CME GROUP INC.

Form 3

December 19, 2014

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement CME GROUP INC. [CME] Pietrowicz John W. (Month/Day/Year) 12/10/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 20 S. WACKER DRIVE (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person (give title below) (specify below) CHICAGO, Â ILÂ 60606 Form filed by More than One CFO & Sr MD Finance Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Class A Common Stock D Â 35,368 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly.

> information contained in this form are not required to respond unless the form displays a

Persons who respond to the collection of

currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership	
			(Instr. 4)		Price of	Derivative	(Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative	Security:		
					Security	Direct (D)		
				rumber of		or Indirect		

#### Edgar Filing: CME GROUP INC. - Form 3

				Shares		(I) (Instr. 5)	
Non-Qualified Stock Option (right to buy)	09/15/2013(1)	09/15/2019	Common Stock Class A	4,160	\$ 56.87	D	Â
Non-Qualified Stock Option (right to buy)	03/15/2011(2)	03/15/2016	Common Stock Class A	500	\$ 86.09	D	Â
Non-Qualified Stock Option (right to buy)	06/15/2011(3)	06/15/2016	Common Stock Class A	3,900	\$ 88.13	D	Â
Non-Qualified Stock Option (right to buy)	06/15/2012(4)	06/15/2017	Common Stock Class A	4,625	\$ 110.54	D	Â
Non-Qualified Stock Option (right to buy)	06/16/2013(5)	06/16/2018	Common Stock Class A	5,175	\$ 83.88	D	Â
Non-Qualified Stock Option (right to buy)	03/15/2014(6)	03/15/2020	Common Stock Class A	1,740	\$ 62.83	D	Â
Non-Qualified Stock Option (right to buy)	09/15/2014(7)	09/15/2020	Common Stock Class A	12,060	\$ 5,430	D	Â
Non-Qualified Stock Option (right to buy)	09/15/2014(8)	09/15/2021	Common Stock Class A	5,000	\$ 54.37	D	Â
Non-Qualfied Stock (right to buy)	06/15/2010(9)	06/15/2015	Common Stock Class A	4,000	\$ 50.39	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
<b>Fg</b>	Director	10% Owner	Officer	Other			
Pietrowicz John W. 20 S. WACKER DRIVE CHICAGO, IL 60606	Â	Â	CFO & Sr MD Finance	Â			

## **Signatures**

Margaret Austin Wright for John W. Pietrowicz 12/19/2014

\*\*Signature of Reporting Person Date

Reporting Owners 2

Edgar Filing: CME GROUP INC. - Form 3

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) As of 9/15/2013, this option vested with respect to 100% of the granted number of shares covered by the option.
- (2) As of 3/15/2011, this option vested with respect to 100% of the granted number of shares covered by the option.
- (3) As of 6/15/2011, this option vested with respect to 100% of the granted number of shares covered by the option.
- (4) As of 6/15/2012, this option vested with respect to 100% of the granted number of shares covered by the option.
- (5) As of 6/16/2013, this option vested with respect to 100% of the granted number of shares covered by the option.
- (6) As of 3/15/2014, this option vested with respect to 100% of the granted number of shares coverd by the option.
- (7) As of 9/15/2014, this option vested with respect to 100% of the granted number of shares coverd by the option.
- These options were granted on September 15, 2011. They vest over a four-year period, with 25% vesting one year after the grant date and 25% vesting on that same date in each of the following three years, subject to acceleration or termination in certain circumstances.
- (9) As of 6/15/2009, this option vested with respect to 100% of the granted number of shares covered by the option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.