

Ferrero Lisa
Form 4
December 04, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Ferrero Lisa

(Last) (First) (Middle)

ONE RIVERFRONT PLAZA

(Street)

CORNING, NY 14831

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
CORNING INC /NY [GLW]

3. Date of Earliest Transaction (Month/Day/Year)
11/30/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Senior Vice President & CAO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	11/30/2018		M		14,361	A	\$ 0 ⁽¹⁾
Common Stock	11/30/2018		M		11,111	A	\$ 0 ⁽¹⁾
Common Stock	11/30/2018		M		11,022	A	\$ 0 ⁽¹⁾
Common Stock	11/30/2018		F		17,873	D	\$ 32.22

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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- (3) Earned Restricted Stock Units awarded March 31, 2017 remained restricted until November 30, 2018, when they vested and converted into GLW common stock per terms of March 2017 Agreement approved by Compensation Committee.
- (4) Earned Restricted Stock Units awarded April 2, 2018 remained restricted until November 30, 2018, when they vested and converted into GLW common stock per terms of April 2018 Agreement approved by Compensation Committee.
- (5) Represents the forfeiture of Restricted Stock Units awarded April 2, 2018, per terms of the April 2018 Agreement approved by Compensation Committee.
- (6) Restricted Stock Units awarded April 2, 2018 were forfeited on November 30, 2018 per terms of April 2018 Agreement approved by Compensation Committee.
- (7) Not applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.