Edgar Filing: ROCHE JOYCE M - Form 4

| ROCHE JOY | YCE M | | | | | | | | | | |
|--|------------------|---|---|---|------------|--|---|---|--|------------------------------|--|
| Form 4 October 03, 2 | 2017 | | | | | | | | | | |
| | _ | | | | | | | | OMB | APPROVAL | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | | 3235-0287 | | |
| Check th if no long subject to Section 1 Form 4 o Form 5 | 6. Filed purs | box STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF | | | | | | | | | |
| obligatio may cont <i>See</i> Instru 1(b). | ns Section 17(a | a) of the F | | ility Hole | ding Con | npany | Act of | of 1935 or Secti | | | |
| (Print or Type I | Responses) | | | | | | | | | | |
| ROCHE JOYCE M Symb TUP | | | Symbol TUPPEI | JPPERWARE BRANDS CORP | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Least) | (Einst) | (cddla) | [TUP] | т I' (т | | | | X Director | 10 | % Owner | |
| (Mon | | | | e of Earliest Transaction n/Day/Year) /2017 | | | | Officer (give titleOther (specify below) below) | | | |
| | | | endment, Date Original nth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | | |
| SAVANNA | H, GA 31411 | | | | | | | Form filed by Person | More than One | Reporting | |
| (City) | (State) (| (Zip) | Table | e I - Non-I | Derivative | Secur | ities Ao | equired, Disposed | of, or Benefici | ally Owned | |
| 1.Title of Security (Instr. 3) | any | | ned 1 Date, if Day/Year) | 3.4. SecuritiesTransactionAcquired (A) orCodeDisposed of (D)(Instr. 8)(Instr. 3, 4 and 5) | | | | Securities Beneficially Owned Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| | | | | Code V | Amount | (A)or(D) Price | | Reported Transaction(s) (Instr. 3 and 4) | | | |
| Common Stock | 09/29/2017 | | | A <u>(1)</u> | 101 | A | \$0 | 9,727 | I | By JMR Revocable Trust | |
| Common Stock | | | | | | | | 21,039 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: ROCHE JOYCE M - Form 4

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Secur | unt of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr |
|---|---|---|--|---|---------------------|--------------------|-------|--|---|---|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|---|---------------|------------|---------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| ROCHE JOYCE M 2 FLOWING WELLS LANE SAVANNAH, GA 31411 | Х | | | | | | | |
| Signatures | | | | | | | | |
| /s/ Susan R. Coumes, Attorney Roche | 1 | 10/03/2017 | | | | | | |
| **Signature of Reporting | Date | | | | | | | |
| Explanation of Responses: | | | | | | | | |

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares issued for a portion of annual retainer under the Issuer's 2016 Incentive Plan in a transaction exempt under Rule 16b-3(d).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.