

BEDU-ADDO FRANK

Form 3

March 25, 2019

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â BEDU-ADDO FRANK

(Last) (First) (Middle)

300 CONNELL DRIVE, SUITE
4000

(Street)

BERKELEY
HEIGHTS,Â NJÂ 07922

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

03/15/2019

3. Issuer Name **and** Ticker or Trading Symbol
PDS Biotechnology Corp [PDSB]4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☐ Officer ☐ Other

(give title below) (specify below)

President/CEO

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

620,507

D Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title

4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	Â (1)	01/01/2020	Common Stock	17,724	\$ 4.69	D	Â
Employee Stock Option (Right to Buy)	Â (1)	07/27/2021	Common Stock	53,174	\$ 6.57	D	Â
Employee Stock Option (Right to Buy)	Â (1)	12/03/2022	Common Stock	219,535	\$ 6.57	D	Â
Employee Stock Option (Right to Buy)	Â (2)	03/14/2029	Common Stock	53,173	\$ 9.04	D	Â
Employee Stock Option (Right to Buy)	Â (2)	03/14/2029	Common Stock	179,786	\$ 9.04	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BEDU-ADDO FRANK 300 CONNELL DRIVE, SUITE 4000 BERKELEY HEIGHTS, NJ 07922	Â	Â	Â President/CEO	Â

Signatures

/s/ Frank
Bedu-Addo, Ph.D. 03/25/2019

__Signature of Reporting
Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Stock Option fully vested in accordance with its terms

(2) Stock Option fully vested on date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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