MAGNETEK, INC. Form 4

February 04, 2014

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB** Washington, D.C. 20549 Number:

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

See Instruction

1(b).

Form 5

obligations may continue. 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* MARXE AUSTIN W &

GREENHOUSE DAVID M

(First) (Last)

(Middle)

(Zip)

2. Issuer Name and Ticker or Trading Symbol

MAGNETEK, INC. [MAG]

3. Date of Earliest Transaction (Month/Day/Year)

01/30/2014

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

10% Owner Other (specify

**OMB APPROVAL** 

Expires:

response...

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

C/O SPECIAL SITUATIONS FUNDS, 527 MADISON AVENUE,

**SUITE 2600** 

(City)

Stock

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Director

Officer (give title

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

 $I^{(1)}$ 

below)

NEW YORK, NY 10022

(State)

01/30/2014

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 7. Nature of 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Ownership Indirect (Instr. 3) Code Disposed of (D) Beneficially Form: Direct Beneficial (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8) Owned (D) or Ownership Indirect (I) Following (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Price Code V Amount (D) By Limited Common

100 (1) D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

S

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352,477 (1)

SEC 1474 (9-02)

**Partnerships** 

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Owne
	Security				Acquired						Follo
	·				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						`
					4, and 5)						
									Amount		
						Date	Expiration		or		
						Exercisable	-	Title Nun	Number		
						Lacroisdoic	Dute		of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

MARXE AUSTIN W & GREENHOUSE DAVID M C/O SPECIAL SITUATIONS FUNDS 527 MADISON AVENUE, SUITE 2600 NEW YORK, NY 10022

X

# **Signatures**

Austin W.

Marxe 02/04/2014

\*\*Signature of Date

Reporting Person

David M.

Greenhouse 02/04/2014

\*\*Signature of Date

Reporting Person

Adam C.

Stettner 02/04/2014

\*\*Signature of Date

Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This is a joint filing by Austin W. Marxe (Marxe), David M. Greenhouse (Greenhouse) and Adam C. Stettner (Stettner). They share voting and investment control over all securities owned by Special Situations Fund III QP, LP (QP) and Special Situations Cayman Fund,
- (1) L.P. (Cayman), respectively 263,392 shares of Common Stock are held by QP and 89,085 shares of Common Stock are held by Cayman. The interest of Marxe, Greenhouse and Stettner in the shares of Common Stock owned by QP and Cayman is limited to the extent of the pecuniary interest.
- (2) This is a weighted average price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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\*Party-in-interest.

17

# MARSH & McLENNAN AGENCY 401(k) SAVINGS & INVESTMENT PLAN FORM 5500, SCHEDULE H, PART IV, LINE 4j SUPPLEMENTAL SCHEDULE OF REPORTABLE TRANSACTIONS FOR THE YEAR ENDED DECEMBER 31, 2014

Current V						
Description of Asset	Acquisition Price Disposition Price		Cost of Asset	of Asset on Transaction Date		
Series of Transactions by Issue						
BlackRock LifePath Retirement Fund J 78 acquisition transactions 62 disposition transactions	\$6,889,715 \$—	\$— \$10,227,013	\$6,889,715 \$9,724,614	\$6,889,715 \$10,227,013		
BlackRock LifePath Retirement Fund H						
3 acquisition transactions 1 disposition transactions	\$9,733,167 \$—	\$— \$—	\$9,733,167 \$—	\$9,733,167 \$—		
BlackRock LifePath Index 2015 FD J 71 acquisition transactions 63 disposition transactions	\$1,973,829 \$—	\$— \$8,047,409	\$1,973,829 \$6,890,410	\$1,973,829 \$8,047,409		
BlackRock LifePath Index 2020 H 3 acquisition transactions 4 disposition transactions	\$12,248,999 \$—	\$— \$7,689	\$12,248,999 \$7,682	\$12,248,999 \$7,689		
BlackRock LifePath Index 2025 FD J 21 acquisition transactions 30 disposition transactions	\$528,353 \$—	\$— \$12,818,202	\$528,353 \$11,205,262	\$528,353 \$12,818,202		
BlackRock LifePath Index 2025 FD H 4 acquisition transactions 2 disposition transactions	\$12,163,643 \$—	\$— \$7,025	\$12,163,643 \$6,988	\$12,163,643 \$7,025		
BlackRock LifePath Index 2030 FD J 25 acquisition transactions 24 disposition transactions	\$845,422 \$—	\$— \$16,005,200	\$845,422 \$13,699,857	\$845,422 \$16,005,200		
BlackRock LifePath Index 2030 FD H 2 acquisition transactions 6 disposition transactions	\$15,135,593 \$—	\$— \$296,326	\$15,135,593 \$294,812	\$15,135,593 \$296,326		

