

Edgar Filing: HARDCASTLE WILLIAM R JR - Form 4

HARDCASTLE WILLIAM R JR
Form 4
September 09, 2002

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

Check box if no longer subject to Section 16. Form 4 or Form 5 obligations
may continue. See Instruction 1(b).

1. Name and Address of Reporting Person*

Hardcastle, William R., Jr.

(Last)

(First)

(Middle)

300 Crescent Court, Suite 1300

(Street)

Dallas, Texas 75201

(City)

(State)

(Zip)

2. Issuer Name and Ticker or Trading Symbol

Westwood Holdings Group, Inc. ("WHG")

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Statement for Month/Year

August 2002

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person to Issuer
(Check all applicable)

Director

10% Owner

Officer (give title below)

Other (specify below)

Vice President, Treasurer

7. Individual or Joint/Group Filing (Check applicable line)

Form filed by one Reporting Person

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[] Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,
or Beneficially Owned

6. 5. or Beneficially	Amount of Form: Direct	Owner- ship 7. Nature of (D) or Indirect	2. Transaction Date (mm/dd/yy)	3. Code (Instr. 8) Code	4. Securities Acquired (A) Disposed of (D) (Instr. 3, 4 and 5)
1. of Month Title of Security Price (Instr. 3) and 4)	Indirect (Instr.4)	Beneficial (Instr. 4)		V	(A) Amount or (D)

Common Stock			8/20/02	P	700 A
Common Stock			8/20/02	P	100 A
Common Stock			8/20/02	P	100 A

* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Response)

(Over)

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FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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10.	9.	Owner-	2.	5.	7.		
	Number	ship					
	of	Form					
	Deriv-	of	Conver-				
	ative	Deriv-	11.				
	Amount	Secur-	or	Nature	Number of		Title and
	Underlying	8.	ities	Secur-	Derivative	6.	of
	Price	Bene-	ity:	4.	Securities	Date	Securities
	and 4)	of	Exercise	3.	Acquired (A)	Exercisable and	(Instr. 3
	-----	Deriv-	Price	Trans-	or Disposed	Expiration Date	-----
	1.	Owned	of	(D) or	of (D)	(Month/Day/Year)	
	Amount	at End	In-	action	(Instr. 3,		
	Title of	Deriv-	Date	direct	4 and 5)	Date	Expira-
	Derivative	of	(Month/	Owner-		Date	tion
	Number	Month	(I)	ship	-----	Exer-	
	Security	Secur-	Day/	-----	-----	cisable	Date
	of	(Instr.	(Instr.	(Instr.	(Instr. 3,	Date	Title
	(Instr. 3)	ity	Year)	Code V	(A) (D)	Date	
	Shares	5)	4)	4)			

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Explanation of Responses:

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- (1) Acquisition of shares for daughter in UTMA account
for which William R. Hardcastle, Jr. serves as custodian
- (2) Acquisition of shares for son in UTMA account
for which William R. Hardcastle, Jr. serves as custodian

/s/ William R. Hardcastle, Jr.

9/9/02

**Signature of Reporting Person

Date

** Intentional misstatements or omissions of facts constitute Federal
Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.

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