/21/2015	05/21/2015	A	922	05/21/2020	05/21/2025	Common Stock	0

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other

Bender Kevin 686 BONLEY CT. FOLSOM, CA 95630

EVP - Chief Operating Officer

Signatures

/s/ Kevin B.
Bender
05/21/2015

**Signature of Date Reporting Person

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Remarks:

Mr. Bender was awarded restricted shares of common stock that vest ratably over five years or 20% per year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.