BlackRock LifePath Index 2035 FD H 4 acquisition transactions 2 disposition transactions	\$13,871,885 \$—	\$— \$34,069	\$13,871,885 \$33,863	\$13,871,885 \$34,069
BlackRock LifePath Index 2035 FD J 99 acquisition transactions 89 disposition transactions	\$5,580,511 \$—	\$— \$15,407,074	\$5,580,511 \$13,300,700	\$5,580,511 \$15,407,074
BlackRock LifePath Index 2040 FD H 3 acquisition transactions 3 disposition transactions	\$7,072,392 \$—	\$— \$194,912	\$7,072,392 \$194,015	\$7,072,392 \$194,912
BlackRock LifePath Index 2055 O CUSIP:09257C260 3 acquisition transactions 3 disposition transactions	\$7,280,991 \$—	\$— \$420	\$7,280,991 \$417	\$7,280,991 \$420
BlackRock LifePath Index 2020 FD J 18 acquisition transactions 20 disposition transactions	\$886,909 \$—	\$— \$12,357,950	\$886,909 \$11,008,277	\$886,909 \$12,357,950
BlackRock LifePath Index 2040 FD J 20 acquisition transactions 21 disposition transactions	\$686,052 \$—	\$— \$7,185,261	\$686,052 \$6,125,478	\$686,052 \$7,185,261
BlackRock LifePath Index 2045 FD J 20 acquisition transactions 20 disposition transactions	\$379,811 \$—	\$— \$7,428,044	\$379,811 \$6,319,619	\$379,811 \$7,428,044
S&P 500 Index Fund 5 acquisition transactions 3 disposition transactions	\$13,885,513 \$—	\$— \$45,154	\$13,885,513 \$44,916	\$13,885,513 \$45,154
Putnam S&P 500 Index Fund 144 acquisition transactions 84 disposition transactions	\$5,143,139 \$—	\$— \$13,179,530	\$5,143,139 \$10,507,192	\$5,143,139 \$13,179,530
T Rowe Blue Chip Growth Fund CUSIP:77954Q106 126 acquisition transactions 103 disposition transactions	\$3,897,411 \$—	\$— \$12,476,701	\$3,897,411 \$9,439,163	\$3,897,411 \$12,476,701
T Rowe Mid Cap Equity Growth Fund CUSIP :779556109 112 acquisition transactions 123 disposition transactions	\$4,912,627 \$—	\$— \$13,465,548	\$4,912,627 \$11,561,071	\$4,912,627 \$13,465,548
19				

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CUSIP:87279U401				
3 acquisition transactions	\$13,878,322	<b>\$</b> —	\$13,878,322	\$13,878,322
4 disposition transactions	<b>\$</b> —	\$3,176	\$3,196	\$3,176
T Rowe Mid Cap Equity Growth Fund				

\$45,924

\$11,945,894

\$---

\$11,945,894

\$45,728

\$11,945,894

\$45,924

20

CUSIP:45775L101

3 acquisition transactions

4 disposition transactions