

SCHULTZ HOWARD D
Form 5
November 15, 2017

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box if
no longer subject
to Section 16.
Form 4 or Form
5 obligations
may continue.
See Instruction
1(b).
Form 3 Holdings
Reported
Form 4
Transactions
Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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1. Name and Address of Reporting Person *
SCHULTZ HOWARD D

(Last) (First) (Middle)

2401 UTAH AVENUE SOUTH,
SUITE 800

(Street)

2. Issuer Name **and** Ticker or Trading
Symbol
STARBUCKS CORP [SBUX]

3. Statement for Issuer's Fiscal Year Ended
(Month/Day/Year)
10/01/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
executive chairman

6. Individual or Joint/Group Reporting

(check applicable line)

SEATTLE, WA 98134

☒ Form Filed by One Reporting Person
☐ Form Filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	05/16/2017	Â	G	235,000 D \$ 0	55,000	I	by spouse
Common Stock	05/16/2017	Â	G	235,000 A \$ 0	29,789,011 (1)	D	Â
Common Stock	05/16/2017	Â	G	55,000 D \$ 0	0	I	by GRAT
Common Stock	05/16/2017	Â	G	55,000 D \$ 0	0	I	by spouse
	Â	Â	Â	Â Â Â	2,000,000	I	by GRAT

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Common
Stock

Common Stock	Â	Â	Â	Â	Â	Â	2,000,000	I	by spouse
Common Stock	Â	Â	Â	Â	Â	Â	550,181	I	by Family Owned LLC
Common Stock	Â	Â	Â	Â	Â	Â	1,060,000	I	by GRAT
Common Stock	Â	Â	Â	Â	Â	Â	1,060,000	I	by spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. of D S B O E I F (I
						Date Exercisable	Expiration Date	Title or Number of Shares	
					(A) (D)				

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

SCHULTZ HOWARD D

2401 UTAH AVENUE SOUTH, SUITE 800
SEATTLE, WA 98134

Â X Â Â executive chairman Â

Signatures

/s/ Robert L. Villasenor, attorney-in-fact for Howard
Schultz

11/15/2017

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 235,000 shares previously shown as indirectly owned through a grantor retained annuity trust (GRAT) for which the reporting
(1) person serves as the sole trustee. The shares were transferred to the reporting person on May 16, 2017 as an annuity payment that was
exempt from Section 16 pursuant to Rule 16a-13.

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