#### Edgar Filing: IDEXX LABORATORIES INC /DE - Form 3

#### IDEXX LABORATORIES INC /DE

Form 3

January 06, 2017

### FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

response...

Number: 3235-0104

**OMB APPROVAL** 

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement IDEXX LABORATORIES INC /DE [IDXX]  **TWIGGE GIOVANI** (Month/Day/Year) 12/30/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) IDEXX LABORATORIES, (Check all applicable) INC., ONE IDEXX DRIVE (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting CVP - Chief HR Officer Person WESTBROOK, MEÂ 04092 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 5) (Instr. 4) Form: Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 17,729 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	<ol><li>Nature of Indirect</li></ol>
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)
		Title	Derivative	Security:	
			Security	Direct (D)	

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Restricted Stock Unit	(1)	(1)	Common Stock	5,512 <u>(1)</u>	\$ <u>(1)</u>	D	Â
Non-Qualified Stock Option (right-to-buy)	(2)	02/13/2019	Common Stock	11,372 (12)	\$ 43.71 (12)	D	Â
Incentive Stock Option (right-to-buy)	(3)	02/13/2019	Common Stock	2,286 (12)	\$ 43.71 (12)	D	Â
Non-Qualified Stock Option (right-to-buy)	(4)	02/13/2023	Common Stock	14,750 (12)	\$ 45.84 (12)	D	Â
Incentive Stock Option (right-to-buy)	(5)	02/13/2023	Common Stock	2,180 (12)	\$ 45.84 (12)	D	Â
Non-Qualified Stock Option (right-to-buy)	(6)	02/13/2024	Common Stock	12,814 (12)	\$ 62 (12)	D	Â
Incentive Stock Option (right-to-buy)	(7)	02/13/2024	Common Stock	1,612 (12)	\$ 62 (12)	D	Â
Non-Qualified Stock Option (right-to-buy)	(8)	02/13/2025	Common Stock	19,354 (12)	\$ 79.54 (12)	D	Â
Incentive Stock Option (right-to-buy)	(9)	02/13/2025	Common Stock	1,256 (12)	\$ 79.54 (12)	D	Â
Non-Qualified Stock Option (right-to-buy)	(10)	02/13/2026	Common Stock	22,028	\$ 67.85	D	Â
Incentive Stock Option (right-to-buy)	(11)	02/13/2026	Common Stock	1,476	\$ 67.85	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
TWIGGE GIOVANI IDEXX LABORATORIES, INC. ONE IDEXX DRIVE WESTBROOK, ME 04092	Â	Â	CVP - Chief HR Officer	Â	

# **Signatures**

/s/ Giovani Twigge	01/06/2017		
**Signature of	Date		

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### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each restricted stock unit represents a contingent right to receive one share of IDEXX Laboratories, Inc. common stock and vest in five equal annual installments beginning on the first anniversary of the date of grant. The number of restricted stock units reported was adjusted to reflect a 2-for-1 stock split in the form of a 100% stock dividend on IDEXX Laboratories. Inc. common stock paid on June
- adjusted to reflect a 2-for-1 stock split in the form of a 100% stock dividend on IDEXX Laboratories, Inc. common stock paid on June 15, 2015 (the "Stock Split").
- (2) Grant of option to buy 7,394 shares of Issuer common stock that vests in five annual installments beginning on February 14, 2013, without giving effect to the Stock Split.
- (3) Grant of option to buy 1,143 shares of Issuer common stock that vests in one installment on February 14, 2017, without giving effect to the Stock Split.
- (4) Grant of option to buy 7,375 shares of Issuer common stock that vests in five annual installments beginning on February 14, 2014, without giving effect to the Stock Split.
- Grant of option to buy 1,090 shares of Issuer common stock that vests in one installment on February 14, 2018, without giving effect to the Stock Split.
- (6) Grant of option to buy 6,407 shares of Issuer common stock that vests in five annual installments beginning on February 14, 2015, without giving effect to the Stock Split.
- (7) Grant of option to buy 806 shares of Issuer common stock that vests in one installment on February 14, 2019, without giving effect to the Stock Split.
- (8) Grant of option to buy 9,677 shares of Issuer common stock that vests in five annual installments beginning on February 14, 2016, without giving effect to the Stock Split.
- (9) Grant of option to buy 628 shares of Issuer common stock that vests in one installment on February 14, 2020, without giving effect to the Stock Split.
- (10) Grant of option to buy22,028 shares of Issuer common stock that vests in five annual installments beginning on February 14, 2017.
- (11) Grant of option to buy 1,476 shares of Issuer common stock that vests as to 1 share on February 14, 2017, February 14, 2018 and February 14, 2020, respectively, and as to the remainder on February 14, 2021.
- (12) The number of remaining derivative securities reported as beneficially owned and price with respect to this option were adjusted to reflect the Stock Split.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.