Edgar Filing: Raptor Pharmaceutical Corp - Form 4

Raptor Pharmaceutical Corp Form 4 December 18, 2013 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to **SECURITIES** Section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses)

	Address of Reporting HRISTOPHER M	I Sym	2. Issuer Name and Ticker or Trading Symbol Raptor Pharmaceutical Corp [RPTP]				5. Relationship of Reporting Person(s) to Issuer			
		(Mo 12/ RP., 5	3. Date of Earliest Transaction (Month/Day/Year) 12/16/2013				(Check all applicable) X_ Director 10% Owner X_ Officer (give title Other (specify below) Director Chief Executive Officer			
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
NOVATO	, CA 94949						Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table I - Non-	Derivative	Secu	rities Acqui	red, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Code	4. Securit oror Dispos (Instr. 3, 4 Amount	ed of (5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	12/16/2013(1)		М	15,000	А	\$ 2.02	714,370	D		
Common Stock	12/16/2013 <u>(1)</u>		М	10,000	А	\$ 3.54	724,370	D		
Common Stock	12/16/2013 <u>(1)</u>		S	25,000	D	\$ 13.3575 (3)	699,370	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

Edgar Filing: Raptor Pharmaceutical Corp - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Stock options (right to buy)	\$ 2.02	12/16/2013 <u>(1)</u>		М	15,000	03/09/2010 <u>(2)</u>	03/08/2020	Common Stock	15,000
Stock options (right to buy)	\$ 3.54	12/16/2013 <u>(1)</u>		М	10,000	11/22/2010 <u>(2)</u>	11/21/2020	Common Stock	10,000

Reporting Owners

Reporting Owner Name / Address		Relationships					
	Director	10% Owner	Officer	Other			
STARR CHRISTOPHER M C/O RAPTOR PHARMACEUTICAL CORP. 5 HAMILTON LANDING, SUITE 160 NOVATO, CA 94949	Х		Chief Executive Officer				
Signatures							
/s/ Kim R. Tsuchimoto, Raptor Pharmaceutical Corp., Attorney-in-fact			12/18/2013				
<u>**</u> Signature of Reporting Person	Date						

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Transaction made pursuant to a 10b5-1 trading plan adopted by the Reporting Person on August 13, 2013.

(2) Fully vested and exercisable.

Edgar Filing: Raptor Pharmaceutical Corp - Form 4

This transaction was executed in multiple trades at prices ranging from \$13.04 to \$13.71. The price reported above reflects the weighted(3) average sale price. The reporting person hereby undertakes to provide, upon request, to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.