

Edgar Filing: BYRNE SUSAN M - Form 4

BYRNE SUSAN M  
Form 4  
October 29, 2002

U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

Check box if no longer subject to Section 16. Form 4 or Form 5 obligations  
may continue. See Instruction 1(b).

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1. Name and Address of Reporting Person\*

Byrne, Susan M.

-----  
(Last)

(First)

(Middle)

300 Crescent Court, Suite 1300

-----  
(Street)

Dallas, Texas 75201

-----  
(City)

(State)

(Zip)

-----  
2. Issuer Name and Ticker or Trading Symbol

Westwood Holdings Group, Inc. ("WHG")

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3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

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4. Statement for Month/Year

10/29/02

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5. If Amendment, Date of Original (Month/Year)

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6. Relationship of Reporting Person to Issuer  
(Check all applicable)

Director

10% Owner

Officer (give title below)

Other (specify below)

Chief Executive Officer

-----  
7. Individual or Joint/Group Filing (Check applicable line)

Form filed by one Reporting Person

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[ ] Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,  
or Beneficially Owned

6. Owner- ship Form:	7. Beneficially End 1. Indirect Title of Security (Instr. 3) (Instr.4)	Direct Indirect Beneficial Ownership (Instr. 4)	Nature of 2. Transaction Date (mm/dd/yy)	3. Transaction Code Code	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	(A) Amount (D)	Price
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Common Stock			10/28/02	P		2,000	A
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Common Stock			10/29/02	P		2,000	A
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\* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Response)

(Over)

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FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)

10.	Owner-	Number	ship	Form	2.	Deriv-	of	3.	4.	5.	6.	7.	
1.	End	Title of	Derivative	Month	Security	(Instr.	(Instr.	3)	4)	Trans-	Acquired (A)	Exercisable and	Title and Amount
Owned	In-	of	Deriv-	(I)	(Instr.	3)	4)	Direct	Trans-	or Disposed	Exercisable and	(Instr. 3 and 4)	
1.	End	Title of	Derivative	Month	Security	(Instr.	(Instr.	3)	4)	Trans-	Acquired (A)	Exercisable and	(Instr. 3 and 4)
Owned	In-	of	Deriv-	(I)	(Instr.	3)	4)	Direct	Trans-	or Disposed	Exercisable and	(Instr. 3 and 4)	
1.	End	Title of	Derivative	Month	Security	(Instr.	(Instr.	3)	4)	Trans-	Acquired (A)	Exercisable and	(Instr. 3 and 4)
Owned	In-	of	Deriv-	(I)	(Instr.	3)	4)	Direct	Trans-	or Disposed	Exercisable and	(Instr. 3 and 4)	
1.	End	Title of	Derivative	Month	Security	(Instr.	(Instr.	3)	4)	Trans-	Acquired (A)	Exercisable and	(Instr. 3 and 4)
Owned	In-	of	Deriv-	(I)	(Instr.	3)	4)	Direct	Trans-	or Disposed	Exercisable and	(Instr. 3 and 4)	
1.	End	Title of	Derivative	Month	Security	(Instr.	(Instr.	3)	4)	Trans-	Acquired (A)	Exercisable and	(Instr. 3 and 4)
Owned	In-	of	Deriv-	(I)	(Instr.	3)	4)	Direct	Trans-	or Disposed	Exercisable and	(Instr. 3 and 4)	
1.	End	Title of	Derivative	Month	Security	(Instr.	(Instr.	3)	4)	Trans-	Acquired (A)	Exercisable and	(Instr. 3 and 4)
Owned	In-	of	Deriv-	(I)	(Instr.	3)	4)	Direct	Trans-	or Disposed	Exercisable and	(Instr. 3 and 4)	
1.	End	Title of	Derivative	Month	Security	(Instr.	(Instr.	3)	4)	Trans-	Acquired (A)	Exercisable and	(Instr. 3 and 4)
Owned	In-	of	Deriv-	(I)	(Instr.	3)	4)	Direct	Trans-	or Disposed	Exercisable and	(Instr. 3 and 4)	
1.	End	Title of	Derivative	Month	Security	(Instr.	(Instr.	3)	4)	Trans-	Acquired (A)	Exercisable and	(Instr. 3 and 4)
Owned	In-	of	Deriv-	(I)	(Instr.	3)	4)	Direct	Trans-	or Disposed	Exercisable and	(Instr. 3 and 4)	
1.	End	Title of	Derivative	Month	Security	(Instr.	(Instr.	3)	4)	Trans-	Acquired (A)	Exercisable and	(Instr. 3 and 4)
Owned	In-	of	Deriv-	(I)	(Instr.	3)	4)	Direct	Trans-	or Disposed	Exercisable and	(Instr. 3 and 4)	
1.	End	Title of	Derivative	Month	Security	(Instr.	(Instr.	3)	4)	Trans-	Acquired (A)	Exercisable and	(Instr. 3 and 4)
Owned	In-	of	Deriv-	(I)	(Instr.	3)	4)	Direct	Trans-	or Disposed	Exercisable and	(Instr. 3 and 4)	
1.	End	Title of	Derivative	Month	Security	(Instr.	(Instr.	3)	4)	Trans-	Acquired (A)	Exercisable and	(Instr. 3 and 4)
Owned	In-	of	Deriv-	(I)	(Instr.	3)	4)	Direct	Trans-	or Disposed	Exercisable and	(Instr. 3 and 4)	
1.	End	Title of	Derivative	Month	Security	(Instr.	(Instr.	3)	4)	Trans-	Acquired (A)	Exercisable and	(Instr. 3 and 4)
Owned	In-	of	Deriv-	(I)	(Instr.	3)	4)	Direct	Trans-	or Disposed	Exercisable and	(Instr. 3 and 4)	
1.	End	Title of	Derivative	Month	Security	(Instr.	(Instr.	3)	4)	Trans-	Acquired (A)	Exercisable and	(Instr. 3 and 4)
Owned	In-	of	Deriv-	(I)	(Instr.	3)	4)	Direct	Trans-	or Disposed	Exercisable and	(Instr. 3 and 4)	

Explanation of Responses:

/s/ William R. Hardcastle, Jr.	10/29/02
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**Signature of Reporting Person as Attorney-in-fact	Date

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\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

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