

MALVEY KENNETH P
Form 4
January 08, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
MALVEY KENNETH P

2. Issuer Name and Ticker or Trading Symbol
TORTOISE ENERGY
INFRASTRUCTURE CORP [TYG]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
11550 ASH STREET, SUITE 300
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
09/01/2017

____ Director
____ Officer (give title below) Other (specify below)
Member of Investment Committee

LEAWOOD, KS 66211

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Common Shares | 09/01/2017 | | P | (A) or (D) V Amount Price 200.64 (1) A \$ 28.82 | 14,270.26 (2) | D | |
| Common Shares | 09/01/2017 | | P | (A) or (D) V Amount Price 67.56 (1) A \$ 28.82 | 3,040.55 | I | By spouse |
| Common Shares | 12/01/2017 | | P | (A) or (D) V Amount Price 225.56 (1) A \$ 26.22 | 14,635.51 (3) | D | |
| Common Shares | 12/01/2017 | | P | (A) or (D) V Amount Price 75.95 (1) A \$ 26.22 | 3,116.49 | I | By spouse |
| Common Shares | 12/20/2017 | | S | (A) or (D) V Amount Price 0.81 (4) D \$ 25.87 | 14,634.7 | D | |

| | | | |
|------------------|-----|---|--|
| Common Shares | 297 | I | By adult child not living at home; reporting person can trade on account for adult child's benefit |
|------------------|-----|---|--|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr |
|---|--|---|---|--------------------------------------|--|--|---|---|---|
|---|--|---|---|--------------------------------------|--|--|---|---|---|

| | | | |
|---------------------|--------------------|-------|--|
| Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Code | V | (A) | (D) |

Reporting Owners

Reporting Owner Name / Address

MALVEY KENNETH P
11550 ASH STREET
SUITE 300
LEAWOOD, KS 66211

Relationships

Director 10% Owner Officer Other

Member of Investment Committee

Signatures

Kenneth P.
Malvey

01/08/2018

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Automatic reinvestment of distribution by broker not made at the TYG dividend reinvestment plan price.
- (2) Includes 123.89 shares acquired under the TYG dividend reinvestment plan.
- (3) Includes 139.69 shares acquired under the TYG dividend reinvestment plan.
- (4) Sale of fractional shares by transfer agent in connection with transfer of shares to a brokerage account.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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