

Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 13F-HR

PRINCIPAL FINANCIAL GROUP INC  
Form 13F-HR  
May 08, 2009

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Form 13F

Form 13F COVER PAGE

Report for the Calendar Year or Quarter Ended: March 31, 2009

Check here if Amendment [ ] ; Amendment Number:  
This Amendment (Check only one.): [ ] is a restatement.  
[ ] adds new holdings entries.

Institutional Investment Manager Filing this Report:

Name: Principal Financial Group, Inc.  
Address: 711 High Street

Des Moines, Iowa 50392

13F File Number: 28-10106

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Name: Michael Roughton  
Title: Vice-President  
Phone: 515-248-3842

Signature, Place, and Date of Signing:

Michael Roughton Des Moines, Iowa May 08, 2009

Report Type (Check only one.):

- [ ] 13F HOLDINGS REPORT.  
[ ] 13F NOTICE.  
[ X ] 13F COMBINATION REPORT.

List of Other Managers Reporting for this Manager:

| Form 13F File Number | Name                            |
|----------------------|---------------------------------|
| 28-11300             | Spectrum Asset Management, Inc. |
| 28-10449             | Columbus Circle Investors       |
| 28-12454             | Post Advisory Group, LLC        |
| 28-7110              | Edge Asset Management, Inc.     |

# Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 13F-HR

## FORM 13F SUMMARY PAGE

Report Summary:

Number of Other Included Managers: 4

Form13F Information Table Entry Total: 2128

Form13F Information Table Value Total: \$14,977,802 (thousands)

List of Other Included Managers:

Provide a numbered list of the name(s) and Form 13F file number(s) of all institutional managers with respect to which this report is filed, other than the manager filing this report.

| No. | 13F File Number | Name                                 |
|-----|-----------------|--------------------------------------|
| 1   | 28-1549         | Principal Global Investors, Inc.     |
| 2   | 28-10400        | Principal Real Estate Investors, LLC |
| 3   | 28-793          | Principal Life Insurance Co.         |
| 4   | 28-10107        | Principal Financial Services, Inc    |

### FORM 13F INFORMATION TABLE

| NAME OF ISSUER           | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHARES/<br>PRN AMT | SH/<br>PRN | PUT/<br>CALL | INVSTMT<br>DISCRETN | OTH<br>MANA |
|--------------------------|----------------|-----------|--------------------|--------------------|------------|--------------|---------------------|-------------|
| 3COM CORP                | COM            | 885535104 | 8666               | 2804557            | SH         |              | DEFINED             | 1,3,        |
| 3M CO                    | COM            | 88579Y101 | 24889              | 500585             | SH         |              | DEFINED             | 1,3,        |
| 99 CENTS ONLY STORES     | COM            | 65440K106 | 5490               | 594166             | SH         |              | DEFINED             | 1,3,        |
| A D C TELECOMMUNICATIONS | COM NEW        | 000886309 | 436                | 99328              | SH         |              | DEFINED             | 1,3,        |
| AAR CORP                 | COM            | 000361105 | 1704               | 135915             | SH         |              | DEFINED             | 1,3,        |
| AARON RENTS INC          | COM            | 002535201 | 10546              | 395586             | SH         |              | DEFINED             | 1,3,        |
| ABAXIS INC               | COM            | 002567105 | 1327               | 76961              | SH         |              | DEFINED             | 1,3,        |
| ABBOTT LABS              | COM            | 002824100 | 5517               | 115654             | SH         |              | DEFINED             | 1,3,        |
| ABBOTT LABS              | COM            | 002824100 | 1415               | 29664              | SH         |              | DEFINED             | 2,3,        |
| ABBOTT LABS              | COM            | 002824100 | 97354              | 2040971            | SH         |              | DEFINED             | 1,3,        |
| ABERCROMBIE & FITCH CO   | CL A           | 002896207 | 1290               | 54203              | SH         |              | DEFINED             | 1,3,        |
| ABM INDS INC             | COM            | 000957100 | 2566               | 156468             | SH         |              | DEFINED             | 1,3,        |
| ACADIA RLTY TR           | COM SH BEN INT | 004239109 | 540                | 50864              | SH         |              | DEFINED             | 1,3,        |
| ACADIA RLTY TR           | COM SH BEN INT | 004239109 | 1302               | 122708             | SH         |              | DEFINED             | 1,3,        |
| ACADIA RLTY TR           | COM SH BEN INT | 004239109 | 14781              | 1393122            | SH         |              | DEFINED             | 2,3,        |
| ACCENTURE LTD BERMUDA    | CL A           | G1150G111 | 366                | 13327              | SH         |              | DEFINED             | 1,3,        |
| ACCENTURE LTD BERMUDA    | CL A           | G1150G111 | 669                | 24345              | SH         |              | DEFINED             | 2,3,        |
| ACE LTD                  | SHS            | H0023R105 | 15101              | 373799             | SH         |              | DEFINED             | 1,3,        |
| ACI WORLDWIDE INC        | COM            | 004498101 | 674                | 35960              | SH         |              | DEFINED             | 1,3,        |
| ACORDA THERAPEUTICS INC  | COM            | 00484M106 | 2516               | 127000             | SH         |              | DEFINED             | 1,3,        |
| ACTEL CORP               | COM            | 004934105 | 915                | 90452              | SH         |              | DEFINED             | 1,3,        |
| ACTIVISION BLIZZARD INC  | COM            | 00507V109 | 5789               | 553419             | SH         |              | DEFINED             | 1,3,        |
| ACTUANT CORP             | CL A NEW       | 00508X203 | 2047               | 198191             | SH         |              | DEFINED             | 1,3,        |
| ACUITY BRANDS INC        | COM            | 00508Y102 | 3202               | 142052             | SH         |              | DEFINED             | 1,3,        |
| ACXIOM CORP              | COM            | 005125109 | 519                | 70072              | SH         |              | DEFINED             | 1,3,        |
| ADAPTEC INC              | COM            | 00651F108 | 1027               | 427722             | SH         |              | DEFINED             | 1,3,        |
| ADMINISTAFF INC          | COM            | 007094105 | 1623               | 76821              | SH         |              | DEFINED             | 1,3,        |

Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 13F-HR

|                              |               |           |       |         |    |         |       |
|------------------------------|---------------|-----------|-------|---------|----|---------|-------|
| ADOBE SYS INC                | COM           | 00724F101 | 456   | 21334   | SH | DEFINED | 2, 3, |
| ADOBE SYS INC                | COM           | 00724F101 | 20724 | 968844  | SH | DEFINED | 1, 3, |
| ADTRAN INC                   | COM           | 00738A106 | 911   | 56201   | SH | DEFINED | 1, 3, |
| ADVANCE AUTO PARTS INC       | COM           | 00751Y106 | 4350  | 105891  | SH | DEFINED | 1, 3, |
| ADVANCE AUTO PARTS INC       | COM           | 00751Y106 | 212   | 5150    | SH | DEFINED | 1, 3, |
| ADVANCED ENERGY INDS         | COM           | 007973100 | 865   | 114841  | SH | DEFINED | 1, 3, |
| ADVANCED MICRO DEVICES INC   | COM           | 007903107 | 1059  | 347318  | SH | DEFINED | 1, 3, |
| ADVENT SOFTWARE INC          | COM           | 007974108 | 572   | 17182   | SH | DEFINED | 1, 3, |
| AECOM TECHNOLOGY CORP DELAWA | COM           | 00766T100 | 2466  | 94571   | SH | DEFINED | 1, 3, |
| AECOM TECHNOLOGY CORP DELAWA | COM           | 00766T100 | 566   | 21720   | SH | DEFINED | 1, 3, |
| AEROPOSTALE                  | COM           | 007865108 | 1826  | 68771   | SH | DEFINED | 1, 3, |
| AEROVIRONMENT INC            | COM           | 008073108 | 1147  | 54885   | SH | DEFINED | 1, 3, |
| AES CORP                     | COM           | 00130H105 | 157   | 26998   | SH | DEFINED | 1, 3, |
| AES CORP                     | COM           | 00130H105 | 15027 | 2586423 | SH | DEFINED | 1, 3, |
| AETNA INC NEW                | COM           | 00817Y108 | 15037 | 618033  | SH | DEFINED | 1, 3, |
| AETNA INC NEW                | COM           | 00817Y108 | 418   | 17162   | SH | DEFINED | 2, 3, |
| AFFILIATED COMPUTER SERVICES | CL A          | 008190100 | 7648  | 159708  | SH | DEFINED | 1, 3, |
| AFFILIATED MANAGERS GROUP    | COM           | 008252108 | 1763  | 42265   | SH | DEFINED | 1, 3, |
| AFFYMETRIX INC               | COM           | 00826T108 | 238   | 72768   | SH | DEFINED | 1, 3, |
| AFLAC INC                    | COM           | 001055102 | 550   | 28400   | SH | DEFINED | 2, 3, |
| AFLAC INC                    | COM           | 001055102 | 10293 | 531681  | SH | DEFINED | 1, 3, |
| AGCO CORP                    | COM           | 001084102 | 460   | 23446   | SH | DEFINED | 2, 3, |
| AGCO CORP                    | COM           | 001084102 | 7254  | 370112  | SH | DEFINED | 1, 3, |
| AGILENT TECHNOLOGIES INC     | COM           | 00846U101 | 3365  | 218954  | SH | DEFINED | 1, 3, |
| AGILYSYS INC                 | COM           | 00847J105 | 342   | 79534   | SH | DEFINED | 1, 3, |
| AGL RES INC                  | COM           | 001204106 | 2100  | 79145   | SH | DEFINED | 1, 3, |
| AGNICO EAGLE MINES LTD       | COM           | 008474108 | 570   | 10008   | SH | DEFINED | 1, 3, |
| AIR METHODS CORP             | COM PAR \$.06 | 009128307 | 634   | 37511   | SH | DEFINED | 1, 3, |
| AIR PRODS & CHEMS INC        | COM           | 009158106 | 7352  | 130702  | SH | DEFINED | 1, 3, |
| AIRGAS INC                   | COM           | 009363102 | 2830  | 83714   | SH | DEFINED | 1, 3, |
| AIRTRAN HLDGS INC            | COM           | 00949P108 | 560   | 122970  | SH | DEFINED | 1, 3, |
| AK STL HLDG CORP             | COM           | 001547108 | 1063  | 149242  | SH | DEFINED | 1, 3, |
| AKAMAI TECHNOLOGIES INC      | COM           | 00971T101 | 2058  | 106060  | SH | DEFINED | 1, 3, |
| ALASKA AIR GROUP INC         | COM           | 011659109 | 656   | 37346   | SH | DEFINED | 1, 3, |
| ALASKA COMMUNICATIONS SYS GR | COM           | 01167P101 | 3587  | 535441  | SH | DEFINED | 1, 3, |
| ALASKA COMMUNICATIONS SYS GR | COM           | 01167P101 | 713   | 106412  | SH | DEFINED | 1, 3, |
| ALBANY INTL CORP             | CL A          | 012348108 | 848   | 93722   | SH | DEFINED | 1, 3, |
| ALBEMARLE CORP               | COM           | 012653101 | 2047  | 94042   | SH | DEFINED | 1, 3, |
| ALBERTO CULVER CO NEW        | COM           | 013078100 | 1985  | 87802   | SH | DEFINED | 1, 3, |
| ALCOA INC                    | COM           | 013817101 | 4344  | 591882  | SH | DEFINED | 1, 3, |
| ALEXANDER & BALDWIN INC      | COM           | 014482103 | 804   | 42227   | SH | DEFINED | 1, 3, |
| ALEXANDRIA REAL ESTATE EQ IN | COM           | 015271109 | 11023 | 302843  | SH | DEFINED | 1, 3, |
| ALEXANDRIA REAL ESTATE EQ IN | COM           | 015271109 | 982   | 26980   | SH | DEFINED | 1, 3, |
| ALEXANDRIA REAL ESTATE EQ IN | COM           | 015271109 | 28537 | 783981  | SH | DEFINED | 2, 3, |
| ALEXION PHARMACEUTICALS INC  | COM           | 015351109 | 642   | 17046   | SH | DEFINED | 1, 3, |
| ALLEGHENY ENERGY INC         | COM           | 017361106 | 242   | 10424   | SH | DEFINED | 1, 3, |
| ALLEGHENY ENERGY INC         | COM           | 017361106 | 22138 | 955456  | SH | DEFINED | 1, 3, |
| ALLEGHENY TECHNOLOGIES INC   | COM           | 01741R102 | 1329  | 60621   | SH | DEFINED | 1, 3, |
| ALLERGAN INC                 | COM           | 018490102 | 9297  | 194664  | SH | DEFINED | 1, 3, |
| ALLETE INC                   | COM NEW       | 018522300 | 2570  | 96274   | SH | DEFINED | 1, 3, |
| ALLIANCE DATA SYSTEMS CORP   | COM           | 018581108 | 2654  | 71830   | SH | DEFINED | 1, 3, |
| ALLIANCE ONE INTL INC        | COM           | 018772103 | 3204  | 834368  | SH | DEFINED | 1, 3, |
| ALLIANT ENERGY CORP          | COM           | 018802108 | 2807  | 113705  | SH | DEFINED | 1, 3, |
| ALLIANT TECHSYSTEMS INC      | COM           | 018804104 | 28328 | 422930  | SH | DEFINED | 1, 3, |
| ALLIANT TECHSYSTEMS INC      | COM           | 018804104 | 298   | 4456    | SH | DEFINED | 1, 3, |
| ALLIED WRLD ASSUR COM HLDG L | SHS           | G0219G203 | 3599  | 94641   | SH | DEFINED | 1, 3, |
| ALLION HEALTHCARE INC        | COM           | 019615103 | 3316  | 720853  | SH | DEFINED | 3, 4  |
| ALLOS THERAPEUTICS INC       | COM           | 019777101 | 4119  | 666468  | SH | DEFINED | 1, 3, |
| ALLSTATE CORP                | COM           | 020002101 | 11613 | 606412  | SH | DEFINED | 1, 3, |
| ALMOST FAMILY INC            | COM           | 020409108 | 427   | 22382   | SH | DEFINED | 1, 3, |
| ALPHA NATURAL RESOURCES INC  | COM           | 02076X102 | 429   | 24181   | SH | DEFINED | 1, 3, |
| ALTERA CORP                  | COM           | 021441100 | 8897  | 506938  | SH | DEFINED | 1, 3, |

Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 13F-HR

|                              |                |           |       |         |    |         |       |
|------------------------------|----------------|-----------|-------|---------|----|---------|-------|
| ALTERA CORP                  | COM            | 021441100 | 608   | 34621   | SH | DEFINED | 2, 3, |
| ALTERA CORP                  | COM            | 021441100 | 789   | 44939   | SH | DEFINED | 1, 3, |
| ALTRIA GROUP INC             | COM            | 02209S103 | 57742 | 3604389 | SH | DEFINED | 1, 3, |
| ALTRIA GROUP INC             | COM            | 02209S103 | 585   | 36496   | SH | DEFINED | 2, 3, |
| AMAZON COM INC               | COM            | 023135106 | 15535 | 211539  | SH | DEFINED | 1, 3, |
| AMAZON COM INC               | COM            | 023135106 | 7380  | 100495  | SH | DEFINED | 1, 3, |
| AMB PROPERTY CORP            | COM            | 00163T109 | 19518 | 1355380 | SH | DEFINED | 2, 3, |
| AMB PROPERTY CORP            | COM            | 00163T109 | 2197  | 152594  | SH | DEFINED | 1, 3, |
| AMB PROPERTY CORP            | COM            | 00163T109 | 618   | 42911   | SH | DEFINED | 1, 3, |
| AMCOL INTL CORP              | COM            | 02341W103 | 1174  | 79103   | SH | DEFINED | 1, 3, |
| AMEDISYS INC                 | COM            | 023436108 | 368   | 13400   | SH | DEFINED | 2, 3, |
| AMEDISYS INC                 | COM            | 023436108 | 10866 | 395279  | SH | DEFINED | 1, 3, |
| AMEREN CORP                  | COM            | 023608102 | 12072 | 520549  | SH | DEFINED | 1, 3, |
| AMERICA MOVIL SAB DE CV      | SPON ADR L SHS | 02364W105 | 33854 | 1250157 | SH | DEFINED | 1, 3, |
| AMERICAN CAMPUS CMNTYS INC   | COM            | 024835100 | 592   | 34128   | SH | DEFINED | 1, 3, |
| AMERICAN CAMPUS CMNTYS INC   | COM            | 024835100 | 17111 | 985630  | SH | DEFINED | 2, 3, |
| AMERICAN CARESOURCE HLDGS IN | COM            | 02505A103 | 12954 | 1691065 | SH | DEFINED | 3, 4  |
| AMERICAN EAGLE OUTFITTERS NE | COM            | 02553E106 | 2597  | 212180  | SH | DEFINED | 1, 3, |
| AMERICAN ELEC PWR INC        | COM            | 025537101 | 9322  | 369058  | SH | DEFINED | 1, 3, |
| AMERICAN EXPRESS CO          | COM            | 025816109 | 10000 | 733667  | SH | DEFINED | 1, 3, |
| AMERICAN FINL GROUP INC OHIO | COM            | 025932104 | 11858 | 738821  | SH | DEFINED | 1, 3, |
| AMERICAN GREETINGS CORP      | CL A           | 026375105 | 237   | 46747   | SH | DEFINED | 1, 3, |
| AMERICAN INTL GROUP INC      | COM            | 026874107 | 1676  | 1675584 | SH | DEFINED | 1, 3, |
| AMERICAN ITALIAN PASTA CO    | CL A           | 027070101 | 4247  | 122000  | SH | DEFINED | 1, 3, |
| AMERICAN MED SYS HLDGS INC   | COM            | 02744M108 | 7816  | 700978  | SH | DEFINED | 1, 3, |
| AMERICAN PHYSICIANS CAPITAL  | COM            | 028884104 | 874   | 21362   | SH | DEFINED | 1, 3, |
| AMERICAN PHYSICIANS CAPITAL  | COM            | 028884104 | 12186 | 297795  | SH | DEFINED | 1, 3, |
| AMERICAN PUBLIC EDUCATION IN | COM            | 02913V103 | 2362  | 56161   | SH | DEFINED | 1, 3, |
| AMERICAN SCIENCE & ENGR INC  | COM            | 029429107 | 1785  | 31992   | SH | DEFINED | 1, 3, |
| AMERICAN STS WTR CO          | COM            | 029899101 | 2207  | 60769   | SH | DEFINED | 1, 3, |
| AMERICAN TOWER CORP          | CL A           | 029912201 | 20044 | 658676  | SH | DEFINED | 1, 3, |
| AMERICAN TOWER CORP          | CL A           | 029912201 | 594   | 19522   | SH | DEFINED | 2, 3, |
| AMERICAN TOWER CORP          | CL A           | 029912201 | 4671  | 153508  | SH | DEFINED | 1, 3, |
| AMERICAN VANGUARD CORP       | COM            | 030371108 | 915   | 70909   | SH | DEFINED | 1, 3, |
| AMERICAN WTR WKS CO INC NEW  | COM            | 030420103 | 5319  | 276440  | SH | DEFINED | 1, 3, |
| AMERICAN WTR WKS CO INC NEW  | COM            | 030420103 | 825   | 42858   | SH | DEFINED | 1, 3, |
| AMERICAS CAR MART INC        | COM            | 03062T105 | 4650  | 342160  | SH | DEFINED | 1, 3, |
| AMERICREDIT CORP             | COM            | 03060R101 | 794   | 135527  | SH | DEFINED | 1, 3, |
| AMERIGROUP CORP              | COM            | 03073T102 | 5141  | 186673  | SH | DEFINED | 1, 3, |
| AMERIPRISE FINL INC          | COM            | 03076C106 | 20891 | 1019553 | SH | DEFINED | 1, 3, |
| AMERISAFE INC                | COM            | 03071H100 | 633   | 41335   | SH | DEFINED | 1, 3, |
| AMERISAFE INC                | COM            | 03071H100 | 8205  | 535586  | SH | DEFINED | 1, 3, |
| AMERISOURCEBERGEN CORP       | COM            | 03073E105 | 10296 | 315263  | SH | DEFINED | 1, 3, |
| AMERON INTL INC              | COM            | 030710107 | 2660  | 50511   | SH | DEFINED | 1, 3, |
| AMETEK INC NEW               | COM            | 031100100 | 8375  | 267842  | SH | DEFINED | 1, 3, |
| AMETEK INC NEW               | COM            | 031100100 | 946   | 30238   | SH | DEFINED | 1, 3, |
| AMGEN INC                    | COM            | 031162100 | 6376  | 128754  | SH | DEFINED | 1, 3, |
| AMGEN INC                    | COM            | 031162100 | 84209 | 1700505 | SH | DEFINED | 1, 3, |
| AMGEN INC                    | COM            | 031162100 | 1099  | 22189   | SH | DEFINED | 2, 3, |
| AMN HEALTHCARE SERVICES INC  | COM            | 001744101 | 583   | 114250  | SH | DEFINED | 1, 3, |
| AMPHENOL CORP NEW            | CL A           | 032095101 | 6783  | 238099  | SH | DEFINED | 1, 3, |
| AMPHENOL CORP NEW            | CL A           | 032095101 | 3054  | 107178  | SH | DEFINED | 1, 3, |
| AMSURG CORP                  | COM            | 03232P405 | 1754  | 110639  | SH | DEFINED | 1, 3, |
| AMTRUST FINANCIAL SERVICES I | COM            | 032359309 | 2674  | 279993  | SH | DEFINED | 1, 3, |
| ANADARKO PETE CORP           | COM            | 032511107 | 11256 | 289422  | SH | DEFINED | 1, 3, |
| ANALOG DEVICES INC           | COM            | 032654105 | 3496  | 181416  | SH | DEFINED | 1, 3, |
| ANALOGIC CORP                | COM PAR \$0.05 | 032657207 | 3553  | 110964  | SH | DEFINED | 1, 3, |
| ANAREN INC                   | COM            | 032744104 | 678   | 62001   | SH | DEFINED | 1, 3, |
| ANAREN INC                   | COM            | 032744104 | 3862  | 353040  | SH | DEFINED | 1, 3, |
| ANDERSONS INC                | COM            | 034164103 | 904   | 63965   | SH | DEFINED | 1, 3, |
| ANIXTER INTL INC             | COM            | 035290105 | 6377  | 201300  | SH | DEFINED | 1, 3, |
| ANNALY CAP MGMT INC          | COM            | 035710409 | 17003 | 1225882 | SH | DEFINED | 1, 3, |

Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 13F-HR

|                              |           |           |        |         |    |         |       |
|------------------------------|-----------|-----------|--------|---------|----|---------|-------|
| ANN TAYLOR STORES CORP       | COM       | 036115103 | 306    | 58913   | SH | DEFINED | 1, 3, |
| ANSYS INC                    | COM       | 03662Q105 | 7846   | 312606  | SH | DEFINED | 1, 3, |
| AON CORP                     | COM       | 037389103 | 35311  | 865042  | SH | DEFINED | 1, 3, |
| APACHE CORP                  | COM       | 037411105 | 14765  | 230376  | SH | DEFINED | 1, 3, |
| APARTMENT INVT & MGMT CO     | CL A      | 03748R101 | 429    | 78207   | SH | DEFINED | 1, 3, |
| APOGEE ENTERPRISES INC       | COM       | 037598109 | 1073   | 97734   | SH | DEFINED | 1, 3, |
| APOLLO GROUP INC             | CL A      | 037604105 | 18054  | 230493  | SH | DEFINED | 1, 3, |
| APOLLO GROUP INC             | CL A      | 037604105 | 6105   | 77944   | SH | DEFINED | 1, 3, |
| APOLLO INVT CORP             | COM       | 03761U106 | 509    | 146404  | SH | DEFINED | 1, 3, |
| APPLE INC                    | COM       | 037833100 | 10106  | 96142   | SH | DEFINED | 1, 3, |
| APPLE INC                    | COM       | 037833100 | 1728   | 16435   | SH | DEFINED | 2, 3, |
| APPLE INC                    | COM       | 037833100 | 112208 | 1067427 | SH | DEFINED | 1, 3, |
| APPLIED INDL TECHNOLOGIES IN | COM       | 03820C105 | 2152   | 127603  | SH | DEFINED | 1, 3, |
| APPLIED MATLS INC            | COM       | 038222105 | 9002   | 837356  | SH | DEFINED | 1, 3, |
| APPLIED SIGNAL TECHNOLOGY IN | COM       | 038237103 | 923    | 45611   | SH | DEFINED | 1, 3, |
| APTARGROUP INC               | COM       | 038336103 | 3883   | 124679  | SH | DEFINED | 1, 3, |
| AQUA AMERICA INC             | COM       | 03836W103 | 5128   | 256408  | SH | DEFINED | 1, 3, |
| ARBITRON INC                 | COM       | 03875Q108 | 3786   | 252204  | SH | DEFINED | 1, 3, |
| ARCH CAP GROUP LTD           | ORD       | G0450A105 | 1087   | 20176   | SH | DEFINED | 1, 3, |
| ARCH CHEMICALS INC           | COM       | 03937R102 | 3934   | 207496  | SH | DEFINED | 1, 3, |
| ARCH CHEMICALS INC           | COM       | 03937R102 | 703    | 37096   | SH | DEFINED | 1, 3, |
| ARCH COAL INC                | COM       | 039380100 | 1966   | 147024  | SH | DEFINED | 1, 3, |
| ARCHER DANIELS MIDLAND CO    | COM       | 039483102 | 23456  | 844342  | SH | DEFINED | 1, 3, |
| ARCTIC CAT INC               | COM       | 039670104 | 160    | 41815   | SH | DEFINED | 1, 3, |
| ARENA RESOURCES INC          | COM       | 040049108 | 5296   | 207860  | SH | DEFINED | 1, 3, |
| ARES CAP CORP                | COM       | 04010L103 | 2336   | 482719  | SH | DEFINED | 1, 3, |
| ARIBA INC                    | COM NEW   | 04033V203 | 1580   | 180974  | SH | DEFINED | 1, 3, |
| ARKANSAS BEST CORP DEL       | COM       | 040790107 | 1691   | 88900   | SH | DEFINED | 1, 3, |
| ARQULE INC                   | COM       | 04269E107 | 2443   | 590034  | SH | DEFINED | 1, 3, |
| ARRAY BIOPHARMA INC          | COM       | 04269X105 | 1974   | 747770  | SH | DEFINED | 1, 3, |
| ARRIS GROUP INC              | COM       | 04269Q100 | 3188   | 432566  | SH | DEFINED | 1, 3, |
| ARROW ELECTRS INC            | COM       | 042735100 | 2341   | 122813  | SH | DEFINED | 1, 3, |
| ASCENT MEDIA CORP            | COM SER A | 043632108 | 3284   | 131378  | SH | DEFINED | 1, 3, |
| ASHLAND INC NEW              | COM       | 044209104 | 6611   | 639960  | SH | DEFINED | 1, 3, |
| ASIAINFO HLDGS INC           | COM       | 04518A104 | 1870   | 111000  | SH | DEFINED | 1, 3, |
| ASPEN INSURANCE HOLDINGS LTD | SHS       | G05384105 | 9015   | 401400  | SH | DEFINED | 1, 3, |
| ASSOCIATED BANC CORP         | COM       | 045487105 | 2032   | 131629  | SH | DEFINED | 1, 3, |
| ASSOCIATED ESTATES RLTY CORP | COM       | 045604105 | 71     | 12500   | SH | DEFINED | 1, 3, |
| ASSURANT INC                 | COM       | 04621X108 | 1642   | 75385   | SH | DEFINED | 1, 3, |
| ASTEC INDS INC               | COM       | 046224101 | 4449   | 169613  | SH | DEFINED | 1, 3, |
| ASTORIA FINL CORP            | COM       | 046265104 | 2214   | 240912  | SH | DEFINED | 1, 3, |
| AT&T INC                     | COM       | 00206R102 | 168503 | 6686618 | SH | DEFINED | 1, 3, |
| ATC TECHNOLOGY CORP          | COM       | 00211W104 | 779    | 69532   | SH | DEFINED | 1, 3, |
| ATC TECHNOLOGY CORP          | COM       | 00211W104 | 781    | 69702   | SH | DEFINED | 1, 3, |
| ATMEL CORP                   | COM       | 049513104 | 2844   | 783596  | SH | DEFINED | 1, 3, |
| ATMI INC                     | COM       | 00207R101 | 3336   | 216192  | SH | DEFINED | 1, 3, |
| ATMOS ENERGY CORP            | COM       | 049560105 | 349    | 15079   | SH | DEFINED | 2, 3, |
| ATMOS ENERGY CORP            | COM       | 049560105 | 13932  | 602584  | SH | DEFINED | 1, 3, |
| ATWOOD OCEANICS INC          | COM       | 050095108 | 3256   | 196261  | SH | DEFINED | 1, 3, |
| AUDIOVOX CORP                | CL A      | 050757103 | 222    | 64803   | SH | DEFINED | 1, 3, |
| AUTODESK INC                 | COM       | 052769106 | 2427   | 144369  | SH | DEFINED | 1, 3, |
| AUTOMATIC DATA PROCESSING IN | COM       | 053015103 | 21642  | 615527  | SH | DEFINED | 1, 3, |
| AUTOMATIC DATA PROCESSING IN | COM       | 053015103 | 392    | 11142   | SH | DEFINED | 2, 3, |
| AUTONATION INC               | COM       | 05329W102 | 932    | 67162   | SH | DEFINED | 1, 3, |
| AUTOZONE INC                 | COM       | 053332102 | 3043   | 18710   | SH | DEFINED | 1, 3, |
| AUTOZONE INC                 | COM       | 053332102 | 6530   | 40154   | SH | DEFINED | 1, 3, |
| AVALONBAY CMNTYS INC         | COM       | 053484101 | 2442   | 51895   | SH | DEFINED | 1, 3, |
| AVALONBAY CMNTYS INC         | COM       | 053484101 | 1121   | 23824   | SH | DEFINED | 1, 3, |
| AVALONBAY CMNTYS INC         | COM       | 053484101 | 32318  | 686755  | SH | DEFINED | 2, 3, |
| AVERY DENNISON CORP          | COM       | 053611109 | 1568   | 70203   | SH | DEFINED | 1, 3, |
| AVID TECHNOLOGY INC          | COM       | 05367P100 | 976    | 106801  | SH | DEFINED | 1, 3, |
| AVISTA CORP                  | COM       | 05379B107 | 11890  | 862867  | SH | DEFINED | 1, 3, |

Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 13F-HR

|                              |                |           |       |         |    |         |      |
|------------------------------|----------------|-----------|-------|---------|----|---------|------|
| AVNET INC                    | COM            | 053807103 | 2704  | 154425  | SH | DEFINED | 1,3, |
| AVOCENT CORP                 | COM            | 053893103 | 673   | 55421   | SH | DEFINED | 1,3, |
| AVOCENT CORP                 | COM            | 053893103 | 7710  | 635099  | SH | DEFINED | 1,3, |
| AVON PRODS INC               | COM            | 054303102 | 5105  | 265466  | SH | DEFINED | 1,3, |
| AXIS CAPITAL HOLDINGS        | SHS            | G0692U109 | 1499  | 66510   | SH | DEFINED | 1,3, |
| AXSYS TECHNOLOGIES INC       | COM            | 054615109 | 1367  | 32513   | SH | DEFINED | 1,3, |
| AZZ INC                      | COM            | 002474104 | 12072 | 457456  | SH | DEFINED | 1,3, |
| AZZ INC                      | COM            | 002474104 | 976   | 36980   | SH | DEFINED | 1,3, |
| BAKER HUGHES INC             | COM            | 057224107 | 5495  | 192459  | SH | DEFINED | 1,3, |
| BALCHEM CORP                 | COM            | 057665200 | 1606  | 63923   | SH | DEFINED | 1,3, |
| BALDOR ELEC CO               | COM            | 057741100 | 2356  | 162563  | SH | DEFINED | 1,3, |
| BALL CORP                    | COM            | 058498106 | 9630  | 221889  | SH | DEFINED | 1,3, |
| BALL CORP                    | COM            | 058498106 | 885   | 20392   | SH | DEFINED | 1,3, |
| BALLY TECHNOLOGIES INC       | COM            | 05874B107 | 10720 | 581962  | SH | DEFINED | 1,3, |
| BANCFIRST CORP               | COM            | 05945F103 | 2906  | 79828   | SH | DEFINED | 1,3, |
| BANCFIRST CORP               | COM            | 05945F103 | 935   | 25699   | SH | DEFINED | 1,3, |
| BANCO LATINOAMERICANO DE EXP | CL E           | P16994132 | 3176  | 339000  | SH | DEFINED | 1,3, |
| BANCO SANTANDER SA           | ADR            | 05964H105 | 317   | 45875   | SH | DEFINED | 1,3, |
| BANCOLOMBIA S A              | SPON ADR PREF  | 05968L102 | 12530 | 643563  | SH | DEFINED | 1,3, |
| BANCORPSOUTH INC             | COM            | 059692103 | 6744  | 323616  | SH | DEFINED | 1,3, |
| BANK HAWAII CORP             | COM            | 062540109 | 13796 | 418307  | SH | DEFINED | 1,3, |
| BANK MUTUAL CORP NEW         | COM            | 063750103 | 1519  | 167636  | SH | DEFINED | 1,3, |
| BANK OF AMERICA CORPORATION  | COM            | 060505104 | 47513 | 6966642 | SH | DEFINED | 1,3, |
| BANK OF NEW YORK MELLON CORP | COM            | 064058100 | 28121 | 995451  | SH | DEFINED | 1,3, |
| BANK OF THE OZARKS INC       | COM            | 063904106 | 10927 | 473433  | SH | DEFINED | 1,3, |
| BANK OF THE OZARKS INC       | COM            | 063904106 | 898   | 38918   | SH | DEFINED | 1,3, |
| BANKRATE INC                 | COM            | 06646V108 | 1220  | 48885   | SH | DEFINED | 1,3, |
| BARCLAYS BANK PLC            | SP ADR 7.1%PF3 | 06739H776 | 5634  | 481501  | SH | DEFINED | 1,3, |
| BARCLAYS BANK PLC            | ADR PFD SR 5   | 06739H362 | 4340  | 325828  | SH | DEFINED | 1,3, |
| BARCLAYS BK PLC              | ADR 2 PREF 2   | 06739F390 | 3878  | 359431  | SH | DEFINED | 1,3, |
| BARD C R INC                 | COM            | 067383109 | 4940  | 61971   | SH | DEFINED | 1,3, |
| BARD C R INC                 | COM            | 067383109 | 1128  | 14154   | SH | DEFINED | 1,3, |
| BARNES & NOBLE INC           | COM            | 067774109 | 805   | 37646   | SH | DEFINED | 1,3, |
| BARNES GROUP INC             | COM            | 067806109 | 1571  | 146997  | SH | DEFINED | 1,3, |
| BARRETT BILL CORP            | COM            | 06846N104 | 847   | 38088   | SH | DEFINED | 1,3, |
| BASIC ENERGY SVCS INC NEW    | COM            | 06985P100 | 512   | 79205   | SH | DEFINED | 1,3, |
| BAXTER INTL INC              | COM            | 071813109 | 935   | 18245   | SH | DEFINED | 2,3, |
| BAXTER INTL INC              | COM            | 071813109 | 5744  | 112143  | SH | DEFINED | 1,3, |
| BAXTER INTL INC              | COM            | 071813109 | 45744 | 893088  | SH | DEFINED | 1,3, |
| BB&T CORP                    | COM            | 054937107 | 30960 | 1829802 | SH | DEFINED | 1,3, |
| BE AEROSPACE INC             | COM            | 073302101 | 6403  | 738554  | SH | DEFINED | 1,3, |
| BEACON ROOFING SUPPLY INC    | COM            | 073685109 | 5712  | 426550  | SH | DEFINED | 1,3, |
| BECKMAN COULTER INC          | COM            | 075811109 | 3318  | 65039   | SH | DEFINED | 1,3, |
| BECTON DICKINSON & CO        | COM            | 075887109 | 20022 | 297773  | SH | DEFINED | 1,3, |
| BED BATH & BEYOND INC        | COM            | 075896100 | 4003  | 161725  | SH | DEFINED | 1,3, |
| BEL FUSE INC                 | CL B           | 077347300 | 540   | 40213   | SH | DEFINED | 1,3, |
| BELDEN INC                   | COM            | 077454106 | 2048  | 163681  | SH | DEFINED | 1,3, |
| BELDEN INC                   | COM            | 077454106 | 844   | 67439   | SH | DEFINED | 1,3, |
| BELO CORP                    | COM SER A      | 080555105 | 56    | 91749   | SH | DEFINED | 1,3, |
| BEMIS INC                    | COM            | 081437105 | 1306  | 62266   | SH | DEFINED | 1,3, |
| BENCHMARK ELECTRS INC        | COM            | 08160H101 | 7283  | 650301  | SH | DEFINED | 1,3, |
| BERKLEY W R CORP             | COM            | 084423102 | 3225  | 143018  | SH | DEFINED | 1,3, |
| BERKSHIRE HATHAWAY INC DEL   | CL B           | 084670207 | 11915 | 4225    | SH | DEFINED | 1,3, |
| BERKSHIRE HATHAWAY INC DEL   | CL B           | 084670207 | 1074  | 381     | SH | DEFINED | 2,3, |
| BEST BUY INC                 | COM            | 086516101 | 18483 | 486929  | SH | DEFINED | 1,3, |
| BEST BUY INC                 | COM            | 086516101 | 5676  | 149519  | SH | DEFINED | 1,3, |
| BHP BILLITON LTD             | SPONSORED ADR  | 088606108 | 352   | 7888    | SH | DEFINED | 1,3, |
| BIG 5 SPORTING GOODS CORP    | COM            | 08915P101 | 444   | 75574   | SH | DEFINED | 1,3, |
| BIG LOTS INC                 | COM            | 089302103 | 13994 | 673440  | SH | DEFINED | 1,3, |
| BIO RAD LABS INC             | CL A           | 090572207 | 6036  | 91588   | SH | DEFINED | 1,3, |
| BIO-REFERENCE LABS INC       | COM \$.01 NEW  | 09057G602 | 850   | 40667   | SH | DEFINED | 1,3, |
| BIOGEN IDEC INC              | COM            | 09062X103 | 9905  | 188950  | SH | DEFINED | 1,3, |

Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 13F-HR

|                              |                |           |       |         |    |         |       |
|------------------------------|----------------|-----------|-------|---------|----|---------|-------|
| BIOMARIN PHARMACEUTICAL INC  | COM            | 09061G101 | 2705  | 219020  | SH | DEFINED | 1, 3, |
| BIOMED REALTY TRUST INC      | COM            | 09063H107 | 1930  | 285024  | SH | DEFINED | 1, 3, |
| BJ SVCS CO                   | COM            | 055482103 | 1808  | 181675  | SH | DEFINED | 1, 3, |
| BJS WHOLESALE CLUB INC       | COM            | 05548J106 | 20478 | 640148  | SH | DEFINED | 1, 3, |
| BLACK & DECKER CORP          | COM            | 091797100 | 1179  | 37342   | SH | DEFINED | 1, 3, |
| BLACK BOX CORP DEL           | COM            | 091826107 | 1455  | 61629   | SH | DEFINED | 1, 3, |
| BLACK HILLS CORP             | COM            | 092113109 | 712   | 39818   | SH | DEFINED | 1, 3, |
| BLACKBAUD INC                | COM            | 09227Q100 | 1787  | 153923  | SH | DEFINED | 1, 3, |
| BLACKBOARD INC               | COM            | 091935502 | 1721  | 54236   | SH | DEFINED | 1, 3, |
| BLOCK H & R INC              | COM            | 093671105 | 3870  | 212773  | SH | DEFINED | 1, 3, |
| BLUE COAT SYSTEMS INC        | COM NEW        | 09534T508 | 5323  | 443242  | SH | DEFINED | 1, 3, |
| BLUE NILE INC                | COM            | 09578R103 | 1536  | 50954   | SH | DEFINED | 1, 3, |
| BMC SOFTWARE INC             | COM            | 055921100 | 1079  | 32700   | SH | DEFINED | 1, 3, |
| BMC SOFTWARE INC             | COM            | 055921100 | 15767 | 477775  | SH | DEFINED | 1, 3, |
| BOB EVANS FARMS INC          | COM            | 096761101 | 708   | 31588   | SH | DEFINED | 1, 3, |
| BOEING CO                    | COM            | 097023105 | 16233 | 456242  | SH | DEFINED | 1, 3, |
| BOK FINL CORP                | COM NEW        | 05561Q201 | 1014  | 29336   | SH | DEFINED | 1, 3, |
| BORGWARNER INC               | COM            | 099724106 | 2414  | 118910  | SH | DEFINED | 1, 3, |
| BOSTON BEER INC              | CL A           | 100557107 | 730   | 34989   | SH | DEFINED | 1, 3, |
| BOSTON PRIVATE FINL HLDGS IN | COM            | 101119105 | 786   | 224066  | SH | DEFINED | 1, 3, |
| BOSTON PROPERTIES INC        | COM            | 101121101 | 49776 | 1420967 | SH | DEFINED | 2, 3, |
| BOSTON PROPERTIES INC        | COM            | 101121101 | 8639  | 246621  | SH | DEFINED | 1, 3, |
| BOSTON PROPERTIES INC        | COM            | 101121101 | 1717  | 49029   | SH | DEFINED | 1, 3, |
| BOSTON SCIENTIFIC CORP       | COM            | 101137107 | 7436  | 935380  | SH | DEFINED | 1, 3, |
| BOSTON SCIENTIFIC CORP       | COM            | 101137107 | 2749  | 345747  | SH | DEFINED | 1, 3, |
| BOWNE & CO INC               | COM            | 103043105 | 307   | 95565   | SH | DEFINED | 1, 3, |
| BOYD GAMING CORP             | COM            | 103304101 | 214   | 57303   | SH | DEFINED | 1, 3, |
| BRADY CORP                   | CL A           | 104674106 | 3179  | 180301  | SH | DEFINED | 1, 3, |
| BRANDYWINE RLTY TR           | SH BEN INT NEW | 105368203 | 839   | 294511  | SH | DEFINED | 1, 3, |
| BRE PROPERTIES INC           | CL A           | 05564E106 | 756   | 38500   | SH | DEFINED | 1, 3, |
| BRE PROPERTIES INC           | CL A           | 05564E106 | 21410 | 1090699 | SH | DEFINED | 2, 3, |
| BRE PROPERTIES INC           | CL A           | 05564E106 | 1179  | 60050   | SH | DEFINED | 1, 3, |
| BRIGGS & STRATTON CORP       | COM            | 109043109 | 5192  | 314681  | SH | DEFINED | 1, 3, |
| BRIGHTPOINT INC              | COM NEW        | 109473405 | 775   | 181115  | SH | DEFINED | 1, 3, |
| BRINKER INTL INC             | COM            | 109641100 | 1907  | 126333  | SH | DEFINED | 1, 3, |
| BRINKS CO                    | COM            | 109696104 | 2187  | 82651   | SH | DEFINED | 1, 3, |
| BRINKS HOME SEC HLDGS INC    | COM            | 109699108 | 948   | 41933   | SH | DEFINED | 1, 3, |
| BRISTOL MYERS SQUIBB CO      | COM            | 110122108 | 4366  | 199174  | SH | DEFINED | 1, 3, |
| BRISTOL MYERS SQUIBB CO      | COM            | 110122108 | 50220 | 2291069 | SH | DEFINED | 1, 3, |
| BRISTOW GROUP INC            | COM            | 110394103 | 2192  | 102288  | SH | DEFINED | 1, 3, |
| BROADCOM CORP                | CL A           | 111320107 | 5295  | 265052  | SH | DEFINED | 1, 3, |
| BROADCOM CORP                | CL A           | 111320107 | 4135  | 206951  | SH | DEFINED | 1, 3, |
| BROADRIDGE FINL SOLUTIONS IN | COM            | 11133T103 | 11166 | 600016  | SH | DEFINED | 1, 3, |
| BROCADE COMMUNICATIONS SYS I | COM NEW        | 111621306 | 551   | 159765  | SH | DEFINED | 1, 3, |
| BROOKFIELD ASSET MGMT INC    | CL A LTD VT SH | 112585104 | 13836 | 1004075 | SH | DEFINED | 1, 3, |
| BROOKLINE BANCORP INC DEL    | COM            | 11373M107 | 1949  | 205156  | SH | DEFINED | 1, 3, |
| BROOKS AUTOMATION INC        | COM            | 114340102 | 1031  | 223619  | SH | DEFINED | 1, 3, |
| BROWN & BROWN INC            | COM            | 115236101 | 205   | 10834   | SH | DEFINED | 1, 3, |
| BROWN & BROWN INC            | COM            | 115236101 | 18704 | 989113  | SH | DEFINED | 1, 3, |
| BROWN FORMAN CORP            | CL B           | 115637209 | 2352  | 60597   | SH | DEFINED | 1, 3, |
| BROWN SHOE INC NEW           | COM            | 115736100 | 558   | 148766  | SH | DEFINED | 1, 3, |
| BRUNSWICK CORP               | COM            | 117043109 | 1068  | 309518  | SH | DEFINED | 1, 3, |
| BRUSH ENGINEERED MATLS INC   | COM            | 117421107 | 979   | 70604   | SH | DEFINED | 1, 3, |
| BUCKEYE TECHNOLOGIES INC     | COM            | 118255108 | 289   | 135516  | SH | DEFINED | 1, 3, |
| BUCKLE INC                   | COM            | 118440106 | 2639  | 82648   | SH | DEFINED | 1, 3, |
| BUCYRUS INTL INC NEW         | COM            | 118759109 | 303   | 19928   | SH | DEFINED | 2, 3, |
| BUCYRUS INTL INC NEW         | COM            | 118759109 | 6237  | 410859  | SH | DEFINED | 1, 3, |
| BUFFALO WILD WINGS INC       | COM            | 119848109 | 4719  | 128992  | SH | DEFINED | 1, 3, |
| BURGER KING HLDGS INC        | COM            | 121208201 | 503   | 21925   | SH | DEFINED | 1, 3, |
| BURLINGTON NORTHN SANTA FE C | COM            | 12189T104 | 382   | 6347    | SH | DEFINED | 2, 3, |
| BURLINGTON NORTHN SANTA FE C | COM            | 12189T104 | 11414 | 189763  | SH | DEFINED | 1, 3, |
| C D I CORP                   | COM            | 125071100 | 438   | 45032   | SH | DEFINED | 1, 3, |

Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 13F-HR

|                              |              |           |       |         |    |         |      |
|------------------------------|--------------|-----------|-------|---------|----|---------|------|
| C H ROBINSON WORLDWIDE INC   | COM NEW      | 12541W209 | 4861  | 106584  | SH | DEFINED | 1,3, |
| C&D TECHNOLOGIES INC         | COM          | 124661109 | 170   | 91781   | SH | DEFINED | 1,3, |
| CA INC                       | COM          | 12673P105 | 5787  | 328626  | SH | DEFINED | 1,3, |
| CA INC                       | COM          | 12673P105 | 475   | 27000   | SH | DEFINED | 2,3, |
| CABELAS INC                  | COM          | 126804301 | 1263  | 138622  | SH | DEFINED | 1,3, |
| CABOT CORP                   | COM          | 127055101 | 707   | 67284   | SH | DEFINED | 1,3, |
| CABOT MICROELECTRONICS CORP  | COM          | 12709P103 | 1976  | 82219   | SH | DEFINED | 1,3, |
| CABOT OIL & GAS CORP         | COM          | 127097103 | 1518  | 64417   | SH | DEFINED | 1,3, |
| CACI INTL INC                | CL A         | 127190304 | 3838  | 105190  | SH | DEFINED | 1,3, |
| CADENCE DESIGN SYSTEM INC    | COM          | 127387108 | 1143  | 272251  | SH | DEFINED | 1,3, |
| CAL MAINE FOODS INC          | COM NEW      | 128030202 | 991   | 44274   | SH | DEFINED | 1,3, |
| CALGON CARBON CORP           | COM          | 129603106 | 7098  | 500896  | SH | DEFINED | 1,3, |
| CALIFORNIA PIZZA KITCHEN INC | COM          | 13054D109 | 1108  | 84738   | SH | DEFINED | 1,3, |
| CALLAWAY GOLF CO             | COM          | 131193104 | 476   | 66263   | SH | DEFINED | 1,3, |
| CALPINE CORP                 | COM NEW      | 131347304 | 14954 | 2195833 | SH | DEFINED | 1,3, |
| CALPINE CORP                 | COM NEW      | 131347304 | 182   | 26708   | SH | DEFINED | 1,3, |
| CAMBREX CORP                 | COM          | 132011107 | 234   | 102467  | SH | DEFINED | 1,3, |
| CAMDEN PPTY TR               | SH BEN INT   | 133131102 | 1251  | 57965   | SH | DEFINED | 1,3, |
| CAMERON INTERNATIONAL CORP   | COM          | 13342B105 | 13240 | 603726  | SH | DEFINED | 1,3, |
| CAMPBELL SOUP CO             | COM          | 134429109 | 3489  | 127536  | SH | DEFINED | 1,3, |
| CANTEL MEDICAL CORP          | COM          | 138098108 | 5468  | 424840  | SH | DEFINED | 1,3, |
| CANTEL MEDICAL CORP          | COM          | 138098108 | 803   | 62392   | SH | DEFINED | 1,3, |
| CAPELLA EDUCATION COMPANY    | COM          | 139594105 | 5968  | 112602  | SH | DEFINED | 1,3, |
| CAPITAL ONE FINL CORP        | COM          | 14040H105 | 5122  | 418500  | SH | DEFINED | 1,3, |
| CAPSTEAD MTG CORP            | COM NO PAR   | 14067E506 | 3828  | 356400  | SH | DEFINED | 1,3, |
| CARBO CERAMICS INC           | COM          | 140781105 | 1963  | 69038   | SH | DEFINED | 1,3, |
| CARDINAL HEALTH INC          | COM          | 14149Y108 | 7067  | 224505  | SH | DEFINED | 1,3, |
| CAREER EDUCATION CORP        | COM          | 141665109 | 1815  | 75734   | SH | DEFINED | 1,3, |
| CARLISLE COS INC             | COM          | 142339100 | 1232  | 62767   | SH | DEFINED | 1,3, |
| CARMAX INC                   | COM          | 143130102 | 2822  | 226886  | SH | DEFINED | 1,3, |
| CARNIVAL CORP                | PAIRED CTF   | 143658300 | 8741  | 404676  | SH | DEFINED | 1,3, |
| CARPENTER TECHNOLOGY CORP    | COM          | 144285103 | 640   | 45357   | SH | DEFINED | 1,3, |
| CARTER INC                   | COM          | 146229109 | 3725  | 198050  | SH | DEFINED | 1,3, |
| CASCADE BANCORP              | COM          | 147154108 | 161   | 98535   | SH | DEFINED | 1,3, |
| CASCADE CORP                 | COM          | 147195101 | 525   | 29753   | SH | DEFINED | 1,3, |
| CASEYS GEN STORES INC        | COM          | 147528103 | 8555  | 320884  | SH | DEFINED | 1,3, |
| CASH AMER INTL INC           | COM          | 14754D100 | 2408  | 153739  | SH | DEFINED | 1,3, |
| CASTLE A M & CO              | COM          | 148411101 | 548   | 61393   | SH | DEFINED | 1,3, |
| CATALYST HEALTH SOLUTIONS IN | COM          | 14888B103 | 10279 | 518637  | SH | DEFINED | 1,3, |
| CATAPULT COMMUNICATIONS CORP | COM          | 149016107 | 190   | 27194   | SH | DEFINED | 1,3, |
| CATERPILLAR INC DEL          | COM          | 149123101 | 10541 | 376989  | SH | DEFINED | 1,3, |
| CATHAY GENERAL BANCORP       | COM          | 149150104 | 532   | 50995   | SH | DEFINED | 1,3, |
| CATO CORP NEW                | CL A         | 149205106 | 1888  | 103309  | SH | DEFINED | 1,3, |
| CB RICHARD ELLIS GROUP INC   | CL A         | 12497T101 | 562   | 139384  | SH | DEFINED | 1,3, |
| CBIZ INC                     | COM          | 124805102 | 3024  | 433800  | SH | DEFINED | 1,3, |
| CBS CORP NEW                 | CL B         | 124857202 | 2910  | 757851  | SH | DEFINED | 1,3, |
| CEC ENTMT INC                | COM          | 125137109 | 2067  | 79885   | SH | DEFINED | 1,3, |
| CEDAR SHOPPING CTRS INC      | COM NEW      | 150602209 | 272   | 156361  | SH | DEFINED | 1,3, |
| CELERA CORP                  | COM          | 15100E106 | 10160 | 1331606 | SH | DEFINED | 1,3, |
| CELGENE CORP                 | COM          | 151020104 | 12729 | 286680  | SH | DEFINED | 1,3, |
| CELLCOM ISRAEL LTD           | SHS          | M2196U109 | 15443 | 725040  | SH | DEFINED | 1,3, |
| CEMEX SAB DE CV              | SPON ADR NEW | 151290889 | 64    | 10200   | SH | DEFINED | 1,3, |
| CENTENE CORP DEL             | COM          | 15135B101 | 2724  | 151165  | SH | DEFINED | 1,3, |
| CENTERPOINT ENERGY INC       | COM          | 15189T107 | 2257  | 216393  | SH | DEFINED | 1,3, |
| CENTEX CORP                  | COM          | 152312104 | 578   | 77090   | SH | DEFINED | 1,3, |
| CENTRAL EUROPEAN DIST CORP   | COM          | 153435102 | 2538  | 235919  | SH | DEFINED | 1,3, |
| CENTRAL GARDEN & PET CO      | CL A NON-VTG | 153527205 | 1870  | 248687  | SH | DEFINED | 1,3, |
| CENTRAL PAC FINL CORP        | COM          | 154760102 | 566   | 100995  | SH | DEFINED | 1,3, |
| CENTRAL VT PUB SVC CORP      | COM          | 155771108 | 3528  | 203908  | SH | DEFINED | 1,3, |
| CENTURY ALUM CO              | COM          | 156431108 | 409   | 193696  | SH | DEFINED | 1,3, |
| CENTURYTEL INC               | COM          | 156700106 | 13377 | 475728  | SH | DEFINED | 1,3, |
| CEPHALON INC                 | COM          | 156708109 | 4427  | 65006   | SH | DEFINED | 1,3, |



Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 13F-HR

|                              |                |           |        |         |    |         |      |
|------------------------------|----------------|-----------|--------|---------|----|---------|------|
| CEPHALON INC                 | COM            | 156708109 | 2917   | 42838   | SH | DEFINED | 1,3, |
| CERADYNE INC                 | COM            | 156710105 | 1647   | 90832   | SH | DEFINED | 1,3, |
| CERNER CORP                  | COM            | 156782104 | 3054   | 69446   | SH | DEFINED | 1,3, |
| CF INDS HLDGS INC            | COM            | 125269100 | 2147   | 30186   | SH | DEFINED | 1,3, |
| CH ENERGY GROUP INC          | COM            | 12541M102 | 2601   | 55469   | SH | DEFINED | 1,3, |
| CHARLES RIV LABS INTL INC    | COM            | 159864107 | 1871   | 68745   | SH | DEFINED | 1,3, |
| CHARLOTTE RUSSE HLDG INC     | COM            | 161048103 | 602    | 73814   | SH | DEFINED | 1,3, |
| CHART INDS INC               | COM PAR \$0.01 | 16115Q308 | 4926   | 625135  | SH | DEFINED | 1,3, |
| CHATTEM INC                  | COM            | 162456107 | 13535  | 241473  | SH | DEFINED | 1,3, |
| CHECK POINT SOFTWARE TECH LT | ORD            | M22465104 | 31817  | 1432560 | SH | DEFINED | 1,3, |
| CHECKPOINT SYS INC           | COM            | 162825103 | 1222   | 136254  | SH | DEFINED | 1,3, |
| CHEESECAKE FACTORY INC       | COM            | 163072101 | 704    | 61509   | SH | DEFINED | 1,3, |
| CHEMED CORP NEW              | COM            | 16359R103 | 3080   | 79172   | SH | DEFINED | 1,3, |
| CHESAPEAKE ENERGY CORP       | COM            | 165167107 | 3105   | 181996  | SH | DEFINED | 1,3, |
| CHESAPEAKE ENERGY CORP       | COM            | 165167107 | 5968   | 349823  | SH | DEFINED | 1,3, |
| CHEVRON CORP NEW             | COM            | 166764100 | 199990 | 2974278 | SH | DEFINED | 1,3, |
| CHICOS FAS INC               | COM            | 168615102 | 980    | 182450  | SH | DEFINED | 1,3, |
| CHILDRENS PL RETAIL STORES I | COM            | 168905107 | 7353   | 335898  | SH | DEFINED | 1,3, |
| CHIMERA INVT CORP            | COM            | 16934Q109 | 5188   | 1544000 | SH | DEFINED | 1,3, |
| CHINA MOBILE LIMITED         | SPONSORED ADR  | 16941M109 | 285    | 6539    | SH | DEFINED | 1,3, |
| CHIPOTLE MEXICAN GRILL INC   | CL A           | 169656105 | 2246   | 33835   | SH | DEFINED | 1,3, |
| CHOICE HOTELS INTL INC       | COM            | 169905106 | 7052   | 273117  | SH | DEFINED | 1,3, |
| CHOICE HOTELS INTL INC       | COM            | 169905106 | 2525   | 97800   | SH | DEFINED | 2,3, |
| CHRISTOPHER & BANKS CORP     | COM            | 171046105 | 510    | 124670  | SH | DEFINED | 1,3, |
| CHUBB CORP                   | COM            | 171232101 | 500    | 11820   | SH | DEFINED | 2,3, |
| CHUBB CORP                   | COM            | 171232101 | 23671  | 559337  | SH | DEFINED | 1,3, |
| CHURCH & DWIGHT INC          | COM            | 171340102 | 813    | 15571   | SH | DEFINED | 1,3, |
| CHURCH & DWIGHT INC          | COM            | 171340102 | 3804   | 72848   | SH | DEFINED | 1,3, |
| CIBER INC                    | COM            | 17163B102 | 2080   | 761736  | SH | DEFINED | 1,3, |
| CIENA CORP                   | COM NEW        | 171779309 | 454    | 58303   | SH | DEFINED | 1,3, |
| CIGNA CORP                   | COM            | 125509109 | 9969   | 566765  | SH | DEFINED | 1,3, |
| CIMAREX ENERGY CO            | COM            | 171798101 | 225    | 12218   | SH | DEFINED | 1,3, |
| CIMAREX ENERGY CO            | COM            | 171798101 | 18569  | 1010267 | SH | DEFINED | 1,3, |
| CINCINNATI BELL INC NEW      | COM            | 171871106 | 539    | 234240  | SH | DEFINED | 1,3, |
| CINCINNATI FINL CORP         | COM            | 172062101 | 2314   | 101180  | SH | DEFINED | 1,3, |
| CINTAS CORP                  | COM            | 172908105 | 59114  | 2391337 | SH | DEFINED | 1,3, |
| CINTAS CORP                  | COM            | 172908105 | 696    | 28159   | SH | DEFINED | 1,3, |
| CIRCOR INTL INC              | COM            | 17273K109 | 1338   | 59411   | SH | DEFINED | 1,3, |
| CISCO SYS INC                | COM            | 17275R102 | 8150   | 486000  | SH | DEFINED | 1,3, |
| CISCO SYS INC                | COM            | 17275R102 | 1953   | 116470  | SH | DEFINED | 2,3, |
| CISCO SYS INC                | COM            | 17275R102 | 116741 | 6961321 | SH | DEFINED | 1,3, |
| CIT GROUP INC                | COM            | 125581108 | 1636   | 574137  | SH | DEFINED | 1,3, |
| CITIGROUP INC                | COM            | 172967101 | 8817   | 3484800 | SH | DEFINED | 1,3, |
| CITRIX SYS INC               | COM            | 177376100 | 2537   | 112058  | SH | DEFINED | 1,3, |
| CITRIX SYS INC               | COM            | 177376100 | 614    | 27127   | SH | DEFINED | 1,3, |
| CITY HLDG CO                 | COM            | 177835105 | 9078   | 332653  | SH | DEFINED | 1,3, |
| CITY HLDG CO                 | COM            | 177835105 | 812    | 29753   | SH | DEFINED | 1,3, |
| CITY NATL CORP               | COM            | 178566105 | 1407   | 41654   | SH | DEFINED | 1,3, |
| CKE RESTAURANTS INC          | COM            | 12561E105 | 9230   | 1098851 | SH | DEFINED | 1,3, |
| CLARCOR INC                  | COM            | 179895107 | 4503   | 178775  | SH | DEFINED | 1,3, |
| CLEAN HARBORS INC            | COM            | 184496107 | 997    | 20779   | SH | DEFINED | 1,3, |
| CLEARWATER PAPER CORP        | COM            | 18538R103 | 318    | 39642   | SH | DEFINED | 1,3, |
| CLECO CORP NEW               | COM            | 12561W105 | 4592   | 211695  | SH | DEFINED | 1,3, |
| CLIFFS NATURAL RESOURCES INC | COM            | 18683K101 | 6608   | 363871  | SH | DEFINED | 1,3, |
| CLOROX CO DEL                | COM            | 189054109 | 11920  | 231538  | SH | DEFINED | 1,3, |
| CME GROUP INC                | COM            | 12572Q105 | 10178  | 41310   | SH | DEFINED | 1,3, |
| CMS ENERGY CORP              | COM            | 125896100 | 29676  | 2506380 | SH | DEFINED | 1,3, |
| CNA SURETY CORP              | COM            | 12612L108 | 2765   | 149920  | SH | DEFINED | 1,3, |
| COACH INC                    | COM            | 189754104 | 3406   | 203975  | SH | DEFINED | 1,3, |
| COCA COLA CO                 | COM            | 191216100 | 436    | 9929    | SH | DEFINED | 2,3, |
| COCA COLA CO                 | COM            | 191216100 | 6878   | 156497  | SH | DEFINED | 1,3, |
| COCA COLA CO                 | COM            | 191216100 | 108964 | 2479265 | SH | DEFINED | 1,3, |

Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 13F-HR

|                              |                |           |       |         |    |         |      |
|------------------------------|----------------|-----------|-------|---------|----|---------|------|
| COCA COLA ENTERPRISES INC    | COM            | 191219104 | 9050  | 686137  | SH | DEFINED | 1,3, |
| COGNEX CORP                  | COM            | 192422103 | 1861  | 139371  | SH | DEFINED | 1,3, |
| COGNIZANT TECHNOLOGY SOLUTIO | CL A           | 192446102 | 3777  | 181667  | SH | DEFINED | 1,3, |
| COHERENT INC                 | COM            | 192479103 | 3305  | 191610  | SH | DEFINED | 1,3, |
| COHU INC                     | COM            | 192576106 | 590   | 81956   | SH | DEFINED | 1,3, |
| COINSTAR INC                 | COM            | 19259P300 | 3426  | 104571  | SH | DEFINED | 1,3, |
| COLDWATER CREEK INC          | COM            | 193068103 | 122   | 48706   | SH | DEFINED | 1,3, |
| COLGATE PALMOLIVE CO         | COM            | 194162103 | 21404 | 362912  | SH | DEFINED | 1,3, |
| COLLECTIVE BRANDS INC        | COM            | 19421W100 | 639   | 65622   | SH | DEFINED | 1,3, |
| COLONIAL BANCGROUP INC       | COM            | 195493309 | 187   | 207617  | SH | DEFINED | 1,3, |
| COLONIAL PPTYS TR            | COM SH BEN INT | 195872106 | 685   | 179893  | SH | DEFINED | 1,3, |
| COLUMBIA BKG SYS INC         | COM            | 197236102 | 409   | 63874   | SH | DEFINED | 1,3, |
| COMCAST CORP NEW             | CL A           | 20030N101 | 63029 | 4620937 | SH | DEFINED | 1,3, |
| COMCAST CORP NEW             | CL A           | 20030N101 | 596   | 43700   | SH | DEFINED | 2,3, |
| COMERICA INC                 | COM            | 200340107 | 1724  | 94145   | SH | DEFINED | 1,3, |
| COMMERCE BANCSHARES INC      | COM            | 200525103 | 12566 | 346171  | SH | DEFINED | 1,3, |
| COMMERCIAL METALS CO         | COM            | 201723103 | 4547  | 393662  | SH | DEFINED | 1,3, |
| COMMERCIAL METALS CO         | COM            | 201723103 | 573   | 49630   | SH | DEFINED | 1,3, |
| COMMSCOPE INC                | COM            | 203372107 | 848   | 74657   | SH | DEFINED | 1,3, |
| COMMUNITY BK SYS INC         | COM            | 203607106 | 9052  | 540426  | SH | DEFINED | 1,3, |
| COMMUNITY HEALTH SYS INC NEW | COM            | 203668108 | 1426  | 92973   | SH | DEFINED | 1,3, |
| COMMUNITY TR BANCORP INC     | COM            | 204149108 | 5321  | 198931  | SH | DEFINED | 1,3, |
| COMMUNITY TR BANCORP INC     | COM            | 204149108 | 1062  | 39684   | SH | DEFINED | 1,3, |
| COMMVAULT SYSTEMS INC        | COM            | 204166102 | 1599  | 145779  | SH | DEFINED | 1,3, |
| COMPANHIA BRASILEIRA DE DIST | SPON ADR PFD   | 20440T201 | 15023 | 554778  | SH | DEFINED | 1,3, |
| COMPANHIA DE BEBIDAS DAS AME | SPON ADR PFD   | 20441W203 | 35382 | 740987  | SH | DEFINED | 1,3, |
| COMPANHIA VALE DO RIO DOCE   | SPONSORED ADR  | 204412209 | 601   | 45159   | SH | DEFINED | 1,3, |
| COMPASS DIVERSIFIED HOLDINGS | SH BEN INT     | 20451Q104 | 1790  | 200700  | SH | DEFINED | 1,3, |
| COMPASS MINERALS INTL INC    | COM            | 20451N101 | 5750  | 102000  | SH | DEFINED | 1,3, |
| COMPUTER PROGRAMS & SYS INC  | COM            | 205306103 | 1097  | 32962   | SH | DEFINED | 1,3, |
| COMPUTER SCIENCES CORP       | COM            | 205363104 | 3482  | 94510   | SH | DEFINED | 1,3, |
| COMPUWARE CORP               | COM            | 205638109 | 8320  | 1262581 | SH | DEFINED | 1,3, |
| COMSCORE INC                 | COM            | 20564W105 | 779   | 64423   | SH | DEFINED | 1,3, |
| COMSTOCK RES INC             | COM NEW        | 205768203 | 7061  | 236957  | SH | DEFINED | 1,3, |
| COMTECH TELECOMMUNICATIONS C | COM NEW        | 205826209 | 5533  | 223382  | SH | DEFINED | 1,3, |
| CON-WAY INC                  | COM            | 205944101 | 852   | 47510   | SH | DEFINED | 1,3, |
| CONAGRA FOODS INC            | COM            | 205887102 | 13205 | 782764  | SH | DEFINED | 1,3, |
| CONCHO RES INC               | COM            | 20605P101 | 8889  | 347365  | SH | DEFINED | 1,3, |
| CONCUR TECHNOLOGIES INC      | COM            | 206708109 | 4906  | 255628  | SH | DEFINED | 1,3, |
| CONMED CORP                  | COM            | 207410101 | 5662  | 392941  | SH | DEFINED | 1,3, |
| CONOCOPHILLIPS               | COM            | 20825C104 | 55086 | 1406676 | SH | DEFINED | 1,3, |
| CONSOL ENERGY INC            | COM            | 20854P109 | 7849  | 310985  | SH | DEFINED | 1,3, |
| CONSOLIDATED COMM HLDGS INC  | COM            | 209034107 | 2973  | 289810  | SH | DEFINED | 1,3, |
| CONSOLIDATED EDISON INC      | COM            | 209115104 | 39029 | 985332  | SH | DEFINED | 1,3, |
| CONSOLIDATED GRAPHICS INC    | COM            | 209341106 | 498   | 39133   | SH | DEFINED | 1,3, |
| CONSTELLATION BRANDS INC     | CL A           | 21036P108 | 7063  | 593550  | SH | DEFINED | 1,3, |
| CONSTELLATION ENERGY GROUP I | COM            | 210371100 | 33068 | 1600599 | SH | DEFINED | 1,3, |
| CONSTELLATION ENERGY GROUP I | COM            | 210371100 | 383   | 18528   | SH | DEFINED | 1,3, |
| CONVERGYS CORP               | COM            | 212485106 | 613   | 75813   | SH | DEFINED | 1,3, |
| COOPER COS INC               | COM NEW        | 216648402 | 4194  | 158605  | SH | DEFINED | 1,3, |
| COOPER INDS LTD              | CL A           | G24182100 | 3571  | 138084  | SH | DEFINED | 1,3, |
| COPA HOLDINGS SA             | CL A           | P31076105 | 1032  | 36013   | SH | DEFINED | 1,3, |
| COPART INC                   | COM            | 217204106 | 3144  | 105998  | SH | DEFINED | 1,3, |
| COPART INC                   | COM            | 217204106 | 409   | 13777   | SH | DEFINED | 1,3, |
| CORE LABORATORIES N V        | COM            | N22717107 | 5811  | 79430   | SH | DEFINED | 1,3, |
| CORE MARK HOLDING CO INC     | COM            | 218681104 | 1203  | 66000   | SH | DEFINED | 1,3, |
| CORINTHIAN COLLEGES INC      | COM            | 218868107 | 1726  | 88730   | SH | DEFINED | 1,3, |
| CORN PRODS INTL INC          | COM            | 219023108 | 3349  | 157958  | SH | DEFINED | 1,3, |
| CORNELL COMPANIES INC        | COM            | 219141108 | 6871  | 419738  | SH | DEFINED | 1,3, |
| CORNELL COMPANIES INC        | COM            | 219141108 | 521   | 31819   | SH | DEFINED | 1,3, |
| CORNING INC                  | COM            | 219350105 | 13102 | 987315  | SH | DEFINED | 1,3, |
| CORPORATE EXECUTIVE BRD CO   | COM            | 21988R102 | 507   | 34999   | SH | DEFINED | 1,3, |

Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 13F-HR

|                              |            |           |       |         |    |         |      |
|------------------------------|------------|-----------|-------|---------|----|---------|------|
| CORPORATE OFFICE PPTYS TR    | SH BEN INT | 22002T108 | 6213  | 250214  | SH | DEFINED | 1,3, |
| CORPORATE OFFICE PPTYS TR    | SH BEN INT | 22002T108 | 827   | 33290   | SH | DEFINED | 1,3, |
| CORRECTIONS CORP AMER NEW    | COM NEW    | 22025Y407 | 390   | 30428   | SH | DEFINED | 1,3, |
| CORRECTIONS CORP AMER NEW    | COM NEW    | 22025Y407 | 1663  | 129839  | SH | DEFINED | 1,3, |
| CORRECTIONS CORP AMER NEW    | COM NEW    | 22025Y407 | 11406 | 890400  | SH | DEFINED | 2,3, |
| CORVEL CORP                  | COM        | 221006109 | 542   | 26805   | SH | DEFINED | 1,3, |
| COSTCO WHSL CORP NEW         | COM        | 22160K105 | 12495 | 269750  | SH | DEFINED | 1,3, |
| COUSINS PPTYS INC            | COM        | 222795106 | 289   | 44929   | SH | DEFINED | 1,3, |
| COVANCE INC                  | COM        | 222816100 | 2323  | 65199   | SH | DEFINED | 1,3, |
| COVANTA HLDG CORP            | COM        | 22282E102 | 32555 | 2487003 | SH | DEFINED | 1,3, |
| COVANTA HLDG CORP            | COM        | 22282E102 | 393   | 30059   | SH | DEFINED | 1,3, |
| COVENTRY HEALTH CARE INC     | COM        | 222862104 | 227   | 17548   | SH | DEFINED | 1,3, |
| COVENTRY HEALTH CARE INC     | COM        | 222862104 | 18571 | 1435145 | SH | DEFINED | 1,3, |
| COVIDIEN LTD                 | COM        | G2552X108 | 4627  | 139207  | SH | DEFINED | 1,3, |
| COVIDIEN LTD                 | COM        | G2552X108 | 49889 | 1500898 | SH | DEFINED | 1,3, |
| CRACKER BARREL OLD CTRY STOR | COM        | 22410J106 | 2254  | 78702   | SH | DEFINED | 1,3, |
| CRANE CO                     | COM        | 224399105 | 823   | 48728   | SH | DEFINED | 1,3, |
| CREDICORP LTD                | COM        | G2519Y108 | 16487 | 351989  | SH | DEFINED | 1,3, |
| CREDIT ACCEP CORP MICH       | COM        | 225310101 | 1217  | 56639   | SH | DEFINED | 1,3, |
| CREE INC                     | COM        | 225447101 | 2143  | 91070   | SH | DEFINED | 1,3, |
| CROCS INC                    | COM        | 227046109 | 346   | 290710  | SH | DEFINED | 1,3, |
| CROSS CTRY HEALTHCARE INC    | COM        | 227483104 | 708   | 108164  | SH | DEFINED | 1,3, |
| CROWN CASTLE INTL CORP       | COM        | 228227104 | 1125  | 55101   | SH | DEFINED | 1,3, |
| CROWN HOLDINGS INC           | COM        | 228368106 | 6063  | 266746  | SH | DEFINED | 1,3, |
| CROWN HOLDINGS INC           | COM        | 228368106 | 505   | 22213   | SH | DEFINED | 2,3, |
| CRYOLIFE INC                 | COM        | 228903100 | 511   | 98707   | SH | DEFINED | 1,3, |
| CSG SYS INTL INC             | COM        | 126349109 | 1763  | 123482  | SH | DEFINED | 1,3, |
| CSX CORP                     | COM        | 126408103 | 6506  | 251685  | SH | DEFINED | 1,3, |
| CTS CORP                     | COM        | 126501105 | 428   | 118621  | SH | DEFINED | 1,3, |
| CUBIC CORP                   | COM        | 229669106 | 1380  | 54496   | SH | DEFINED | 1,3, |
| CUBIST PHARMACEUTICALS INC   | COM        | 229678107 | 10123 | 618749  | SH | DEFINED | 1,3, |
| CULLEN FROST BANKERS INC     | COM        | 229899109 | 18198 | 387680  | SH | DEFINED | 1,3, |
| CUMMINS INC                  | COM        | 231021106 | 3191  | 125364  | SH | DEFINED | 1,3, |
| CURTISS WRIGHT CORP          | COM        | 231561101 | 4457  | 158887  | SH | DEFINED | 1,3, |
| CV THERAPEUTICS INC          | COM        | 126667104 | 4215  | 212000  | SH | DEFINED | 1,3, |
| CVB FINL CORP                | COM        | 126600105 | 2823  | 425850  | SH | DEFINED | 1,3, |
| CVB FINL CORP                | COM        | 126600105 | 439   | 66210   | SH | DEFINED | 1,3, |
| CVS CAREMARK CORPORATION     | COM        | 126650100 | 28964 | 1053614 | SH | DEFINED | 1,3, |
| CYBERONICS INC               | COM        | 23251P102 | 1954  | 147232  | SH | DEFINED | 1,3, |
| CYBERSOURCE CORP             | COM        | 23251J106 | 3591  | 242500  | SH | DEFINED | 1,3, |
| CYMER INC                    | COM        | 232572107 | 2320  | 104239  | SH | DEFINED | 1,3, |
| CYPRESS SEMICONDUCTOR CORP   | COM        | 232806109 | 3284  | 485015  | SH | DEFINED | 1,3, |
| CYTEC INDS INC               | COM        | 232820100 | 1188  | 79081   | SH | DEFINED | 1,3, |
| D R HORTON INC               | COM        | 23331A109 | 12408 | 1279219 | SH | DEFINED | 1,3, |
| DAKTRONICS INC               | COM        | 234264109 | 785   | 119892  | SH | DEFINED | 1,3, |
| DANAHER CORP DEL             | COM        | 235851102 | 9743  | 179686  | SH | DEFINED | 1,3, |
| DARDEN RESTAURANTS INC       | COM        | 237194105 | 6633  | 193622  | SH | DEFINED | 1,3, |
| DARLING INTL INC             | COM        | 237266101 | 1066  | 287388  | SH | DEFINED | 1,3, |
| DATA DOMAIN INC              | COM        | 23767P109 | 3281  | 261000  | SH | DEFINED | 1,3, |
| DAVITA INC                   | COM        | 23918K108 | 5699  | 129671  | SH | DEFINED | 1,3, |
| DEALERTRACK HLDGS INC        | COM        | 242309102 | 1834  | 139996  | SH | DEFINED | 1,3, |
| DEAN FOODS CO NEW            | COM        | 242370104 | 6783  | 375139  | SH | DEFINED | 1,3, |
| DEAN FOODS CO NEW            | COM        | 242370104 | 419   | 23152   | SH | DEFINED | 1,3, |
| DECKERS OUTDOOR CORP         | COM        | 243537107 | 2440  | 46010   | SH | DEFINED | 1,3, |
| DEERE & CO                   | COM        | 244199105 | 8692  | 264421  | SH | DEFINED | 1,3, |
| DEL MONTE FOODS CO           | COM        | 24522P103 | 12097 | 1659380 | SH | DEFINED | 1,3, |
| DELL INC                     | COM        | 24702R101 | 627   | 66100   | SH | DEFINED | 2,3, |
| DELL INC                     | COM        | 24702R101 | 10714 | 1130191 | SH | DEFINED | 1,3, |
| DELPHI FINL GROUP INC        | CL A       | 247131105 | 3912  | 290648  | SH | DEFINED | 1,3, |
| DELTA AIR LINES INC DEL      | COM NEW    | 247361702 | 504   | 89600   | SH | DEFINED | 1,3, |
| DELTA AIR LINES INC DEL      | COM NEW    | 247361702 | 3552  | 630885  | SH | DEFINED | 1,3, |
| DELTIC TIMBER CORP           | COM        | 247850100 | 1458  | 36993   | SH | DEFINED | 1,3, |

Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 13F-HR

|                              |               |           |       |         |    |         |      |
|------------------------------|---------------|-----------|-------|---------|----|---------|------|
| DELUXE CORP                  | COM           | 248019101 | 507   | 52682   | SH | DEFINED | 1,3, |
| DENBURY RES INC              | COM NEW       | 247916208 | 3800  | 255715  | SH | DEFINED | 1,3, |
| DENTSPLY INTL INC NEW        | COM           | 249030107 | 15905 | 592363  | SH | DEFINED | 1,3, |
| DESARROLLADORA HOMEX S A DE  | SPONSORED ADR | 25030W100 | 4915  | 371200  | SH | DEFINED | 1,3, |
| DEVON ENERGY CORP NEW        | COM           | 25179M103 | 13981 | 312849  | SH | DEFINED | 1,3, |
| DEVRY INC DEL                | COM           | 251893103 | 16957 | 351942  | SH | DEFINED | 1,3, |
| DEVRY INC DEL                | COM           | 251893103 | 453   | 9401    | SH | DEFINED | 1,3, |
| DIAMOND FOODS INC            | COM           | 252603105 | 7193  | 257524  | SH | DEFINED | 1,3, |
| DIAMOND OFFSHORE DRILLING IN | COM           | 25271C102 | 5349  | 85098   | SH | DEFINED | 1,3, |
| DIAMONDRock HOSPITALITY CO   | COM           | 252784301 | 178   | 44469   | SH | DEFINED | 1,3, |
| DIAMONDRock HOSPITALITY CO   | COM           | 252784301 | 1268  | 316157  | SH | DEFINED | 1,3, |
| DIAMONDRock HOSPITALITY CO   | COM           | 252784301 | 4965  | 1238200 | SH | DEFINED | 2,3, |
| DICKS SPORTING GOODS INC     | COM           | 253393102 | 3927  | 275204  | SH | DEFINED | 1,3, |
| DIEBOLD INC                  | COM           | 253651103 | 1454  | 68107   | SH | DEFINED | 1,3, |
| DIGI INTL INC                | COM           | 253798102 | 676   | 88092   | SH | DEFINED | 1,3, |
| DIGITAL RIV INC              | COM           | 25388B104 | 1136  | 38112   | SH | DEFINED | 1,3, |
| DIGITAL RLTY TR INC          | COM           | 253868103 | 38736 | 1167467 | SH | DEFINED | 2,3, |
| DIGITAL RLTY TR INC          | COM           | 253868103 | 1342  | 40438   | SH | DEFINED | 1,3, |
| DIGITAL RLTY TR INC          | COM           | 253868103 | 9227  | 278091  | SH | DEFINED | 1,3, |
| DIME CMNTY BANCSHARES        | COM           | 253922108 | 6566  | 699983  | SH | DEFINED | 1,3, |
| DINEEQUITY INC               | COM           | 254423106 | 639   | 53846   | SH | DEFINED | 1,3, |
| DIODES INC                   | COM           | 254543101 | 1218  | 114830  | SH | DEFINED | 1,3, |
| DIONEX CORP                  | COM           | 254546104 | 2945  | 62336   | SH | DEFINED | 1,3, |
| DIRECTV GROUP INC            | COM           | 25459L106 | 741   | 32497   | SH | DEFINED | 2,3, |
| DIRECTV GROUP INC            | COM           | 25459L106 | 19013 | 834259  | SH | DEFINED | 1,3, |
| DISCOVER FINL SVCS           | COM           | 254709108 | 1945  | 308319  | SH | DEFINED | 1,3, |
| DISCOVERY COMMUNICATNS NEW   | COM SER C     | 25470F302 | 225   | 15389   | SH | DEFINED | 1,3, |
| DISCOVERY COMMUNICATNS NEW   | COM SER C     | 25470F302 | 18748 | 1279792 | SH | DEFINED | 1,3, |
| DISCOVERY COMMUNICATNS NEW   | COM SER A     | 25470F104 | 242   | 15128   | SH | DEFINED | 1,3, |
| DISCOVERY COMMUNICATNS NEW   | COM SER A     | 25470F104 | 20515 | 1280657 | SH | DEFINED | 1,3, |
| DISH NETWORK CORP            | CL A          | 25470M109 | 21899 | 1971146 | SH | DEFINED | 1,3, |
| DISH NETWORK CORP            | CL A          | 25470M109 | 278   | 25044   | SH | DEFINED | 1,3, |
| DISNEY WALT CO               | COM DISNEY    | 254687106 | 27315 | 1504146 | SH | DEFINED | 1,3, |
| DIVX INC                     | COM           | 255413106 | 3022  | 600830  | SH | DEFINED | 1,3, |
| DOLBY LABORATORIES INC       | COM           | 25659T107 | 671   | 19674   | SH | DEFINED | 1,3, |
| DOLLAR TREE INC              | COM           | 256746108 | 9608  | 215673  | SH | DEFINED | 1,3, |
| DOMINION RES INC VA NEW      | COM           | 25746U109 | 12150 | 392069  | SH | DEFINED | 1,3, |
| DONALDSON INC                | COM           | 257651109 | 2130  | 79351   | SH | DEFINED | 1,3, |
| DONNELLEY R R & SONS CO      | COM           | 257867101 | 936   | 127680  | SH | DEFINED | 1,3, |
| DOUBLE-TAKE SOFTWARE INC     | COM           | 258598101 | 3726  | 551190  | SH | DEFINED | 1,3, |
| DOUGLAS EMMETT INC           | COM           | 25960P109 | 10299 | 1393727 | SH | DEFINED | 2,3, |
| DOUGLAS EMMETT INC           | COM           | 25960P109 | 520   | 70358   | SH | DEFINED | 1,3, |
| DOUGLAS EMMETT INC           | COM           | 25960P109 | 325   | 43940   | SH | DEFINED | 1,3, |
| DOVER CORP                   | COM           | 260003108 | 13746 | 521063  | SH | DEFINED | 1,3, |
| DOW CHEM CO                  | COM           | 260543103 | 4904  | 581724  | SH | DEFINED | 1,3, |
| DPL INC                      | COM           | 233293109 | 8599  | 381501  | SH | DEFINED | 1,3, |
| DR PEPPER SNAPPLE GROUP INC  | COM           | 26138E109 | 2671  | 157963  | SH | DEFINED | 1,3, |
| DREAMWORKS ANIMATION SKG INC | CL A          | 26153C103 | 6510  | 300842  | SH | DEFINED | 1,3, |
| DRESS BARN INC               | COM           | 261570105 | 1000  | 81396   | SH | DEFINED | 1,3, |
| DRESS BARN INC               | COM           | 261570105 | 10316 | 839375  | SH | DEFINED | 1,3, |
| DRESSER-RAND GROUP INC       | COM           | 261608103 | 4420  | 200017  | SH | DEFINED | 1,3, |
| DREW INDS INC                | COM NEW       | 26168L205 | 591   | 68047   | SH | DEFINED | 1,3, |
| DRIL-QUIP INC                | COM           | 262037104 | 3242  | 105610  | SH | DEFINED | 1,3, |
| DSP GROUP INC                | COM           | 23332B106 | 407   | 94160   | SH | DEFINED | 1,3, |
| DST SYS INC DEL              | COM           | 233326107 | 3727  | 107650  | SH | DEFINED | 1,3, |
| DTE ENERGY CO                | COM           | 233331107 | 2816  | 101648  | SH | DEFINED | 1,3, |
| DU PONT E I DE NEMOURS & CO  | COM           | 263534109 | 18644 | 834909  | SH | DEFINED | 1,3, |
| DUKE ENERGY CORP NEW         | COM           | 26441C105 | 13579 | 948249  | SH | DEFINED | 1,3, |
| DUKE REALTY CORP             | COM NEW       | 264411505 | 1634  | 297058  | SH | DEFINED | 1,3, |
| DUN & BRADSTREET CORP DEL NE | COM           | 26483E100 | 2559  | 33240   | SH | DEFINED | 1,3, |
| DYCOM INDS INC               | COM           | 267475101 | 235   | 40607   | SH | DEFINED | 1,3, |
| DYNAMIC MATLS CORP           | COM           | 267888105 | 3610  | 394100  | SH | DEFINED | 1,3, |

Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 13F-HR

|                              |                |           |       |         |    |         |       |
|------------------------------|----------------|-----------|-------|---------|----|---------|-------|
| DYNEGY INC DEL               | CL A           | 26817G102 | 443   | 314196  | SH | DEFINED | 1, 3, |
| E M C CORP MASS              | COM            | 268648102 | 3939  | 345533  | SH | DEFINED | 1, 3, |
| E M C CORP MASS              | COM            | 268648102 | 14927 | 1309376 | SH | DEFINED | 1, 3, |
| E TRADE FINANCIAL CORP       | COM            | 269246104 | 453   | 354236  | SH | DEFINED | 1, 3, |
| EAGLE BULK SHIPPING INC      | COM            | Y2187A101 | 616   | 145000  | SH | DEFINED | 1, 3, |
| EAGLE MATERIALS INC          | COM            | 26969P108 | 3712  | 153083  | SH | DEFINED | 1, 3, |
| EAST WEST BANCORP INC        | COM            | 27579R104 | 1023  | 223846  | SH | DEFINED | 1, 3, |
| EASTGROUP PPTY INC           | COM            | 277276101 | 2540  | 90499   | SH | DEFINED | 1, 3, |
| EASTMAN CHEM CO              | COM            | 277432100 | 1198  | 44712   | SH | DEFINED | 1, 3, |
| EASTMAN KODAK CO             | COM            | 277461109 | 633   | 166643  | SH | DEFINED | 1, 3, |
| EATON CORP                   | COM            | 278058102 | 3823  | 103711  | SH | DEFINED | 1, 3, |
| EATON VANCE CORP             | COM NON VTG    | 278265103 | 2750  | 120345  | SH | DEFINED | 1, 3, |
| EBAY INC                     | COM            | 278642103 | 409   | 32551   | SH | DEFINED | 2, 3, |
| EBAY INC                     | COM            | 278642103 | 16835 | 1340328 | SH | DEFINED | 1, 3, |
| EBIX INC                     | COM NEW        | 278715206 | 775   | 31188   | SH | DEFINED | 1, 3, |
| ECHOSTAR CORP                | CL A           | 278768106 | 9496  | 640356  | SH | DEFINED | 1, 3, |
| ECLIPSYS CORP                | COM            | 278856109 | 2000  | 197278  | SH | DEFINED | 1, 3, |
| ECOLAB INC                   | COM            | 278865100 | 3623  | 104326  | SH | DEFINED | 1, 3, |
| EDISON INTL                  | COM            | 281020107 | 6370  | 221108  | SH | DEFINED | 1, 3, |
| EDWARDS LIFESCIENCES CORP    | COM            | 28176E108 | 3487  | 57520   | SH | DEFINED | 1, 3, |
| EDWARDS LIFESCIENCES CORP    | COM            | 28176E108 | 542   | 8942    | SH | DEFINED | 1, 3, |
| EHEALTH INC                  | COM            | 28238P109 | 1411  | 88147   | SH | DEFINED | 1, 3, |
| EINSTEIN NOAH REST GROUP INC | COM            | 28257U104 | 1464  | 251100  | SH | DEFINED | 1, 3, |
| EL PASO CORP                 | COM            | 28336L109 | 23126 | 3700237 | SH | DEFINED | 1, 3, |
| EL PASO ELEC CO              | COM NEW        | 283677854 | 2223  | 157750  | SH | DEFINED | 1, 3, |
| ELECTRO SCIENTIFIC INDS      | COM            | 285229100 | 563   | 95106   | SH | DEFINED | 1, 3, |
| ELECTRONIC ARTS INC          | COM            | 285512109 | 3642  | 200195  | SH | DEFINED | 1, 3, |
| ELLIS PERRY INTL INC         | COM            | 288853104 | 143   | 41202   | SH | DEFINED | 1, 3, |
| EMBARQ CORP                  | COM            | 29078E105 | 23657 | 625037  | SH | DEFINED | 1, 3, |
| EMCOR GROUP INC              | COM            | 29084Q100 | 15125 | 880920  | SH | DEFINED | 1, 3, |
| EMCOR GROUP INC              | COM            | 29084Q100 | 713   | 41539   | SH | DEFINED | 1, 3, |
| EMERSON ELEC CO              | COM            | 291011104 | 14397 | 503755  | SH | DEFINED | 1, 3, |
| EMPIRE DIST ELEC CO          | COM            | 291641108 | 3677  | 254641  | SH | DEFINED | 1, 3, |
| EMS TECHNOLOGIES INC         | COM            | 26873N108 | 932   | 53369   | SH | DEFINED | 1, 3, |
| EMULEX CORP                  | COM NEW        | 292475209 | 2730  | 542800  | SH | DEFINED | 1, 3, |
| ENCORE ACQUISITION CO        | COM            | 29255W100 | 38933 | 1673133 | SH | DEFINED | 1, 3, |
| ENCORE ACQUISITION CO        | COM            | 29255W100 | 394   | 16953   | SH | DEFINED | 1, 3, |
| ENDO PHARMACEUTICALS HLDGS I | COM            | 29264F205 | 2123  | 120106  | SH | DEFINED | 1, 3, |
| ENERGEN CORP                 | COM            | 29265N108 | 2149  | 73789   | SH | DEFINED | 1, 3, |
| ENERGIZER HLDGS INC          | COM            | 29266R108 | 2983  | 60037   | SH | DEFINED | 1, 3, |
| ENERSIS S A                  | SPONSORED ADR  | 29274F104 | 15503 | 1026705 | SH | DEFINED | 1, 3, |
| ENERSYS                      | COM            | 29275Y102 | 10745 | 886524  | SH | DEFINED | 1, 3, |
| ENPRO INDS INC               | COM            | 29355X107 | 7964  | 465737  | SH | DEFINED | 1, 3, |
| ENSCO INTL INC               | COM            | 26874Q100 | 3372  | 127736  | SH | DEFINED | 1, 3, |
| ENSIGN GROUP INC             | COM            | 29358P101 | 2576  | 166600  | SH | DEFINED | 1, 3, |
| ENTEGRIS INC                 | COM            | 29362U104 | 892   | 1037000 | SH | DEFINED | 1, 3, |
| ENTERGY CORP NEW             | COM            | 29364G103 | 8032  | 117966  | SH | DEFINED | 1, 3, |
| ENTERTAINMENT PPTYS TR       | COM SH BEN INT | 29380T105 | 8678  | 550612  | SH | DEFINED | 1, 3, |
| ENTERTAINMENT PPTYS TR       | COM SH BEN INT | 29380T105 | 928   | 58878   | SH | DEFINED | 1, 3, |
| ENZO BIOCHEM INC             | COM            | 294100102 | 458   | 114013  | SH | DEFINED | 1, 3, |
| EOG RES INC                  | COM            | 26875P101 | 241   | 4402    | SH | DEFINED | 1, 3, |
| EOG RES INC                  | COM            | 26875P101 | 27888 | 509282  | SH | DEFINED | 1, 3, |
| EPICOR SOFTWARE CORP         | COM            | 29426L108 | 802   | 210392  | SH | DEFINED | 1, 3, |
| EPIQ SYS INC                 | COM            | 26882D109 | 2261  | 125421  | SH | DEFINED | 1, 3, |
| EQT CORP                     | COM            | 26884L109 | 321   | 10230   | SH | DEFINED | 1, 3, |
| EQT CORP                     | COM            | 26884L109 | 28578 | 912160  | SH | DEFINED | 1, 3, |
| EQUIFAX INC                  | COM            | 294429105 | 9083  | 371493  | SH | DEFINED | 1, 3, |
| EQUINIX INC                  | COM NEW        | 29444U502 | 697   | 12416   | SH | DEFINED | 1, 3, |
| EQUITY LIFESTYLE PPTYS INC   | COM            | 29472R108 | 1044  | 27411   | SH | DEFINED | 1, 3, |
| EQUITY LIFESTYLE PPTYS INC   | COM            | 29472R108 | 31360 | 823112  | SH | DEFINED | 2, 3, |
| EQUITY ONE                   | COM            | 294752100 | 418   | 34313   | SH | DEFINED | 1, 3, |
| EQUITY RESIDENTIAL           | SH BEN INT     | 29476L107 | 25994 | 1416536 | SH | DEFINED | 2, 3, |

Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 13F-HR

|                              |                |           |        |         |    |         |      |
|------------------------------|----------------|-----------|--------|---------|----|---------|------|
| EQUITY RESIDENTIAL           | SH BEN INT     | 29476L107 | 917    | 49983   | SH | DEFINED | 1,3, |
| EQUITY RESIDENTIAL           | SH BEN INT     | 29476L107 | 3297   | 179692  | SH | DEFINED | 1,3, |
| ERESEARCHTECHNOLOGY INC      | COM            | 29481V108 | 3943   | 749608  | SH | DEFINED | 1,3, |
| ESCO TECHNOLOGIES INC        | COM            | 296315104 | 5983   | 154609  | SH | DEFINED | 1,3, |
| ESSA BANCORP INC             | COM            | 29667D104 | 1877   | 141000  | SH | DEFINED | 1,3, |
| ESSEX PPTY TR INC            | COM            | 297178105 | 2759   | 48122   | SH | DEFINED | 1,3, |
| ESSEX PPTY TR INC            | COM            | 297178105 | 1149   | 20032   | SH | DEFINED | 1,3, |
| ESSEX PPTY TR INC            | COM            | 297178105 | 33095  | 577182  | SH | DEFINED | 2,3, |
| ESTERLINE TECHNOLOGIES CORP  | COM            | 297425100 | 425    | 21057   | SH | DEFINED | 1,3, |
| ESTERLINE TECHNOLOGIES CORP  | COM            | 297425100 | 10481  | 519109  | SH | DEFINED | 1,3, |
| ETHAN ALLEN INTERIORS INC    | COM            | 297602104 | 1137   | 101010  | SH | DEFINED | 1,3, |
| EURONET WORLDWIDE INC        | COM            | 298736109 | 4010   | 307071  | SH | DEFINED | 1,3, |
| EURONET WORLDWIDE INC        | COM            | 298736109 | 972    | 74449   | SH | DEFINED | 1,3, |
| EVEREST RE GROUP LTD         | COM            | G3223R108 | 444    | 6271    | SH | DEFINED | 1,3, |
| EVEREST RE GROUP LTD         | COM            | G3223R108 | 43679  | 616940  | SH | DEFINED | 1,3, |
| EXAR CORP                    | COM            | 300645108 | 942    | 150889  | SH | DEFINED | 1,3, |
| EXCO RESOURCES INC           | COM            | 269279402 | 5250   | 525000  | SH | DEFINED | 1,3, |
| EXELIXIS INC                 | COM            | 30161Q104 | 1966   | 427349  | SH | DEFINED | 1,3, |
| EXELON CORP                  | COM            | 30161N101 | 20104  | 442918  | SH | DEFINED | 1,3, |
| EXIDE TECHNOLOGIES           | COM NEW        | 302051206 | 1941   | 647000  | SH | DEFINED | 1,3, |
| EXPEDIA INC DEL              | COM            | 30212P105 | 2948   | 324675  | SH | DEFINED | 1,3, |
| EXPEDITORS INTL WASH INC     | COM            | 302130109 | 3733   | 131951  | SH | DEFINED | 1,3, |
| EXPONENT INC                 | COM            | 30214U102 | 3364   | 132800  | SH | DEFINED | 1,3, |
| EXPRESS SCRIPTS INC          | COM            | 302182100 | 1031   | 22328   | SH | DEFINED | 1,3, |
| EXPRESS SCRIPTS INC          | COM            | 302182100 | 28318  | 613340  | SH | DEFINED | 1,3, |
| EXPRESS SCRIPTS INC          | COM            | 302182100 | 541    | 11710   | SH | DEFINED | 2,3, |
| EXTERRAN HLDGS INC           | COM            | 30225X103 | 1017   | 63501   | SH | DEFINED | 1,3, |
| EXTRA SPACE STORAGE INC      | COM            | 30225T102 | 1661   | 301505  | SH | DEFINED | 1,3, |
| EXXON MOBIL CORP             | COM            | 30231G102 | 423413 | 6217508 | SH | DEFINED | 1,3, |
| F M C CORP                   | COM NEW        | 302491303 | 14068  | 326107  | SH | DEFINED | 1,3, |
| F5 NETWORKS INC              | COM            | 315616102 | 1165   | 55624   | SH | DEFINED | 1,3, |
| F5 NETWORKS INC              | COM            | 315616102 | 8159   | 389440  | SH | DEFINED | 1,3, |
| FACTSET RESH SYS INC         | COM            | 303075105 | 6535   | 130735  | SH | DEFINED | 1,3, |
| FAIR ISAAC CORP              | COM            | 303250104 | 707    | 50270   | SH | DEFINED | 1,3, |
| FAIRCHILD SEMICONDUCTOR INTL | COM            | 303726103 | 475    | 127407  | SH | DEFINED | 1,3, |
| FAIRPOINT COMMUNICATIONS INC | COM            | 305560104 | 244    | 312785  | SH | DEFINED | 1,3, |
| FAMILY DLR STORES INC        | COM            | 307000109 | 7954   | 238369  | SH | DEFINED | 1,3, |
| FARO TECHNOLOGIES INC        | COM            | 311642102 | 791    | 58831   | SH | DEFINED | 1,3, |
| FASTENAL CO                  | COM            | 311900104 | 2587   | 80461   | SH | DEFINED | 1,3, |
| FEDERAL REALTY INVT TR       | SH BEN INT NEW | 313747206 | 49645  | 1079236 | SH | DEFINED | 2,3, |
| FEDERAL REALTY INVT TR       | SH BEN INT NEW | 313747206 | 3146   | 68386   | SH | DEFINED | 1,3, |
| FEDERAL REALTY INVT TR       | SH BEN INT NEW | 313747206 | 1726   | 37521   | SH | DEFINED | 1,3, |
| FEDERAL SIGNAL CORP          | COM            | 313855108 | 257    | 48760   | SH | DEFINED | 1,3, |
| FEDERATED INVS INC PA        | CL B           | 314211103 | 1332   | 59834   | SH | DEFINED | 1,3, |
| FEDEX CORP                   | COM            | 31428X106 | 8657   | 194582  | SH | DEFINED | 1,3, |
| FEI CO                       | COM            | 30241L109 | 8318   | 539081  | SH | DEFINED | 1,3, |
| FGX INTERNATIONAL HLDGS LTD  | ORD SHS        | G3396L102 | 4088   | 351836  | SH | DEFINED | 1,3, |
| FIDELITY NATIONAL FINANCIAL  | CL A           | 31620R105 | 5051   | 258906  | SH | DEFINED | 1,3, |
| FIDELITY NATL INFORMATION SV | COM            | 31620M106 | 20079  | 1103207 | SH | DEFINED | 1,3, |
| FIFTH THIRD BANCORP          | COM            | 316773100 | 1249   | 427912  | SH | DEFINED | 1,3, |
| FINANCIAL FED CORP           | COM            | 317492106 | 5511   | 260233  | SH | DEFINED | 1,3, |
| FINISH LINE INC              | CL A           | 317923100 | 1275   | 192542  | SH | DEFINED | 1,3, |
| FIRST AMERN CORP CALIF       | COM            | 318522307 | 3465   | 130706  | SH | DEFINED | 1,3, |
| FIRST BANCORP P R            | COM            | 318672102 | 1136   | 266714  | SH | DEFINED | 1,3, |
| FIRST CASH FINL SVCS INC     | COM            | 31942D107 | 1348   | 90368   | SH | DEFINED | 1,3, |
| FIRST COMWLTH FINL CORP PA   | COM            | 319829107 | 4606   | 519228  | SH | DEFINED | 1,3, |
| FIRST FINL BANCORP OH        | COM            | 320209109 | 2525   | 264971  | SH | DEFINED | 1,3, |
| FIRST FINL BANKSHARES        | COM            | 32020R109 | 7419   | 154021  | SH | DEFINED | 1,3, |
| FIRST FINL BANKSHARES        | COM            | 32020R109 | 1091   | 22654   | SH | DEFINED | 1,3, |
| FIRST HORIZON NATL CORP      | COM            | 320517105 | 1408   | 131045  | SH | DEFINED | 1,3, |
| FIRST MERCURY FINANCIAL CORP | COM            | 320841109 | 4876   | 337699  | SH | DEFINED | 1,3, |
| FIRST MIDWEST BANCORP DEL    | COM            | 320867104 | 970    | 112921  | SH | DEFINED | 1,3, |

Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 13F-HR

|                              |                |           |        |          |    |         |      |
|------------------------------|----------------|-----------|--------|----------|----|---------|------|
| FIRST MIDWEST BANCORP DEL    | COM            | 320867104 | 3221   | 374950   | SH | DEFINED | 1,3, |
| FIRST NIAGARA FINL GP INC    | COM            | 33582V108 | 1331   | 122067   | SH | DEFINED | 1,3, |
| FIRST SOLAR INC              | COM            | 336433107 | 4659   | 35109    | SH | DEFINED | 1,3, |
| FIRSTENERGY CORP             | COM            | 337932107 | 7327   | 189811   | SH | DEFINED | 1,3, |
| FIRSTMERIT CORP              | COM            | 337915102 | 824    | 45254    | SH | DEFINED | 1,3, |
| FIRSTMERIT CORP              | COM            | 337915102 | 13831  | 759953   | SH | DEFINED | 1,3, |
| FISERV INC                   | COM            | 337738108 | 3539   | 97074    | SH | DEFINED | 1,3, |
| FLAGSTAR BANCORP INC         | COM            | 337930101 | 154    | 204830   | SH | DEFINED | 1,3, |
| FLIR SYS INC                 | COM            | 302445101 | 1923   | 93902    | SH | DEFINED | 1,3, |
| FLOWERS FOODS INC            | COM            | 343498101 | 1911   | 81387    | SH | DEFINED | 1,3, |
| FLOWERVE CORP                | COM            | 34354P105 | 24551  | 437477   | SH | DEFINED | 1,3, |
| FLUOR CORP NEW               | COM            | 343412102 | 10235  | 296240   | SH | DEFINED | 1,3, |
| FLUSHING FINL CORP           | COM            | 343873105 | 3112   | 516900   | SH | DEFINED | 1,3, |
| FLUSHING FINL CORP           | COM            | 343873105 | 543    | 90233    | SH | DEFINED | 1,3, |
| FMC TECHNOLOGIES INC         | COM            | 30249U101 | 13994  | 446093   | SH | DEFINED | 1,3, |
| FOOT LOCKER INC              | COM            | 344849104 | 2541   | 242457   | SH | DEFINED | 1,3, |
| FORD MTR CO DEL              | COM PAR \$0.01 | 345370860 | 5713   | 2172177  | SH | DEFINED | 1,3, |
| FOREST CITY ENTERPRISES INC  | CL A           | 345550107 | 3478   | 966065   | SH | DEFINED | 1,3, |
| FOREST CITY ENTERPRISES INC  | CL A           | 345550107 | 46     | 12870    | SH | DEFINED | 1,3, |
| FOREST LABS INC              | COM            | 345838106 | 24144  | 1099434  | SH | DEFINED | 1,3, |
| FOREST OIL CORP              | COM PAR \$0.01 | 346091705 | 2728   | 207467   | SH | DEFINED | 1,3, |
| FORESTAR GROUP INC           | COM            | 346233109 | 963    | 125946   | SH | DEFINED | 1,3, |
| FORRESTER RESH INC           | COM            | 346563109 | 1104   | 53718    | SH | DEFINED | 1,3, |
| FORTUNE BRANDS INC           | COM            | 349631101 | 2278   | 92778    | SH | DEFINED | 1,3, |
| FORWARD AIR CORP             | COM            | 349853101 | 1651   | 101741   | SH | DEFINED | 1,3, |
| FOSSIL INC                   | COM            | 349882100 | 2460   | 156714   | SH | DEFINED | 1,3, |
| FOSTER WHEELER AG            | COM            | H27178104 | 6191   | 354352   | SH | DEFINED | 1,3, |
| FOUNDATION COAL HLDGS INC    | COM            | 35039W100 | 165    | 11491    | SH | DEFINED | 1,3, |
| FPL GROUP INC                | COM            | 302571104 | 15791  | 311270   | SH | DEFINED | 1,3, |
| FRANKLIN RES INC             | COM            | 354613101 | 5078   | 94269    | SH | DEFINED | 1,3, |
| FRANKLIN STREET PTYS CORP    | COM            | 35471R106 | 2559   | 208079   | SH | DEFINED | 1,3, |
| FREDS INC                    | CL A           | 356108100 | 1585   | 140495   | SH | DEFINED | 1,3, |
| FREEPORT-MCMORAN COPPER & GO | COM            | 35671D857 | 19373  | 508348   | SH | DEFINED | 1,3, |
| FREEPORT-MCMORAN COPPER & GO | COM            | 35671D857 | 2291   | 60123    | SH | DEFINED | 1,3, |
| FRESH DEL MONTE PRODUCE INC  | ORD            | G36738105 | 7822   | 476402   | SH | DEFINED | 1,3, |
| FRESH DEL MONTE PRODUCE INC  | ORD            | G36738105 | 354    | 21557    | SH | DEFINED | 1,3, |
| FRONTIER COMMUNICATIONS CORP | COM            | 35906A108 | 13178  | 1835433  | SH | DEFINED | 1,3, |
| FRONTIER FINL CORP WASH      | COM            | 35907K105 | 182    | 165089   | SH | DEFINED | 1,3, |
| FRONTIER OIL CORP            | COM            | 35914P105 | 1368   | 106943   | SH | DEFINED | 1,3, |
| FTI CONSULTING INC           | COM            | 302941109 | 11028  | 222876   | SH | DEFINED | 1,3, |
| FTI CONSULTING INC           | COM            | 302941109 | 2018   | 40787    | SH | DEFINED | 1,3, |
| FULLER H B CO                | COM            | 359694106 | 2220   | 170753   | SH | DEFINED | 1,3, |
| FULTON FINL CORP PA          | COM            | 360271100 | 1197   | 180541   | SH | DEFINED | 1,3, |
| G & K SVCS INC               | CL A           | 361268105 | 1230   | 65055    | SH | DEFINED | 1,3, |
| GALLAGHER ARTHUR J & CO      | COM            | 363576109 | 1687   | 99219    | SH | DEFINED | 1,3, |
| GAMESTOP CORP NEW            | CL A           | 36467W109 | 10373  | 370201   | SH | DEFINED | 1,3, |
| GAMESTOP CORP NEW            | CL A           | 36467W109 | 436    | 15564    | SH | DEFINED | 2,3, |
| GANNETT INC                  | COM            | 364730101 | 312    | 141866   | SH | DEFINED | 1,3, |
| GAP INC DEL                  | COM            | 364760108 | 3983   | 306599   | SH | DEFINED | 1,3, |
| GARDNER DENVER INC           | COM            | 365558105 | 7115   | 327271   | SH | DEFINED | 1,3, |
| GARTNER INC                  | COM            | 366651107 | 670    | 60863    | SH | DEFINED | 1,3, |
| GATX CORP                    | COM            | 361448103 | 1014   | 50109    | SH | DEFINED | 1,3, |
| GEN-PROBE INC NEW            | COM            | 36866T103 | 7835   | 171886   | SH | DEFINED | 1,3, |
| GENCORP INC                  | COM            | 368682100 | 369    | 174037   | SH | DEFINED | 1,3, |
| GENERAL COMMUNICATION INC    | CL A           | 369385109 | 1038   | 155412   | SH | DEFINED | 1,3, |
| GENERAL DYNAMICS CORP        | COM            | 369550108 | 596    | 14342    | SH | DEFINED | 2,3, |
| GENERAL DYNAMICS CORP        | COM            | 369550108 | 36197  | 870320   | SH | DEFINED | 1,3, |
| GENERAL ELECTRIC CO          | COM            | 369604103 | 144724 | 14314983 | SH | DEFINED | 1,3, |
| GENERAL MARITIME CORP NEW    | SHS            | Y2693R101 | 828    | 118292   | SH | DEFINED | 1,3, |
| GENERAL MLS INC              | COM            | 370334104 | 10886  | 218245   | SH | DEFINED | 1,3, |
| GENERAL MTRS CORP            | COM            | 370442105 | 848    | 437354   | SH | DEFINED | 1,3, |
| GENESCO INC                  | COM            | 371532102 | 8175   | 434149   | SH | DEFINED | 1,3, |

Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 13F-HR

|                              |          |           |       |         |    |         |       |
|------------------------------|----------|-----------|-------|---------|----|---------|-------|
| GENOMIC HEALTH INC           | COM      | 37244C101 | 7807  | 320209  | SH | DEFINED | 1, 3, |
| GENTEX CORP                  | COM      | 371901109 | 12767 | 1281794 | SH | DEFINED | 1, 3, |
| GENTEX CORP                  | COM      | 371901109 | 152   | 15253   | SH | DEFINED | 1, 3, |
| GENTIVA HEALTH SERVICES INC  | COM      | 37247A102 | 4367  | 287316  | SH | DEFINED | 1, 3, |
| GENUINE PARTS CO             | COM      | 372460105 | 2996  | 100331  | SH | DEFINED | 1, 3, |
| GENWORTH FINL INC            | COM CL A | 37247D106 | 1107  | 582559  | SH | DEFINED | 1, 3, |
| GENZYME CORP                 | COM      | 372917104 | 321   | 5408    | SH | DEFINED | 2, 3, |
| GENZYME CORP                 | COM      | 372917104 | 31007 | 522086  | SH | DEFINED | 1, 3, |
| GEO GROUP INC                | COM      | 36159R103 | 4144  | 312765  | SH | DEFINED | 1, 3, |
| GERBER SCIENTIFIC INC        | COM      | 373730100 | 202   | 84543   | SH | DEFINED | 1, 3, |
| GEVITY HR INC                | COM      | 374393106 | 342   | 86499   | SH | DEFINED | 1, 3, |
| GIBRALTAR INDS INC           | COM      | 374689107 | 447   | 94745   | SH | DEFINED | 1, 3, |
| GILEAD SCIENCES INC          | COM      | 375558103 | 1061  | 22899   | SH | DEFINED | 2, 3, |
| GILEAD SCIENCES INC          | COM      | 375558103 | 60931 | 1315434 | SH | DEFINED | 1, 3, |
| GILEAD SCIENCES INC          | COM      | 375558103 | 7003  | 151177  | SH | DEFINED | 1, 3, |
| GLACIER BANCORP INC NEW      | COM      | 37637Q105 | 3396  | 216159  | SH | DEFINED | 1, 3, |
| GLOBAL PMTS INC              | COM      | 37940X102 | 2762  | 82664   | SH | DEFINED | 1, 3, |
| GOLDCORP INC NEW             | COM      | 380956409 | 957   | 28733   | SH | DEFINED | 1, 3, |
| GOLDMAN SACHS GROUP INC      | COM      | 38141G104 | 67762 | 639144  | SH | DEFINED | 1, 3, |
| GOLDMAN SACHS GROUP INC      | COM      | 38141G104 | 5164  | 48709   | SH | DEFINED | 1, 3, |
| GOODRICH CORP                | COM      | 382388106 | 536   | 14143   | SH | DEFINED | 2, 3, |
| GOODRICH CORP                | COM      | 382388106 | 12746 | 336396  | SH | DEFINED | 1, 3, |
| GOODYEAR TIRE & RUBR CO      | COM      | 382550101 | 937   | 149710  | SH | DEFINED | 1, 3, |
| GOOGLE INC                   | CL A     | 38259P508 | 512   | 1471    | SH | DEFINED | 2, 3, |
| GOOGLE INC                   | CL A     | 38259P508 | 69847 | 200674  | SH | DEFINED | 1, 3, |
| GOOGLE INC                   | CL A     | 38259P508 | 8012  | 23020   | SH | DEFINED | 1, 3, |
| GRACO INC                    | COM      | 384109104 | 1046  | 61292   | SH | DEFINED | 1, 3, |
| GRAFTECH INTL LTD            | COM      | 384313102 | 8314  | 1349754 | SH | DEFINED | 1, 3, |
| GRAINGER W W INC             | COM      | 384802104 | 2781  | 39622   | SH | DEFINED | 1, 3, |
| GRAND CANYON ED INC          | COM      | 38526M106 | 5191  | 300733  | SH | DEFINED | 1, 3, |
| GRANITE CONSTR INC           | COM      | 387328107 | 1269  | 33848   | SH | DEFINED | 1, 3, |
| GREAT ATLANTIC & PAC TEA INC | COM      | 390064103 | 564   | 106215  | SH | DEFINED | 1, 3, |
| GREAT PLAINS ENERGY INC      | COM      | 391164100 | 1653  | 122681  | SH | DEFINED | 1, 3, |
| GREATBATCH INC               | COM      | 39153L106 | 1567  | 80962   | SH | DEFINED | 1, 3, |
| GREEN BANKSHARES INC         | COM NEW  | 394361208 | 972   | 110460  | SH | DEFINED | 1, 3, |
| GREEN MTN COFFEE ROASTERS IN | COM      | 393122106 | 3001  | 62516   | SH | DEFINED | 1, 3, |
| GREENHILL & CO INC           | COM      | 395259104 | 4777  | 64690   | SH | DEFINED | 1, 3, |
| GREIF INC                    | CL A     | 397624107 | 1171  | 35162   | SH | DEFINED | 1, 3, |
| GRIFFON CORP                 | COM      | 398433102 | 1281  | 170824  | SH | DEFINED | 1, 3, |
| GROUP 1 AUTOMOTIVE INC       | COM      | 398905109 | 1178  | 84323   | SH | DEFINED | 1, 3, |
| GT SOLAR INTL INC            | COM      | 3623E0209 | 3028  | 456000  | SH | DEFINED | 1, 3, |
| GUARANTY FINL GROUP INC      | COM      | 40108N106 | 401   | 382145  | SH | DEFINED | 1, 3, |
| GUESS INC                    | COM      | 401617105 | 1680  | 79714   | SH | DEFINED | 1, 3, |
| GULF ISLAND FABRICATION INC  | COM      | 402307102 | 442   | 55197   | SH | DEFINED | 1, 3, |
| GULF ISLAND FABRICATION INC  | COM      | 402307102 | 307   | 38304   | SH | DEFINED | 2, 3, |
| GYMBOREE CORP                | COM      | 403777105 | 2179  | 102038  | SH | DEFINED | 1, 3, |
| HAEMONETICS CORP             | COM      | 405024100 | 4923  | 89374   | SH | DEFINED | 1, 3, |
| HAIN CELESTIAL GROUP INC     | COM      | 405217100 | 2026  | 142262  | SH | DEFINED | 1, 3, |
| HALLIBURTON CO               | COM      | 406216101 | 9063  | 585853  | SH | DEFINED | 1, 3, |
| HANCOCK HLDG CO              | COM      | 410120109 | 2622  | 83833   | SH | DEFINED | 1, 3, |
| HANESBRANDS INC              | COM      | 410345102 | 922   | 96304   | SH | DEFINED | 1, 3, |
| HANMI FINL CORP              | COM      | 410495105 | 170   | 130418  | SH | DEFINED | 1, 3, |
| HANOVER INS GROUP INC        | COM      | 410867105 | 1517  | 52634   | SH | DEFINED | 1, 3, |
| HANSEN NAT CORP              | COM      | 411310105 | 2709  | 75247   | SH | DEFINED | 1, 3, |
| HANSEN NAT CORP              | COM      | 411310105 | 2680  | 74445   | SH | DEFINED | 1, 3, |
| HARLEY DAVIDSON INC          | COM      | 412822108 | 1948  | 145447  | SH | DEFINED | 1, 3, |
| HARLEYSVILLE GROUP INC       | COM      | 412824104 | 3172  | 99721   | SH | DEFINED | 1, 3, |
| HARMAN INTL INDS INC         | COM      | 413086109 | 492   | 36350   | SH | DEFINED | 1, 3, |
| HARMONIC INC                 | COM      | 413160102 | 11020 | 1695311 | SH | DEFINED | 1, 3, |
| HARRIS CORP DEL              | COM      | 413875105 | 420   | 14503   | SH | DEFINED | 2, 3, |
| HARRIS CORP DEL              | COM      | 413875105 | 2511  | 86766   | SH | DEFINED | 1, 3, |
| HARSCO CORP                  | COM      | 415864107 | 1833  | 82701   | SH | DEFINED | 1, 3, |



Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 13F-HR

|                              |                |           |       |         |    |         |       |
|------------------------------|----------------|-----------|-------|---------|----|---------|-------|
| HARTE-HANKS INC              | COM            | 416196103 | 209   | 39109   | SH | DEFINED | 1, 3, |
| HARTFORD FINL SVCS GROUP INC | COM            | 416515104 | 1608  | 204840  | SH | DEFINED | 1, 3, |
| HASBRO INC                   | COM            | 418056107 | 8825  | 352007  | SH | DEFINED | 1, 3, |
| HASBRO INC                   | COM            | 418056107 | 651   | 25968   | SH | DEFINED | 1, 3, |
| HAVERTY FURNITURE INC        | COM            | 419596101 | 685   | 65068   | SH | DEFINED | 1, 3, |
| HAWAIIAN ELEC INDUSTRIES     | COM            | 419870100 | 1281  | 93249   | SH | DEFINED | 1, 3, |
| HCC INS HLDGS INC            | COM            | 404132102 | 2945  | 116931  | SH | DEFINED | 1, 3, |
| HCP INC                      | COM            | 40414L109 | 58346 | 3268675 | SH | DEFINED | 2, 3, |
| HCP INC                      | COM            | 40414L109 | 5271  | 295308  | SH | DEFINED | 1, 3, |
| HDFC BANK LTD                | ADR REPS 3 SHS | 40415F101 | 6377  | 104661  | SH | DEFINED | 1, 3, |
| HEADWATERS INC               | COM            | 42210P102 | 466   | 148348  | SH | DEFINED | 1, 3, |
| HEALTH CARE REIT INC         | COM            | 42217K106 | 37660 | 1231111 | SH | DEFINED | 2, 3, |
| HEALTH CARE REIT INC         | COM            | 42217K106 | 2305  | 75344   | SH | DEFINED | 1, 3, |
| HEALTH CARE REIT INC         | COM            | 42217K106 | 1278  | 41767   | SH | DEFINED | 1, 3, |
| HEALTH MGMT ASSOC INC NEW    | CL A           | 421933102 | 646   | 250562  | SH | DEFINED | 1, 3, |
| HEALTH NET INC               | COM            | 42222G108 | 1546  | 106749  | SH | DEFINED | 1, 3, |
| HEALTHCARE SVCS GRP INC      | COM            | 421906108 | 2263  | 151157  | SH | DEFINED | 1, 3, |
| HEALTHSPRING INC             | COM            | 42224N101 | 1436  | 171534  | SH | DEFINED | 1, 3, |
| HEALTHWAYS INC               | COM            | 422245100 | 1036  | 118160  | SH | DEFINED | 1, 3, |
| HEARTLAND EXPRESS INC        | COM            | 422347104 | 5621  | 379571  | SH | DEFINED | 1, 3, |
| HEARTLAND PMT SYS INC        | COM            | 42235N108 | 1890  | 285876  | SH | DEFINED | 1, 3, |
| HEIDRICK & STRUGGLES INTL IN | COM            | 422819102 | 1020  | 57483   | SH | DEFINED | 1, 3, |
| HEINZ H J CO                 | COM            | 423074103 | 29711 | 898713  | SH | DEFINED | 1, 3, |
| HELEN OF TROY CORP LTD       | COM            | G4388N106 | 1457  | 105967  | SH | DEFINED | 1, 3, |
| HELIX ENERGY SOLUTIONS GRP I | COM            | 42330P107 | 521   | 101277  | SH | DEFINED | 1, 3, |
| HELMERICH & PAYNE INC        | COM            | 423452101 | 2468  | 108385  | SH | DEFINED | 1, 3, |
| HENRY JACK & ASSOC INC       | COM            | 426281101 | 6290  | 385421  | SH | DEFINED | 1, 3, |
| HERBALIFE LTD                | COM USD SHS    | G4412G101 | 4173  | 278594  | SH | DEFINED | 1, 3, |
| HERCULES TECH GROWTH CAP INC | COM            | 427096508 | 1753  | 350584  | SH | DEFINED | 1, 3, |
| HERSHEY CO                   | COM            | 427866108 | 3582  | 103087  | SH | DEFINED | 1, 3, |
| HERTZ GLOBAL HOLDINGS INC    | COM            | 42805T105 | 336   | 85400   | SH | DEFINED | 1, 3, |
| HESS CORP                    | COM            | 42809H107 | 9807  | 180947  | SH | DEFINED | 1, 3, |
| HEWITT ASSOCS INC            | COM            | 42822Q100 | 2572  | 86427   | SH | DEFINED | 1, 3, |
| HEWLETT PACKARD CO           | COM            | 428236103 | 96245 | 3002028 | SH | DEFINED | 1, 3, |
| HEWLETT PACKARD CO           | COM            | 428236103 | 1533  | 47828   | SH | DEFINED | 2, 3, |
| HEWLETT PACKARD CO           | COM            | 428236103 | 4545  | 141765  | SH | DEFINED | 1, 3, |
| HHGREGG INC                  | COM            | 42833L108 | 3092  | 218500  | SH | DEFINED | 1, 3, |
| HIBBETT SPORTS INC           | COM            | 428567101 | 8507  | 442644  | SH | DEFINED | 1, 3, |
| HIGHWOODS PPTYS INC          | COM            | 431284108 | 1401  | 65426   | SH | DEFINED | 1, 3, |
| HILL ROM HLDGS INC           | COM            | 431475102 | 637   | 64392   | SH | DEFINED | 1, 3, |
| HILLENBRAND INC              | COM            | 431571108 | 3479  | 217299  | SH | DEFINED | 1, 3, |
| HITTITE MICROWAVE CORP       | COM            | 43365Y104 | 6895  | 220984  | SH | DEFINED | 1, 3, |
| HMS HLDGS CORP               | COM            | 40425J101 | 2949  | 89641   | SH | DEFINED | 1, 3, |
| HNI CORP                     | COM            | 404251100 | 474   | 45564   | SH | DEFINED | 1, 3, |
| HOLLY CORP                   | COM PAR \$0.01 | 435758305 | 3427  | 161635  | SH | DEFINED | 1, 3, |
| HOLOGIC INC                  | COM            | 436440101 | 12014 | 917792  | SH | DEFINED | 1, 3, |
| HOME BANCSHARES INC          | COM            | 436893200 | 934   | 46779   | SH | DEFINED | 1, 3, |
| HOME DEPOT INC               | COM            | 437076102 | 66364 | 2816819 | SH | DEFINED | 1, 3, |
| HOME PROPERTIES INC          | COM            | 437306103 | 1416  | 46202   | SH | DEFINED | 1, 3, |
| HOME PROPERTIES INC          | COM            | 437306103 | 3900  | 127237  | SH | DEFINED | 1, 3, |
| HOME PROPERTIES INC          | COM            | 437306103 | 42002 | 1370394 | SH | DEFINED | 2, 3, |
| HONDA MOTOR LTD              | AMERN SHS      | 438128308 | 356   | 15007   | SH | DEFINED | 1, 3, |
| HONEYWELL INTL INC           | COM            | 438516106 | 708   | 25416   | SH | DEFINED | 2, 3, |
| HONEYWELL INTL INC           | COM            | 438516106 | 25873 | 928672  | SH | DEFINED | 1, 3, |
| HORACE MANN EDUCATORS CORP N | COM            | 440327104 | 1149  | 137252  | SH | DEFINED | 1, 3, |
| HORMEL FOODS CORP            | COM            | 440452100 | 5450  | 171868  | SH | DEFINED | 1, 3, |
| HORNBECK OFFSHORE SVCS INC N | COM            | 440543106 | 5454  | 357892  | SH | DEFINED | 1, 3, |
| HOSPIRA INC                  | COM            | 441060100 | 4124  | 133632  | SH | DEFINED | 1, 3, |
| HOSPITALITY PPTYS TR         | COM SH BEN INT | 44106M102 | 13990 | 1165800 | SH | DEFINED | 2, 3, |
| HOSPITALITY PPTYS TR         | COM SH BEN INT | 44106M102 | 482   | 40179   | SH | DEFINED | 1, 3, |
| HOSPITALITY PPTYS TR         | COM SH BEN INT | 44106M102 | 1283  | 106933  | SH | DEFINED | 1, 3, |
| HOST HOTELS & RESORTS INC    | COM            | 44107P104 | 2955  | 753790  | SH | DEFINED | 1, 3, |

Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 13F-HR

|                              |                |           |        |         |    |         |       |
|------------------------------|----------------|-----------|--------|---------|----|---------|-------|
| HOST HOTELS & RESORTS INC    | COM            | 44107P104 | 9373   | 2391113 | SH | DEFINED | 2, 3, |
| HOST HOTELS & RESORTS INC    | COM            | 44107P104 | 328    | 83705   | SH | DEFINED | 1, 3, |
| HOT TOPIC INC                | COM            | 441339108 | 1723   | 153986  | SH | DEFINED | 1, 3, |
| HSBC HLDGS PLC               | ADR A 1/40PF A | 404280604 | 5467   | 367138  | SH | DEFINED | 1, 3, |
| HSBC HLDGS PLC               | SPON ADR NEW   | 404280406 | 2319   | 82160   | SH | DEFINED | 1, 3, |
| HSN INC                      | COM            | 404303109 | 711    | 138293  | SH | DEFINED | 1, 3, |
| HUB GROUP INC                | CL A           | 443320106 | 10257  | 603336  | SH | DEFINED | 1, 3, |
| HUBBELL INC                  | CL B           | 443510201 | 1565   | 58034   | SH | DEFINED | 1, 3, |
| HUDSON CITY BANCORP          | COM            | 443683107 | 6799   | 581641  | SH | DEFINED | 1, 3, |
| HUDSON CITY BANCORP          | COM            | 443683107 | 407    | 34825   | SH | DEFINED | 2, 3, |
| HUMAN GENOME SCIENCES INC    | COM            | 444903108 | 1299   | 1565167 | SH | DEFINED | 1, 3, |
| HUMANA INC                   | COM            | 444859102 | 2922   | 112036  | SH | DEFINED | 1, 3, |
| HUNT J B TRANS SVCS INC      | COM            | 445658107 | 2034   | 84353   | SH | DEFINED | 1, 3, |
| HUNTINGTON BANCSHARES INC    | COM            | 446150104 | 377    | 227283  | SH | DEFINED | 1, 3, |
| HURON CONSULTING GROUP INC   | COM            | 447462102 | 9020   | 212597  | SH | DEFINED | 1, 3, |
| HUTCHINSON TECHNOLOGY INC    | COM            | 448407106 | 211    | 81216   | SH | DEFINED | 1, 3, |
| IBERIABANK CORP              | COM            | 450828108 | 6506   | 141610  | SH | DEFINED | 1, 3, |
| ICON PUB LTD CO              | SPONSORED ADR  | 45103T107 | 6504   | 402704  | SH | DEFINED | 1, 3, |
| ICONIX BRAND GROUP INC       | COM            | 451055107 | 10880  | 1229398 | SH | DEFINED | 1, 3, |
| ICU MED INC                  | COM            | 44930G107 | 1463   | 45542   | SH | DEFINED | 1, 3, |
| IDACORP INC                  | COM            | 451107106 | 4001   | 171293  | SH | DEFINED | 1, 3, |
| IDEX CORP                    | COM            | 45167R104 | 1809   | 82708   | SH | DEFINED | 1, 3, |
| IDEXX LABS INC               | COM            | 45168D104 | 2105   | 60865   | SH | DEFINED | 1, 3, |
| II VI INC                    | COM            | 902104108 | 1461   | 85069   | SH | DEFINED | 1, 3, |
| ILLINOIS TOOL WKS INC        | COM            | 452308109 | 7479   | 242439  | SH | DEFINED | 1, 3, |
| ILLUMINA INC                 | COM            | 452327109 | 564    | 15147   | SH | DEFINED | 1, 3, |
| IMATION CORP                 | COM            | 45245A107 | 238    | 31061   | SH | DEFINED | 1, 3, |
| IMMUCOR INC                  | COM            | 452526106 | 13885  | 552093  | SH | DEFINED | 1, 3, |
| IMS HEALTH INC               | COM            | 449934108 | 1682   | 134898  | SH | DEFINED | 1, 3, |
| INCYTE CORP                  | COM            | 45337C102 | 5401   | 2308253 | SH | DEFINED | 1, 3, |
| INCYTE CORP                  | COM            | 45337C102 | 567    | 242327  | SH | DEFINED | 1, 3, |
| INDEPENDENT BANK CORP MASS   | COM            | 453836108 | 843    | 57140   | SH | DEFINED | 1, 3, |
| INDEPENDENT BANK CORP MICH   | COM            | 453838104 | 163    | 69838   | SH | DEFINED | 1, 3, |
| INFINITY PPTY & CAS CORP     | COM            | 45665Q103 | 1677   | 49438   | SH | DEFINED | 1, 3, |
| INFORMATICA CORP             | COM            | 45666Q102 | 9839   | 742001  | SH | DEFINED | 1, 3, |
| INFOSPACE INC                | COM NEW        | 45678T201 | 638    | 122737  | SH | DEFINED | 1, 3, |
| INFOSYS TECHNOLOGIES LTD     | SPONSORED ADR  | 456788108 | 35593  | 1336580 | SH | DEFINED | 1, 3, |
| INGERSOLL-RAND COMPANY LTD   | CL A           | G4776G101 | 2739   | 198513  | SH | DEFINED | 1, 3, |
| INGRAM MICRO INC             | CL A           | 457153104 | 2102   | 166275  | SH | DEFINED | 1, 3, |
| INLAND REAL ESTATE CORP      | COM NEW        | 457461200 | 8521   | 1201869 | SH | DEFINED | 1, 3, |
| INLAND REAL ESTATE CORP      | COM NEW        | 457461200 | 957    | 134948  | SH | DEFINED | 1, 3, |
| INSIGHT ENTERPRISES INC      | COM            | 45765U103 | 490    | 160230  | SH | DEFINED | 1, 3, |
| INSITUFORM TECHNOLOGIES INC  | CL A           | 457667103 | 9243   | 590980  | SH | DEFINED | 1, 3, |
| INTEGRA LIFESCIENCES HLDGS C | COM NEW        | 457985208 | 3829   | 154821  | SH | DEFINED | 1, 3, |
| INTEGRAL SYS INC MD          | COM            | 45810H107 | 521    | 60556   | SH | DEFINED | 1, 3, |
| INTEGRATED DEVICE TECHNOLOGY | COM            | 458118106 | 5555   | 1220823 | SH | DEFINED | 1, 3, |
| INTEGRYS ENERGY GROUP INC    | COM            | 45822P105 | 1238   | 47541   | SH | DEFINED | 1, 3, |
| INTEL CORP                   | COM            | 458140100 | 102915 | 6838210 | SH | DEFINED | 1, 3, |
| INTEL CORP                   | COM            | 458140100 | 507    | 33661   | SH | DEFINED | 2, 3, |
| INTERACTIVE BROKERS GROUP IN | COM            | 45841N107 | 5081   | 315000  | SH | DEFINED | 1, 3, |
| INTERCONTINENTALEXCHANGE INC | COM            | 45865V100 | 3483   | 46775   | SH | DEFINED | 1, 3, |
| INTERFACE INC                | CL A           | 458665106 | 589    | 197051  | SH | DEFINED | 1, 3, |
| INTERMEC INC                 | COM            | 458786100 | 1771   | 170314  | SH | DEFINED | 1, 3, |
| INTERNATIONAL BANCSHARES COR | COM            | 459044103 | 408    | 52259   | SH | DEFINED | 1, 3, |
| INTERNATIONAL BUSINESS MACHS | COM            | 459200101 | 150714 | 1555522 | SH | DEFINED | 1, 3, |
| INTERNATIONAL BUSINESS MACHS | COM            | 459200101 | 2254   | 23263   | SH | DEFINED | 2, 3, |
| INTERNATIONAL FLAVORS&FRAGRA | COM            | 459506101 | 6793   | 223001  | SH | DEFINED | 1, 3, |
| INTERNATIONAL GAME TECHNOLOG | COM            | 459902102 | 1905   | 206638  | SH | DEFINED | 1, 3, |
| INTERNATIONAL RECTIFIER CORP | COM            | 460254105 | 1005   | 74370   | SH | DEFINED | 1, 3, |
| INTERNATIONAL SPEEDWAY CORP  | CL A           | 460335201 | 630    | 28572   | SH | DEFINED | 1, 3, |
| INTERPUBLIC GROUP COS INC    | COM            | 460690100 | 4172   | 1012741 | SH | DEFINED | 1, 3, |
| INTERSIL CORP                | CL A           | 46069S109 | 1440   | 125238  | SH | DEFINED | 1, 3, |

Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 13F-HR

|                              |                 |           |        |         |    |         |      |
|------------------------------|-----------------|-----------|--------|---------|----|---------|------|
| INTERVAL LEISURE GROUP INC   | COM             | 46113M108 | 733    | 138293  | SH | DEFINED | 1,3, |
| INTEVAC INC                  | COM             | 461148108 | 402    | 77064   | SH | DEFINED | 1,3, |
| INTL PAPER CO                | COM             | 460146103 | 1875   | 266382  | SH | DEFINED | 1,3, |
| INTREPID POTASH INC          | COM             | 46121Y102 | 604    | 32740   | SH | DEFINED | 1,3, |
| INTUIT                       | COM             | 461202103 | 14507  | 537284  | SH | DEFINED | 1,3, |
| INTUITIVE SURGICAL INC       | COM NEW         | 46120E602 | 2735   | 28681   | SH | DEFINED | 1,3, |
| INTUITIVE SURGICAL INC       | COM NEW         | 46120E602 | 2325   | 24382   | SH | DEFINED | 1,3, |
| INVACARE CORP                | COM             | 461203101 | 1811   | 112945  | SH | DEFINED | 1,3, |
| INVENTIV HEALTH INC          | COM             | 46122E105 | 3548   | 434850  | SH | DEFINED | 1,3, |
| INVERNESS MED INNOVATIONS IN | COM             | 46126P106 | 7288   | 273662  | SH | DEFINED | 1,3, |
| INVESCO LTD                  | SHS             | G491BT108 | 7332   | 529026  | SH | DEFINED | 1,3, |
| INVESTMENT TECHNOLOGY GRP NE | COM             | 46145F105 | 9706   | 380331  | SH | DEFINED | 1,3, |
| INVESTMENT TECHNOLOGY GRP NE | COM             | 46145F105 | 1140   | 44679   | SH | DEFINED | 1,3, |
| INVESTORS BANCORP INC        | COM             | 46146P102 | 2356   | 278190  | SH | DEFINED | 1,3, |
| ION GEOPHYSICAL CORP         | COM             | 462044108 | 492    | 315500  | SH | DEFINED | 1,3, |
| IOWA TELECOMM SERVICES INC   | COM             | 462594201 | 1288   | 112392  | SH | DEFINED | 1,3, |
| IPC HLDGS LTD                | ORD             | G4933P101 | 996    | 36822   | SH | DEFINED | 1,3, |
| IPC HLDGS LTD                | ORD             | G4933P101 | 14221  | 525922  | SH | DEFINED | 1,3, |
| IPG PHOTONICS CORP           | COM             | 44980X109 | 606    | 71919   | SH | DEFINED | 1,3, |
| IRIS INTL INC                | COM             | 46270W105 | 911    | 79036   | SH | DEFINED | 1,3, |
| IRON MTN INC                 | COM             | 462846106 | 495    | 22347   | SH | DEFINED | 1,3, |
| IRON MTN INC                 | COM             | 462846106 | 44484  | 2006511 | SH | DEFINED | 1,3, |
| IRWIN FINL CORP              | COM             | 464119106 | 130    | 66453   | SH | DEFINED | 1,3, |
| ISHARES INC                  | MSCI TAIWAN     | 464286731 | 769    | 95300   | SH | DEFINED | 1,3, |
| ISHARES INC                  | MSCI S KOREA    | 464286772 | 609    | 21400   | SH | DEFINED | 1,3, |
| ISHARES TR                   | MSCI EAFE IDX   | 464287465 | 8093   | 215296  | SH | DEFINED | 1,3, |
| ISHARES TR                   | S&P SMLCAP 600  | 464287804 | 4054   | 111100  | SH | DEFINED | 1,3, |
| ISHARES TR                   | S&P MIDCAP 400  | 464287507 | 2993   | 61530   | SH | DEFINED | 1,3, |
| ISHARES TR                   | S&P 500 INDEX   | 464287200 | 2894   | 36352   | SH | DEFINED | 1,3, |
| ISHARES TR                   | RUSL 2000 VALU  | 464287630 | 2128   | 53920   | SH | DEFINED | 1,3, |
| ISIS PHARMACEUTICALS INC     | COM             | 464330109 | 5554   | 370000  | SH | DEFINED | 1,3, |
| ITRON INC                    | COM             | 465741106 | 1856   | 39189   | SH | DEFINED | 1,3, |
| ITT CORP NEW                 | COM             | 450911102 | 9850   | 256040  | SH | DEFINED | 1,3, |
| ITT EDUCATIONAL SERVICES INC | COM             | 45068B109 | 8273   | 68137   | SH | DEFINED | 1,3, |
| ITT EDUCATIONAL SERVICES INC | COM             | 45068B109 | 1238   | 10194   | SH | DEFINED | 1,3, |
| IXYS CORP                    | COM             | 46600W106 | 5330   | 661350  | SH | DEFINED | 1,3, |
| J & J SNACK FOODS CORP       | COM             | 466032109 | 1695   | 48998   | SH | DEFINED | 1,3, |
| J CREW GROUP INC             | COM             | 46612H402 | 704    | 53387   | SH | DEFINED | 1,3, |
| J2 GLOBAL COMMUNICATIONS INC | COM NEW         | 46626E205 | 10916  | 498669  | SH | DEFINED | 1,3, |
| JABIL CIRCUIT INC            | COM             | 466313103 | 780    | 140362  | SH | DEFINED | 1,3, |
| JACK IN THE BOX INC          | COM             | 466367109 | 17719  | 760813  | SH | DEFINED | 1,3, |
| JACK IN THE BOX INC          | COM             | 466367109 | 1052   | 45184   | SH | DEFINED | 1,3, |
| JACOBS ENGR GROUP INC DEL    | COM             | 469814107 | 6312   | 163280  | SH | DEFINED | 1,3, |
| JAKKS PAC INC                | COM             | 47012E106 | 415    | 33625   | SH | DEFINED | 1,3, |
| JAKKS PAC INC                | COM             | 47012E106 | 10331  | 836489  | SH | DEFINED | 1,3, |
| JANUS CAP GROUP INC          | COM             | 47102X105 | 1154   | 173565  | SH | DEFINED | 1,3, |
| JARDEN CORP                  | COM             | 471109108 | 14632  | 1154815 | SH | DEFINED | 1,3, |
| JDA SOFTWARE GROUP INC       | COM             | 46612K108 | 8836   | 764979  | SH | DEFINED | 1,3, |
| JDS UNIPHASE CORP            | COM PAR \$0.001 | 46612J507 | 433    | 133334  | SH | DEFINED | 1,3, |
| JEFFERIES GROUP INC NEW      | COM             | 472319102 | 1744   | 126346  | SH | DEFINED | 1,3, |
| JETBLUE AIRWAYS CORP         | COM             | 477143101 | 690    | 189155  | SH | DEFINED | 1,3, |
| JO-ANN STORES INC            | COM             | 47758P307 | 9640   | 589976  | SH | DEFINED | 1,3, |
| JOHN BEAN TECHNOLOGIES CORP  | COM             | 477839104 | 1011   | 96701   | SH | DEFINED | 1,3, |
| JOHNSON & JOHNSON            | COM             | 478160104 | 498    | 9476    | SH | DEFINED | 2,3, |
| JOHNSON & JOHNSON            | COM             | 478160104 | 178795 | 3399135 | SH | DEFINED | 1,3, |
| JOHNSON CTLS INC             | COM             | 478366107 | 4471   | 372595  | SH | DEFINED | 1,3, |
| JONES LANG LASALLE INC       | COM             | 48020Q107 | 3397   | 146039  | SH | DEFINED | 1,3, |
| JOS A BANK CLOTHIERS INC     | COM             | 480838101 | 4909   | 176510  | SH | DEFINED | 1,3, |
| JOY GLOBAL INC               | COM             | 481165108 | 2344   | 110029  | SH | DEFINED | 1,3, |
| JPMORGAN CHASE & CO          | COM             | 46625H100 | 9500   | 357414  | SH | DEFINED | 1,3, |
| JPMORGAN CHASE & CO          | COM             | 46625H100 | 127458 | 4795248 | SH | DEFINED | 1,3, |
| JUNIPER NETWORKS INC         | COM             | 48203R104 | 407    | 27024   | SH | DEFINED | 2,3, |

Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 13F-HR

|                              |                |           |       |         |    |         |       |
|------------------------------|----------------|-----------|-------|---------|----|---------|-------|
| JUNIPER NETWORKS INC         | COM            | 48203R104 | 4912  | 326189  | SH | DEFINED | 1, 3, |
| K-SWISS INC                  | CL A           | 482686102 | 805   | 94259   | SH | DEFINED | 1, 3, |
| KAISER ALUMINUM CORP         | COM PAR \$0.01 | 483007704 | 2267  | 98050   | SH | DEFINED | 1, 3, |
| KAMAN CORP                   | COM            | 483548103 | 1122  | 89456   | SH | DEFINED | 1, 3, |
| KANSAS CITY SOUTHERN         | COM NEW        | 485170302 | 1198  | 94222   | SH | DEFINED | 1, 3, |
| KAYDON CORP                  | COM            | 486587108 | 3232  | 118254  | SH | DEFINED | 1, 3, |
| KB HOME                      | COM            | 48666K109 | 1330  | 100886  | SH | DEFINED | 1, 3, |
| KBR INC                      | COM            | 48242W106 | 3194  | 231259  | SH | DEFINED | 1, 3, |
| KEITHLEY INSTRS INC          | COM            | 487584104 | 161   | 47515   | SH | DEFINED | 1, 3, |
| KELLOGG CO                   | COM            | 487836108 | 6493  | 177271  | SH | DEFINED | 1, 3, |
| KELLY SVCS INC               | CL A           | 488152208 | 228   | 28274   | SH | DEFINED | 1, 3, |
| KENDLE INTERNATIONAL INC     | COM            | 48880L107 | 983   | 46883   | SH | DEFINED | 1, 3, |
| KENNAMETAL INC               | COM            | 489170100 | 1220  | 75261   | SH | DEFINED | 1, 3, |
| KENSEY NASH CORP             | COM            | 490057106 | 5652  | 265740  | SH | DEFINED | 1, 3, |
| KEYCORP NEW                  | COM            | 493267108 | 2424  | 308037  | SH | DEFINED | 1, 3, |
| KILROY RLTY CORP             | COM            | 49427F108 | 3911  | 227506  | SH | DEFINED | 1, 3, |
| KIMBERLY CLARK CORP          | COM            | 494368103 | 34334 | 744604  | SH | DEFINED | 1, 3, |
| KIMBERLY CLARK CORP          | COM            | 494368103 | 474   | 10279   | SH | DEFINED | 2, 3, |
| KIMCO REALTY CORP            | COM            | 49446R109 | 1103  | 144795  | SH | DEFINED | 1, 3, |
| KIMCO REALTY CORP            | COM            | 49446R109 | 3996  | 524478  | SH | DEFINED | 2, 3, |
| KIMCO REALTY CORP            | COM            | 49446R109 | 177   | 23176   | SH | DEFINED | 1, 3, |
| KINDRED HEALTHCARE INC       | COM            | 494580103 | 460   | 30787   | SH | DEFINED | 1, 3, |
| KINETIC CONCEPTS INC         | COM NEW        | 49460W208 | 1196  | 56629   | SH | DEFINED | 1, 3, |
| KING PHARMACEUTICALS INC     | COM            | 495582108 | 4584  | 648389  | SH | DEFINED | 1, 3, |
| KIRBY CORP                   | COM            | 497266106 | 5034  | 188977  | SH | DEFINED | 1, 3, |
| KITE RLTY GROUP TR           | COM            | 49803T102 | 292   | 119331  | SH | DEFINED | 1, 3, |
| KLA-TENCOR CORP              | COM            | 482480100 | 2127  | 106345  | SH | DEFINED | 1, 3, |
| KNIGHT CAPITAL GROUP INC     | CL A           | 499005106 | 10090 | 684554  | SH | DEFINED | 1, 3, |
| KNIGHT TRANSN INC            | COM            | 499064103 | 3022  | 199342  | SH | DEFINED | 1, 3, |
| KNOT INC                     | COM            | 499184109 | 829   | 101037  | SH | DEFINED | 1, 3, |
| KOHL'S CORP                  | COM            | 500255104 | 4751  | 112268  | SH | DEFINED | 1, 3, |
| KOHL'S CORP                  | COM            | 500255104 | 9041  | 213635  | SH | DEFINED | 1, 3, |
| KOPIN CORP                   | COM            | 500600101 | 556   | 239676  | SH | DEFINED | 1, 3, |
| KOPPERS HOLDINGS INC         | COM            | 50060P106 | 489   | 33695   | SH | DEFINED | 1, 3, |
| KOPPERS HOLDINGS INC         | COM            | 50060P106 | 7255  | 499682  | SH | DEFINED | 1, 3, |
| KORN FERRY INTL              | COM NEW        | 500643200 | 417   | 45993   | SH | DEFINED | 1, 3, |
| KRAFT FOODS INC              | CL A           | 50075N104 | 26623 | 1194397 | SH | DEFINED | 1, 3, |
| KROGER CO                    | COM            | 501044101 | 22066 | 1039870 | SH | DEFINED | 1, 3, |
| KULICKE & SOFFA INDS INC     | COM            | 501242101 | 562   | 214580  | SH | DEFINED | 1, 3, |
| L-3 COMMUNICATIONS HLDGS INC | COM            | 502424104 | 7106  | 104804  | SH | DEFINED | 1, 3, |
| LA Z BOY INC                 | COM            | 505336107 | 226   | 180411  | SH | DEFINED | 1, 3, |
| LABORATORY CORP AMER HLDGS   | COM NEW        | 50540R409 | 510   | 8716    | SH | DEFINED | 1, 3, |
| LABORATORY CORP AMER HLDGS   | COM NEW        | 50540R409 | 47193 | 806851  | SH | DEFINED | 1, 3, |
| LABRANCHE & CO INC           | COM            | 505447102 | 690   | 184370  | SH | DEFINED | 1, 3, |
| LACLEDE GROUP INC            | COM            | 505597104 | 3033  | 77797   | SH | DEFINED | 1, 3, |
| LADISH INC                   | COM NEW        | 505754200 | 797   | 109813  | SH | DEFINED | 1, 3, |
| LAM RESEARCH CORP            | COM            | 512807108 | 2942  | 129222  | SH | DEFINED | 1, 3, |
| LAMAR ADVERTISING CO         | CL A           | 512815101 | 4307  | 441743  | SH | DEFINED | 1, 3, |
| LANCASTER COLONY CORP        | COM            | 513847103 | 848   | 20446   | SH | DEFINED | 1, 3, |
| LANCE INC                    | COM            | 514606102 | 2308  | 110848  | SH | DEFINED | 1, 3, |
| LANDAUER INC                 | COM            | 51476K103 | 1660  | 32747   | SH | DEFINED | 1, 3, |
| LANDRYS RESTAURANTS INC      | COM            | 51508L103 | 224   | 42969   | SH | DEFINED | 1, 3, |
| LANDSTAR SYS INC             | COM            | 515098101 | 1781  | 53198   | SH | DEFINED | 1, 3, |
| LASALLE HOTEL PPTYS          | COM SH BEN INT | 517942108 | 1792  | 306840  | SH | DEFINED | 1, 3, |
| LASALLE HOTEL PPTYS          | COM SH BEN INT | 517942108 | 115   | 19726   | SH | DEFINED | 1, 3, |
| LASALLE HOTEL PPTYS          | COM SH BEN INT | 517942108 | 3649  | 624886  | SH | DEFINED | 2, 3, |
| LAUDER ESTEE COS INC         | CL A           | 518439104 | 1777  | 72093   | SH | DEFINED | 1, 3, |
| LAWSON PRODS INC             | COM            | 520776105 | 178   | 14625   | SH | DEFINED | 1, 3, |
| LAZARD LTD                   | SHS A          | G54050102 | 746   | 25375   | SH | DEFINED | 1, 3, |
| LAZARD LTD                   | SHS A          | G54050102 | 306   | 10413   | SH | DEFINED | 1, 3, |
| LCA-VISION INC               | COM PAR \$.001 | 501803308 | 189   | 64984   | SH | DEFINED | 1, 3, |
| LEGG MASON INC               | COM            | 524901105 | 1424  | 89561   | SH | DEFINED | 1, 3, |

Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 13F-HR

|                             |               |           |       |         |    |         |       |
|-----------------------------|---------------|-----------|-------|---------|----|---------|-------|
| LEGETT & PLATT INC          | COM           | 524660107 | 1265  | 97349   | SH | DEFINED | 1, 3, |
| LENDER PROCESSING SVCS INC  | COM           | 52602E102 | 17436 | 569646  | SH | DEFINED | 1, 3, |
| LENNAR CORP                 | CL A          | 526057104 | 658   | 87619   | SH | DEFINED | 1, 3, |
| LENNOX INTL INC             | COM           | 526107107 | 1276  | 48211   | SH | DEFINED | 1, 3, |
| LEUCADIA NATL CORP          | COM           | 527288104 | 1676  | 112575  | SH | DEFINED | 1, 3, |
| LEVEL 3 COMMUNICATIONS INC  | COM           | 52729N100 | 136   | 147350  | SH | DEFINED | 1, 3, |
| LEXINGTON REALTY TRUST      | COM           | 529043101 | 656   | 275594  | SH | DEFINED | 1, 3, |
| LEXMARK INTL NEW            | CL A          | 529771107 | 1926  | 114165  | SH | DEFINED | 1, 3, |
| LHC GROUP INC               | COM           | 50187A107 | 4600  | 206474  | SH | DEFINED | 1, 3, |
| LIBERTY GLOBAL INC          | COM SER C     | 530555309 | 14872 | 1052506 | SH | DEFINED | 1, 3, |
| LIBERTY GLOBAL INC          | COM SER A     | 530555101 | 11807 | 810920  | SH | DEFINED | 1, 3, |
| LIBERTY GLOBAL INC          | COM SER A     | 530555101 | 323   | 22204   | SH | DEFINED | 1, 3, |
| LIBERTY MEDIA CORP NEW      | CAP COM SER A | 53071M302 | 10082 | 1444429 | SH | DEFINED | 1, 3, |
| LIBERTY MEDIA CORP NEW      | ENT COM SER A | 53071M500 | 470   | 23539   | SH | DEFINED | 1, 3, |
| LIBERTY MEDIA CORP NEW      | INT COM SER A | 53071M104 | 36    | 12455   | SH | DEFINED | 1, 3, |
| LIBERTY MEDIA CORP NEW      | CAP COM SER A | 53071M302 | 125   | 17953   | SH | DEFINED | 1, 3, |
| LIBERTY MEDIA CORP NEW      | ENT COM SER A | 53071M500 | 39606 | 1985272 | SH | DEFINED | 1, 3, |
| LIBERTY MEDIA CORP NEW      | INT COM SER A | 53071M104 | 3584  | 1235832 | SH | DEFINED | 1, 3, |
| LIBERTY PPTY TR             | SH BEN INT    | 531172104 | 2097  | 110731  | SH | DEFINED | 1, 3, |
| LIFE TECHNOLOGIES CORP      | COM           | 53217V109 | 10020 | 308484  | SH | DEFINED | 1, 3, |
| LIFE TIME FITNESS INC       | COM           | 53217R207 | 450   | 35825   | SH | DEFINED | 1, 3, |
| LIFEPOINT HOSPITALS INC     | COM           | 53219L109 | 1119  | 53625   | SH | DEFINED | 1, 3, |
| LILLY ELI & CO              | COM           | 532457108 | 60491 | 1810566 | SH | DEFINED | 1, 3, |
| LIMITED BRANDS INC          | COM           | 532716107 | 5769  | 663126  | SH | DEFINED | 1, 3, |
| LINCARE HLDGS INC           | COM           | 532791100 | 24924 | 1143295 | SH | DEFINED | 1, 3, |
| LINCARE HLDGS INC           | COM           | 532791100 | 282   | 12957   | SH | DEFINED | 1, 3, |
| LINCOLN ELEC HLDGS INC      | COM           | 533900106 | 1387  | 43770   | SH | DEFINED | 1, 3, |
| LINCOLN NATL CORP IND       | COM           | 534187109 | 1879  | 280824  | SH | DEFINED | 1, 3, |
| LINDSAY CORP                | COM           | 535555106 | 1165  | 43130   | SH | DEFINED | 1, 3, |
| LINEAR TECHNOLOGY CORP      | COM           | 535678106 | 6438  | 280160  | SH | DEFINED | 1, 3, |
| LITHIA MTRS INC             | CL A          | 536797103 | 131   | 58025   | SH | DEFINED | 1, 3, |
| LITTELFUSE INC              | COM           | 537008104 | 839   | 76332   | SH | DEFINED | 1, 3, |
| LIVE NATION INC             | COM           | 538034109 | 735   | 275187  | SH | DEFINED | 1, 3, |
| LIZ CLAIBORNE INC           | COM           | 539320101 | 826   | 334325  | SH | DEFINED | 1, 3, |
| LKQ CORP                    | COM           | 501889208 | 2055  | 144013  | SH | DEFINED | 1, 3, |
| LO-JACK CORP                | COM           | 539451104 | 275   | 60806   | SH | DEFINED | 1, 3, |
| LOCKHEED MARTIN CORP        | COM           | 539830109 | 40787 | 590852  | SH | DEFINED | 1, 3, |
| LOCKHEED MARTIN CORP        | COM           | 539830109 | 538   | 7800    | SH | DEFINED | 2, 3, |
| LOEWS CORP                  | COM           | 540424108 | 34422 | 1557574 | SH | DEFINED | 1, 3, |
| LORILLARD INC               | COM           | 544147101 | 15318 | 248098  | SH | DEFINED | 1, 3, |
| LOUISIANA PAC CORP          | COM           | 546347105 | 209   | 93718   | SH | DEFINED | 1, 3, |
| LOWES COS INC               | COM           | 548661107 | 31992 | 1752966 | SH | DEFINED | 1, 3, |
| LSI CORPORATION             | COM           | 502161102 | 1224  | 402791  | SH | DEFINED | 1, 3, |
| LTC PPTYS INC               | COM           | 502175102 | 1518  | 86526   | SH | DEFINED | 1, 3, |
| LTC PPTYS INC               | COM           | 502175102 | 4858  | 276959  | SH | DEFINED | 2, 3, |
| LUBRIZOL CORP               | COM           | 549271104 | 8513  | 250315  | SH | DEFINED | 1, 3, |
| LUFKIN INDS INC             | COM           | 549764108 | 1979  | 52232   | SH | DEFINED | 1, 3, |
| LUMBER LIQUIDATORS INC      | COM           | 55003Q103 | 2570  | 201600  | SH | DEFINED | 1, 3, |
| LYDALL INC DEL              | COM           | 550819106 | 173   | 58240   | SH | DEFINED | 1, 3, |
| M & T BK CORP               | COM           | 55261F104 | 2199  | 48600   | SH | DEFINED | 1, 3, |
| M D C HLDGS INC             | COM           | 552676108 | 1182  | 37942   | SH | DEFINED | 1, 3, |
| M/I HOMES INC               | COM           | 55305B101 | 344   | 49159   | SH | DEFINED | 1, 3, |
| MACERICH CO                 | COM           | 554382101 | 2255  | 360169  | SH | DEFINED | 1, 3, |
| MACK CALI RLTY CORP         | COM           | 554489104 | 6698  | 338110  | SH | DEFINED | 2, 3, |
| MACK CALI RLTY CORP         | COM           | 554489104 | 1584  | 79945   | SH | DEFINED | 1, 3, |
| MACROVISION SOLUTIONS CORP  | COM           | 55611C108 | 1498  | 84184   | SH | DEFINED | 1, 3, |
| MACYS INC                   | COM           | 55616P104 | 3765  | 423044  | SH | DEFINED | 1, 3, |
| MADDEN STEVEN LTD           | COM           | 556269108 | 2314  | 123213  | SH | DEFINED | 1, 3, |
| MAGELLAN HEALTH SVCS INC    | COM NEW       | 559079207 | 4690  | 128699  | SH | DEFINED | 1, 3, |
| MAGNETEK INC                | COM           | 559424106 | 196   | 108632  | SH | DEFINED | 1, 3, |
| MAIDENFORM BRANDS INC       | COM           | 560305104 | 603   | 65822   | SH | DEFINED | 1, 3, |
| MAINSOURCE FINANCIAL GP INC | COM           | 56062Y102 | 3551  | 441710  | SH | DEFINED | 1, 3, |

Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 13F-HR

|                              |             |           |       |         |    |         |      |
|------------------------------|-------------|-----------|-------|---------|----|---------|------|
| MANHATTAN ASSOCS INC         | COM         | 562750109 | 1433  | 82733   | SH | DEFINED | 1,3, |
| MANITOWOC INC                | COM         | 563571108 | 279   | 85428   | SH | DEFINED | 1,3, |
| MANNATECH INC                | COM         | 563771104 | 182   | 54648   | SH | DEFINED | 1,3, |
| MANPOWER INC                 | COM         | 56418H100 | 2542  | 80636   | SH | DEFINED | 1,3, |
| MANTECH INTL CORP            | CL A        | 564563104 | 5355  | 127800  | SH | DEFINED | 1,3, |
| MANTECH INTL CORP            | CL A        | 564563104 | 457   | 10899   | SH | DEFINED | 1,3, |
| MARATHON OIL CORP            | COM         | 565849106 | 13302 | 505985  | SH | DEFINED | 1,3, |
| MARCUS CORP                  | COM         | 566330106 | 646   | 75980   | SH | DEFINED | 1,3, |
| MARINEMAX INC                | COM         | 567908108 | 127   | 64733   | SH | DEFINED | 1,3, |
| MARINER ENERGY INC           | COM         | 56845T305 | 6280  | 810316  | SH | DEFINED | 1,3, |
| MARINER ENERGY INC           | COM         | 56845T305 | 589   | 75968   | SH | DEFINED | 1,3, |
| MARKEL CORP                  | COM         | 570535104 | 400   | 1408    | SH | DEFINED | 1,3, |
| MARKEL CORP                  | COM         | 570535104 | 29611 | 104307  | SH | DEFINED | 1,3, |
| MARRIOTT INTL INC NEW        | CL A        | 571903202 | 2991  | 182816  | SH | DEFINED | 1,3, |
| MARSH & MCLENNAN COS INC     | COM         | 571748102 | 18621 | 919555  | SH | DEFINED | 1,3, |
| MARSHALL & ILSLEY CORP NEW   | COM         | 571837103 | 927   | 164706  | SH | DEFINED | 1,3, |
| MARTEK BIOSCIENCES CORP      | COM         | 572901106 | 2126  | 116508  | SH | DEFINED | 1,3, |
| MARTIN MARIETTA MATLS INC    | COM         | 573284106 | 3380  | 42619   | SH | DEFINED | 1,3, |
| MARTIN MARIETTA MATLS INC    | COM         | 573284106 | 439   | 5531    | SH | DEFINED | 1,3, |
| MARVEL ENTERTAINMENT INC     | COM         | 57383T103 | 7850  | 295684  | SH | DEFINED | 1,3, |
| MARVELL TECHNOLOGY GROUP LTD | ORD         | G5876H105 | 3679  | 401665  | SH | DEFINED | 1,3, |
| MASCO CORP                   | COM         | 574599106 | 1563  | 223868  | SH | DEFINED | 1,3, |
| MASIMO CORP                  | COM         | 574795100 | 1438  | 49632   | SH | DEFINED | 1,3, |
| MASSEY ENERGY CORP           | COM         | 576206106 | 550   | 54345   | SH | DEFINED | 1,3, |
| MASTEC INC                   | COM         | 576323109 | 5967  | 493542  | SH | DEFINED | 1,3, |
| MASTERCARD INC               | CL A        | 57636Q104 | 22650 | 135244  | SH | DEFINED | 1,3, |
| MASTERCARD INC               | CL A        | 57636Q104 | 548   | 3273    | SH | DEFINED | 2,3, |
| MASTERCARD INC               | CL A        | 57636Q104 | 8235  | 49170   | SH | DEFINED | 1,3, |
| MATRIX SVC CO                | COM         | 576853105 | 3394  | 412899  | SH | DEFINED | 1,3, |
| MATTEL INC                   | COM         | 577081102 | 2586  | 224284  | SH | DEFINED | 1,3, |
| MATTHEWS INTL CORP           | CL A        | 577128101 | 914   | 31740   | SH | DEFINED | 1,3, |
| MAX CAPITAL GROUP LTD        | SHS         | G6052F103 | 1053  | 61050   | SH | DEFINED | 1,3, |
| MAXIMUS INC                  | COM         | 577933104 | 2438  | 61163   | SH | DEFINED | 1,3, |
| MXYGEN INC                   | COM         | 577776107 | 1938  | 285000  | SH | DEFINED | 1,3, |
| MBIA INC                     | COM         | 55262C100 | 636   | 138916  | SH | DEFINED | 1,3, |
| MCAFEЕ INC                   | COM         | 579064106 | 4130  | 123289  | SH | DEFINED | 1,3, |
| MCAFEЕ INC                   | COM         | 579064106 | 525   | 15679   | SH | DEFINED | 1,3, |
| MCCORMICK & CO INC           | COM NON VTG | 579780206 | 2422  | 81923   | SH | DEFINED | 1,3, |
| MCDONALDS CORP               | COM         | 580135101 | 8239  | 150974  | SH | DEFINED | 1,3, |
| MCDONALDS CORP               | COM         | 580135101 | 1282  | 23487   | SH | DEFINED | 2,3, |
| MCDONALDS CORP               | COM         | 580135101 | 88748 | 1626318 | SH | DEFINED | 1,3, |
| MCGRAW HILL COS INC          | COM         | 580645109 | 7115  | 311107  | SH | DEFINED | 1,3, |
| MCKESSON CORP                | COM         | 58155Q103 | 16208 | 462563  | SH | DEFINED | 1,3, |
| MCKESSON CORP                | COM         | 58155Q103 | 564   | 16100   | SH | DEFINED | 2,3, |
| MDU RES GROUP INC            | COM         | 552690109 | 3051  | 189051  | SH | DEFINED | 1,3, |
| MEADWESTVACO CORP            | COM         | 583334107 | 1272  | 106115  | SH | DEFINED | 1,3, |
| MEDCATH CORP                 | COM         | 58404W109 | 501   | 68963   | SH | DEFINED | 1,3, |
| MEDCO HEALTH SOLUTIONS INC   | COM         | 58405U102 | 22297 | 539362  | SH | DEFINED | 1,3, |
| MEDICAL PPTYS TRUST INC      | COM         | 58463J304 | 1009  | 276408  | SH | DEFINED | 1,3, |
| MEDICIS PHARMACEUTICAL CORP  | CL A NEW    | 584690309 | 722   | 58371   | SH | DEFINED | 1,3, |
| MEDNAX INC                   | COM         | 58502B106 | 4732  | 160558  | SH | DEFINED | 1,3, |
| MEDTRONIC INC                | COM         | 585055106 | 23375 | 793180  | SH | DEFINED | 1,3, |
| MEMC ELECTR MATLS INC        | COM         | 552715104 | 13105 | 794696  | SH | DEFINED | 1,3, |
| MENS WEARHOUSE INC           | COM         | 587118100 | 2754  | 181915  | SH | DEFINED | 1,3, |
| MENTOR GRAPHICS CORP         | COM         | 587200106 | 421   | 94927   | SH | DEFINED | 1,3, |
| MERCK & CO INC               | COM         | 589331107 | 50421 | 1884905 | SH | DEFINED | 1,3, |
| MERCURY COMPUTER SYS         | COM         | 589378108 | 441   | 79749   | SH | DEFINED | 1,3, |
| MERCURY GENL CORP NEW        | COM         | 589400100 | 20630 | 694610  | SH | DEFINED | 1,3, |
| MERCURY GENL CORP NEW        | COM         | 589400100 | 262   | 8807    | SH | DEFINED | 1,3, |
| MEREDITH CORP                | COM         | 589433101 | 373   | 22439   | SH | DEFINED | 1,3, |
| MERIDIAN BIOSCIENCE INC      | COM         | 589584101 | 9879  | 545224  | SH | DEFINED | 1,3, |
| MERIT MED SYS INC            | COM         | 589889104 | 5518  | 451912  | SH | DEFINED | 1,3, |

Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 13F-HR

|                              |          |           |        |         |    |         |      |
|------------------------------|----------|-----------|--------|---------|----|---------|------|
| MERITAGE HOMES CORP          | COM      | 59001A102 | 1238   | 108369  | SH | DEFINED | 1,3, |
| METAVANTE TECHNOLOGIES INC   | COM      | 591407101 | 1854   | 92875   | SH | DEFINED | 1,3, |
| METHODE ELECTRS INC          | COM      | 591520200 | 476    | 132993  | SH | DEFINED | 1,3, |
| METLIFE INC                  | COM      | 59156R108 | 28394  | 1247002 | SH | DEFINED | 1,3, |
| METTLER TOLEDO INTERNATIONAL | COM      | 592688105 | 4665   | 90885   | SH | DEFINED | 1,3, |
| MF GLOBAL LTD                | SHS      | G60642108 | 913    | 215854  | SH | DEFINED | 1,3, |
| MFA FINANCIAL INC            | COM      | 55272X102 | 9239   | 1571260 | SH | DEFINED | 1,3, |
| MICREL INC                   | COM      | 594793101 | 1133   | 160968  | SH | DEFINED | 1,3, |
| MICROCHIP TECHNOLOGY INC     | COM      | 595017104 | 3220   | 151969  | SH | DEFINED | 1,3, |
| MICRON TECHNOLOGY INC        | COM      | 595112103 | 1931   | 475584  | SH | DEFINED | 1,3, |
| MICROS SYS INC               | COM      | 594901100 | 5297   | 282514  | SH | DEFINED | 1,3, |
| MICROSEMI CORP               | COM      | 595137100 | 9965   | 859046  | SH | DEFINED | 1,3, |
| MICROSOFT CORP               | COM      | 594918104 | 1517   | 82563   | SH | DEFINED | 2,3, |
| MICROSOFT CORP               | COM      | 594918104 | 153480 | 8354926 | SH | DEFINED | 1,3, |
| MICROSTRATEGY INC            | CL A NEW | 594972408 | 291    | 8500    | SH | DEFINED | 1,3, |
| MID-AMER APT CMNTYS INC      | COM      | 59522J103 | 3117   | 101102  | SH | DEFINED | 1,3, |
| MID-AMER APT CMNTYS INC      | COM      | 59522J103 | 470    | 15250   | SH | DEFINED | 1,3, |
| MID-AMER APT CMNTYS INC      | COM      | 59522J103 | 14087  | 456945  | SH | DEFINED | 2,3, |
| MIDAS GROUP INC              | COM      | 595626102 | 389    | 49084   | SH | DEFINED | 1,3, |
| MIDDLEBY CORP                | COM      | 596278101 | 7193   | 221814  | SH | DEFINED | 1,3, |
| MILLER HERMAN INC            | COM      | 600544100 | 589    | 55241   | SH | DEFINED | 1,3, |
| MILLIPORE CORP               | COM      | 601073109 | 1980   | 34493   | SH | DEFINED | 1,3, |
| MINE SAFETY APPLIANCES CO    | COM      | 602720104 | 612    | 30576   | SH | DEFINED | 1,3, |
| MINERALS TECHNOLOGIES INC    | COM      | 603158106 | 663    | 20672   | SH | DEFINED | 1,3, |
| MKS INSTRUMENT INC           | COM      | 55306N104 | 5762   | 392781  | SH | DEFINED | 1,3, |
| MOBILE MINI INC              | COM      | 60740F105 | 1435   | 124591  | SH | DEFINED | 1,3, |
| MOHAWK INDS INC              | COM      | 608190104 | 5611   | 187854  | SH | DEFINED | 1,3, |
| MOLEX INC                    | COM      | 608554101 | 1186   | 86303   | SH | DEFINED | 1,3, |
| MOLINA HEALTHCARE INC        | COM      | 60855R100 | 936    | 49211   | SH | DEFINED | 1,3, |
| MOLSON COORS BREWING CO      | CL B     | 60871R209 | 3166   | 92360   | SH | DEFINED | 1,3, |
| MONARCH CASINO & RESORT INC  | COM      | 609027107 | 204    | 39569   | SH | DEFINED | 1,3, |
| MONSANTO CO NEW              | COM      | 61166W101 | 64209  | 772677  | SH | DEFINED | 1,3, |
| MONSANTO CO NEW              | COM      | 61166W101 | 1141   | 13734   | SH | DEFINED | 2,3, |
| MONSANTO CO NEW              | COM      | 61166W101 | 3049   | 36695   | SH | DEFINED | 1,3, |
| MONSTER WORLDWIDE INC        | COM      | 611742107 | 647    | 79365   | SH | DEFINED | 1,3, |
| MOODYS CORP                  | COM      | 615369105 | 6790   | 296253  | SH | DEFINED | 1,3, |
| MOOG INC                     | CL A     | 615394202 | 842    | 36826   | SH | DEFINED | 1,3, |
| MOOG INC                     | CL A     | 615394202 | 8491   | 371286  | SH | DEFINED | 1,3, |
| MORGAN STANLEY               | COM NEW  | 617446448 | 36561  | 1605646 | SH | DEFINED | 1,3, |
| MORGAN STANLEY               | COM NEW  | 617446448 | 3622   | 159072  | SH | DEFINED | 1,3, |
| MORNINGSTAR INC              | COM      | 617700109 | 1596   | 46726   | SH | DEFINED | 1,3, |
| MOSAIC CO                    | COM      | 61945A107 | 254    | 6040    | SH | DEFINED | 1,3, |
| MOTOROLA INC                 | COM      | 620076109 | 5996   | 1417570 | SH | DEFINED | 1,3, |
| MOVADO GROUP INC             | COM      | 624580106 | 477    | 63316   | SH | DEFINED | 1,3, |
| MPS GROUP INC                | COM      | 553409103 | 556    | 93367   | SH | DEFINED | 1,3, |
| MSC INDL DIRECT INC          | CL A     | 553530106 | 1433   | 46135   | SH | DEFINED | 1,3, |
| MTS SYS CORP                 | COM      | 553777103 | 3595   | 158035  | SH | DEFINED | 1,3, |
| MUELLER INDS INC             | COM      | 624756102 | 2832   | 130547  | SH | DEFINED | 1,3, |
| MULTIMEDIA GAMES INC         | COM      | 625453105 | 172    | 80127   | SH | DEFINED | 1,3, |
| MURPHY OIL CORP              | COM      | 626717102 | 5348   | 119464  | SH | DEFINED | 1,3, |
| MWI VETERINARY SUPPLY INC    | COM      | 55402X105 | 1212   | 42540   | SH | DEFINED | 1,3, |
| MYERS INDS INC               | COM      | 628464109 | 607    | 98900   | SH | DEFINED | 1,3, |
| MYLAN INC                    | COM      | 628530107 | 2542   | 189558  | SH | DEFINED | 1,3, |
| MYLAN INC                    | COM      | 628530107 | 3114   | 232226  | SH | DEFINED | 1,3, |
| MYRIAD GENETICS INC          | COM      | 62855J104 | 10531  | 231600  | SH | DEFINED | 1,3, |
| MYRIAD GENETICS INC          | COM      | 62855J104 | 3860   | 84881   | SH | DEFINED | 1,3, |
| NABORS INDUSTRIES LTD        | SHS      | G6359F103 | 15967  | 1598309 | SH | DEFINED | 1,3, |
| NABORS INDUSTRIES LTD        | SHS      | G6359F103 | 183    | 18309   | SH | DEFINED | 1,3, |
| NALCO HOLDING COMPANY        | COM      | 62985Q101 | 861    | 65906   | SH | DEFINED | 1,3, |
| NARA BANCORP INC             | COM      | 63080P105 | 230    | 78315   | SH | DEFINED | 1,3, |
| NASDAQ OMX GROUP INC         | COM      | 631103108 | 1674   | 85506   | SH | DEFINED | 1,3, |
| NASH FINCH CO                | COM      | 631158102 | 1263   | 44980   | SH | DEFINED | 1,3, |

Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 13F-HR

|                              |                  |           |       |         |    |         |      |
|------------------------------|------------------|-----------|-------|---------|----|---------|------|
| NATCO GROUP INC              | CL A             | 63227W203 | 1325  | 69985   | SH | DEFINED | 1,3, |
| NATIONAL BK GREECE S A       | ADR PRF SER A    | 633643507 | 2861  | 173396  | SH | DEFINED | 1,3, |
| NATIONAL CINEMEDIA INC       | COM              | 635309107 | 3058  | 232000  | SH | DEFINED | 1,3, |
| NATIONAL FINL PARTNERS CORP  | COM              | 63607P208 | 447   | 139731  | SH | DEFINED | 1,3, |
| NATIONAL FUEL GAS CO N J     | COM              | 636180101 | 12527 | 408453  | SH | DEFINED | 1,3, |
| NATIONAL HEALTH INVS INC     | COM              | 63633D104 | 3658  | 136159  | SH | DEFINED | 2,3, |
| NATIONAL INSTRS CORP         | COM              | 636518102 | 1072  | 57483   | SH | DEFINED | 1,3, |
| NATIONAL OILWELL VARCO INC   | COM              | 637071101 | 681   | 23734   | SH | DEFINED | 2,3, |
| NATIONAL OILWELL VARCO INC   | COM              | 637071101 | 17873 | 622537  | SH | DEFINED | 1,3, |
| NATIONAL PENN BANCSHARES INC | COM              | 637138108 | 5465  | 658405  | SH | DEFINED | 1,3, |
| NATIONAL PRESTO INDS INC     | COM              | 637215104 | 1027  | 16828   | SH | DEFINED | 1,3, |
| NATIONAL RETAIL PROPERTIES I | COM              | 637417106 | 4499  | 284047  | SH | DEFINED | 1,3, |
| NATIONAL SEMICONDUCTOR CORP  | COM              | 637640103 | 1271  | 123710  | SH | DEFINED | 1,3, |
| NATIONWIDE HEALTH PPTYS INC  | COM              | 638620104 | 2022  | 91118   | SH | DEFINED | 1,3, |
| NATIONWIDE HEALTH PPTYS INC  | COM              | 638620104 | 8345  | 376085  | SH | DEFINED | 1,3, |
| NATIONWIDE HEALTH PPTYS INC  | COM              | 638620104 | 38020 | 1713372 | SH | DEFINED | 2,3, |
| NATUS MEDICAL INC DEL        | COM              | 639050103 | 836   | 98246   | SH | DEFINED | 1,3, |
| NAUTILUS INC                 | COM              | 63910B102 | 50    | 78634   | SH | DEFINED | 1,3, |
| NAVIGANT CONSULTING INC      | COM              | 63935N107 | 5493  | 420243  | SH | DEFINED | 1,3, |
| NAVIGATORS GROUP INC         | COM              | 638904102 | 3936  | 83435   | SH | DEFINED | 1,3, |
| NAVIGATORS GROUP INC         | COM              | 638904102 | 856   | 18150   | SH | DEFINED | 1,3, |
| NBT BANCORP INC              | COM              | 628778102 | 6188  | 285958  | SH | DEFINED | 1,3, |
| NBTY INC                     | COM              | 628782104 | 794   | 56412   | SH | DEFINED | 1,3, |
| NCI BUILDING SYS INC         | COM              | 628852105 | 153   | 69032   | SH | DEFINED | 1,3, |
| NCI INC                      | CL A             | 62886K104 | 4406  | 169471  | SH | DEFINED | 1,3, |
| NCR CORP NEW                 | COM              | 62886E108 | 14582 | 1834269 | SH | DEFINED | 1,3, |
| NEENAH PAPER INC             | COM              | 640079109 | 185   | 51055   | SH | DEFINED | 1,3, |
| NEOGEN CORP                  | COM              | 640491106 | 1129  | 51695   | SH | DEFINED | 1,3, |
| NESS TECHNOLOGIES INC        | COM              | 64104X108 | 812   | 275136  | SH | DEFINED | 1,3, |
| NESS TECHNOLOGIES INC        | COM              | 64104X108 | 1237  | 419340  | SH | DEFINED | 1,3, |
| NETAPP INC                   | COM              | 64110D104 | 3071  | 206936  | SH | DEFINED | 1,3, |
| NETEASE COM INC              | SPONSORED ADR    | 64110W102 | 14894 | 554720  | SH | DEFINED | 1,3, |
| NETFLIX INC                  | COM              | 64110L106 | 1840  | 42882   | SH | DEFINED | 1,3, |
| NETGEAR INC                  | COM              | 64111Q104 | 1457  | 120876  | SH | DEFINED | 1,3, |
| NETWORK EQUIP TECHNOLOGIES   | COM              | 641208103 | 363   | 102591  | SH | DEFINED | 1,3, |
| NEUSTAR INC                  | CL A             | 64126X201 | 1281  | 76458   | SH | DEFINED | 1,3, |
| NEUTRAL TANDEM INC           | COM              | 64128B108 | 1535  | 62366   | SH | DEFINED | 1,3, |
| NEW JERSEY RES               | COM              | 646025106 | 5054  | 148746  | SH | DEFINED | 1,3, |
| NEW YORK CMNTY BANCORP INC   | COM              | 649445103 | 15555 | 1392606 | SH | DEFINED | 1,3, |
| NEW YORK TIMES CO            | CL A             | 650111107 | 327   | 72384   | SH | DEFINED | 1,3, |
| NEWALLIANCE BANCSHARES INC   | COM              | 650203102 | 1291  | 109968  | SH | DEFINED | 1,3, |
| NEWELL RUBBERMAID INC        | COM              | 651229106 | 1106  | 173359  | SH | DEFINED | 1,3, |
| NEWFIELD EXPL CO             | COM              | 651290108 | 6827  | 300755  | SH | DEFINED | 1,3, |
| NEWMARKET CORP               | COM              | 651587107 | 1917  | 43279   | SH | DEFINED | 1,3, |
| NEWMONT MINING CORP          | COM              | 651639106 | 66488 | 1485441 | SH | DEFINED | 1,3, |
| NEWMONT MINING CORP          | COM              | 651639106 | 6729  | 150327  | SH | DEFINED | 1,3, |
| NEWPARK RES INC              | COM PAR \$.01NEW | 651718504 | 555   | 219463  | SH | DEFINED | 1,3, |
| NEWPORT CORP                 | COM              | 651824104 | 559   | 126543  | SH | DEFINED | 1,3, |
| NEWS CORP                    | CL A             | 65248E104 | 9385  | 1417632 | SH | DEFINED | 1,3, |
| NEWTEK BUSINESS SVCS INC     | COM              | 652526104 | 70    | 179909  | SH | DEFINED | 3,4  |
| NICOR INC                    | COM              | 654086107 | 975   | 29330   | SH | DEFINED | 1,3, |
| NIKE INC                     | CL B             | 654106103 | 784   | 16713   | SH | DEFINED | 2,3, |
| NIKE INC                     | CL B             | 654106103 | 34412 | 733881  | SH | DEFINED | 1,3, |
| NIKE INC                     | CL B             | 654106103 | 4264  | 90937   | SH | DEFINED | 1,3, |
| NISOURCE INC                 | COM              | 65473P105 | 1672  | 170646  | SH | DEFINED | 1,3, |
| NOBLE ENERGY INC             | COM              | 655044105 | 24940 | 462875  | SH | DEFINED | 1,3, |
| NORDSON CORP                 | COM              | 655663102 | 983   | 34569   | SH | DEFINED | 1,3, |
| NORDSTROM INC                | COM              | 655664100 | 1661  | 99154   | SH | DEFINED | 1,3, |
| NORFOLK SOUTHERN CORP        | COM              | 655844108 | 31333 | 928374  | SH | DEFINED | 1,3, |
| NORTHEAST UTILS              | COM              | 664397106 | 21849 | 1012008 | SH | DEFINED | 1,3, |
| NORTHERN TR CORP             | COM              | 665859104 | 13019 | 217640  | SH | DEFINED | 1,3, |
| NORTHERN TR CORP             | COM              | 665859104 | 912   | 15245   | SH | DEFINED | 1,3, |



Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 13F-HR

|                              |               |           |       |         |    |         |      |
|------------------------------|---------------|-----------|-------|---------|----|---------|------|
| NORTHROP GRUMMAN CORP        | COM           | 666807102 | 8947  | 205009  | SH | DEFINED | 1,3, |
| NORTHWEST NAT GAS CO         | COM           | 667655104 | 1278  | 29424   | SH | DEFINED | 1,3, |
| NORTHWEST NAT GAS CO         | COM           | 667655104 | 16754 | 385850  | SH | DEFINED | 1,3, |
| NORTHWEST PIPE CO            | COM           | 667746101 | 3431  | 120520  | SH | DEFINED | 1,3, |
| NORTHWESTERN CORP            | COM NEW       | 668074305 | 6889  | 320730  | SH | DEFINED | 1,3, |
| NOVARTIS A G                 | SPONSORED ADR | 66987V109 | 344   | 9088    | SH | DEFINED | 1,3, |
| NOVATEL WIRELESS INC         | COM NEW       | 66987M604 | 598   | 106462  | SH | DEFINED | 1,3, |
| NOVELL INC                   | COM           | 670006105 | 910   | 213625  | SH | DEFINED | 1,3, |
| NOVELLUS SYS INC             | COM           | 670008101 | 1007  | 60527   | SH | DEFINED | 1,3, |
| NOVEN PHARMACEUTICALS INC    | COM           | 670009109 | 830   | 87505   | SH | DEFINED | 1,3, |
| NSTAR                        | COM           | 67019E107 | 16051 | 503493  | SH | DEFINED | 1,3, |
| NTELOS HLDGS CORP            | COM           | 67020Q107 | 5343  | 294523  | SH | DEFINED | 1,3, |
| NUANCE COMMUNICATIONS INC    | COM           | 67020Y100 | 187   | 17187   | SH | DEFINED | 1,3, |
| NUCOR CORP                   | COM           | 670346105 | 18166 | 475933  | SH | DEFINED | 1,3, |
| NUTRI SYS INC NEW            | COM           | 67069D108 | 1534  | 107513  | SH | DEFINED | 1,3, |
| NV ENERGY INC                | COM           | 67073Y106 | 2264  | 241143  | SH | DEFINED | 1,3, |
| NVIDIA CORP                  | COM           | 67066G104 | 3354  | 340117  | SH | DEFINED | 1,3, |
| NVR INC                      | COM           | 62944T105 | 3748  | 8761    | SH | DEFINED | 1,3, |
| NYSE EURONEXT                | COM           | 629491101 | 2903  | 162155  | SH | DEFINED | 1,3, |
| O CHARLEYS INC               | COM           | 670823103 | 225   | 74602   | SH | DEFINED | 1,3, |
| O REILLY AUTOMOTIVE INC      | COM           | 686091109 | 611   | 17448   | SH | DEFINED | 1,3, |
| O REILLY AUTOMOTIVE INC      | COM           | 686091109 | 56185 | 1604821 | SH | DEFINED | 1,3, |
| OBAGI MEDICAL PRODUCTS INC   | COM           | 67423R108 | 1029  | 191200  | SH | DEFINED | 1,3, |
| OCCIDENTAL PETE CORP DEL     | COM           | 674599105 | 46557 | 836607  | SH | DEFINED | 1,3, |
| OCEANEERING INTL INC         | COM           | 675232102 | 2093  | 56761   | SH | DEFINED | 1,3, |
| OCEANFIRST FINL CORP         | COM           | 675234108 | 1400  | 137000  | SH | DEFINED | 1,3, |
| ODYSSEY HEALTHCARE INC       | COM           | 67611V101 | 1117  | 115124  | SH | DEFINED | 1,3, |
| OFFICE DEPOT INC             | COM           | 676220106 | 223   | 170515  | SH | DEFINED | 1,3, |
| OFFICEMAX INC DEL            | COM           | 67622P101 | 836   | 268105  | SH | DEFINED | 1,3, |
| OGE ENERGY CORP              | COM           | 670837103 | 2323  | 97543   | SH | DEFINED | 1,3, |
| OIL STS INTL INC             | COM           | 678026105 | 7156  | 533251  | SH | DEFINED | 1,3, |
| OIL STS INTL INC             | COM           | 678026105 | 359   | 26766   | SH | DEFINED | 2,3, |
| OIL STS INTL INC             | COM           | 678026105 | 672   | 50100   | SH | DEFINED | 1,3, |
| OLD DOMINION FGHT LINES INC  | COM           | 679580100 | 5986  | 254829  | SH | DEFINED | 1,3, |
| OLD DOMINION FGHT LINES INC  | COM           | 679580100 | 613   | 26099   | SH | DEFINED | 1,3, |
| OLD NATL BANCORP IND         | COM           | 680033107 | 2604  | 233091  | SH | DEFINED | 1,3, |
| OLD REP INTL CORP            | COM           | 680223104 | 2618  | 241954  | SH | DEFINED | 1,3, |
| OLIN CORP                    | COM PAR \$1   | 680665205 | 1169  | 81902   | SH | DEFINED | 1,3, |
| OLYMPIC STEEL INC            | COM           | 68162K106 | 479   | 31595   | SH | DEFINED | 1,3, |
| OM GROUP INC                 | COM           | 670872100 | 2069  | 107114  | SH | DEFINED | 1,3, |
| OMEGA HEALTHCARE INVS INC    | COM           | 681936100 | 612   | 43467   | SH | DEFINED | 1,3, |
| OMEGA HEALTHCARE INVS INC    | COM           | 681936100 | 1347  | 95657   | SH | DEFINED | 1,3, |
| OMEGA HEALTHCARE INVS INC    | COM           | 681936100 | 17589 | 1249252 | SH | DEFINED | 2,3, |
| OMNICARE INC                 | COM           | 681904108 | 15969 | 652077  | SH | DEFINED | 1,3, |
| OMNICELL INC                 | COM           | 68213N109 | 861   | 110161  | SH | DEFINED | 1,3, |
| OMNICOM GROUP INC            | COM           | 681919106 | 10490 | 448289  | SH | DEFINED | 1,3, |
| OMNICOM GROUP INC            | COM           | 681919106 | 560   | 23950   | SH | DEFINED | 2,3, |
| OMNITURE INC                 | COM           | 68212S109 | 2205  | 167150  | SH | DEFINED | 1,3, |
| ON ASSIGNMENT INC            | COM           | 682159108 | 339   | 125128  | SH | DEFINED | 1,3, |
| ONEBEACON INSURANCE GROUP LT | CL A          | G67742109 | 932   | 96431   | SH | DEFINED | 1,3, |
| ONEOK INC NEW                | COM           | 682680103 | 2452  | 108339  | SH | DEFINED | 1,3, |
| ONYX PHARMACEUTICALS INC     | COM           | 683399109 | 2412  | 84500   | SH | DEFINED | 1,3, |
| OPTIONSXPRESS HLDGS INC      | COM           | 684010101 | 1663  | 146245  | SH | DEFINED | 1,3, |
| ORACLE CORP                  | COM           | 68389X105 | 1491  | 82515   | SH | DEFINED | 2,3, |
| ORACLE CORP                  | COM           | 68389X105 | 58635 | 3244882 | SH | DEFINED | 1,3, |
| ORBITAL SCIENCES CORP        | COM           | 685564106 | 2390  | 201003  | SH | DEFINED | 1,3, |
| OREXIGEN THERAPEUTICS INC    | COM           | 686164104 | 2173  | 832700  | SH | DEFINED | 1,3, |
| ORIENTAL FINL GROUP INC      | COM           | 68618W100 | 376   | 77034   | SH | DEFINED | 1,3, |
| ORMAT TECHNOLOGIES INC       | COM           | 686688102 | 1300  | 47340   | SH | DEFINED | 1,3, |
| OSHKOSH CORP                 | COM           | 688239201 | 516   | 76622   | SH | DEFINED | 1,3, |
| OSI PHARMACEUTICALS INC      | COM           | 671040103 | 2281  | 59628   | SH | DEFINED | 1,3, |
| OSI SYSTEMS INC              | COM           | 671044105 | 671   | 43973   | SH | DEFINED | 1,3, |

Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 13F-HR

|                              |               |           |        |         |    |         |      |
|------------------------------|---------------|-----------|--------|---------|----|---------|------|
| OSTEOTECH INC                | COM           | 688582105 | 219    | 62680   | SH | DEFINED | 1,3, |
| OVERSEAS SHIPHOLDING GROUP I | COM           | 690368105 | 558    | 24619   | SH | DEFINED | 1,3, |
| OWENS & MINOR INC NEW        | COM           | 690732102 | 1411   | 42590   | SH | DEFINED | 1,3, |
| OWENS ILL INC                | COM NEW       | 690768403 | 4994   | 345830  | SH | DEFINED | 1,3, |
| OXFORD INDS INC              | COM           | 691497309 | 295    | 47764   | SH | DEFINED | 1,3, |
| P F CHANGS CHINA BISTRO INC  | COM           | 69333Y108 | 1939   | 84758   | SH | DEFINED | 1,3, |
| PACCAR INC                   | COM           | 693718108 | 5819   | 225892  | SH | DEFINED | 1,3, |
| PACKAGING CORP AMER          | COM           | 695156109 | 1372   | 105374  | SH | DEFINED | 1,3, |
| PACTIV CORP                  | COM           | 695257105 | 5196   | 356161  | SH | DEFINED | 1,3, |
| PACWEST BANCORP DEL          | COM           | 695263103 | 362    | 25249   | SH | DEFINED | 1,3, |
| PALL CORP                    | COM           | 696429307 | 1502   | 73522   | SH | DEFINED | 1,3, |
| PALM INC NEW                 | COM           | 696643105 | 1191   | 138137  | SH | DEFINED | 1,3, |
| PALOMAR MED TECHNOLOGIES INC | COM NEW       | 697529303 | 471    | 64809   | SH | DEFINED | 1,3, |
| PANERA BREAD CO              | CL A          | 69840W108 | 448    | 8021    | SH | DEFINED | 1,3, |
| PANERA BREAD CO              | CL A          | 69840W108 | 2967   | 53082   | SH | DEFINED | 1,3, |
| PAPA JOHNS INTL INC          | COM           | 698813102 | 1723   | 75324   | SH | DEFINED | 1,3, |
| PAR PHARMACEUTICAL COS INC   | COM           | 69888P106 | 1159   | 122421  | SH | DEFINED | 1,3, |
| PARAMETRIC TECHNOLOGY CORP   | COM NEW       | 699173209 | 7026   | 703966  | SH | DEFINED | 1,3, |
| PAREXEL INTL CORP            | COM           | 699462107 | 10060  | 1033892 | SH | DEFINED | 1,3, |
| PARK ELECTROCHEMICAL CORP    | COM           | 700416209 | 1243   | 71945   | SH | DEFINED | 1,3, |
| PARKER HANNIFIN CORP         | COM           | 701094104 | 3472   | 102202  | SH | DEFINED | 1,3, |
| PARKWAY PPTYS INC            | COM           | 70159Q104 | 555    | 53922   | SH | DEFINED | 1,3, |
| PARTNERRE LTD                | COM           | G6852T105 | 2613   | 42098   | SH | DEFINED | 1,3, |
| PATRIOT COAL CORP            | COM           | 70336T104 | 245    | 65928   | SH | DEFINED | 1,3, |
| PATTERSON COMPANIES INC      | COM           | 703395103 | 1151   | 61023   | SH | DEFINED | 1,3, |
| PATTERSON UTI ENERGY INC     | COM           | 703481101 | 1412   | 157580  | SH | DEFINED | 1,3, |
| PAYCHEX INC                  | COM           | 704326107 | 7819   | 304621  | SH | DEFINED | 1,3, |
| PC-TEL INC                   | COM           | 69325Q105 | 275    | 63922   | SH | DEFINED | 1,3, |
| PEABODY ENERGY CORP          | COM           | 704549104 | 4877   | 194767  | SH | DEFINED | 1,3, |
| PEABODY ENERGY CORP          | COM           | 704549104 | 442    | 17664   | SH | DEFINED | 2,3, |
| PEETS COFFEE & TEA INC       | COM           | 705560100 | 930    | 43022   | SH | DEFINED | 1,3, |
| PENFORD CORP                 | COM           | 707051108 | 143    | 39454   | SH | DEFINED | 1,3, |
| PENN VA CORP                 | COM           | 707882106 | 1616   | 147165  | SH | DEFINED | 1,3, |
| PENNEY J C INC               | COM           | 708160106 | 2777   | 138347  | SH | DEFINED | 1,3, |
| PENNSYLVANIA RL ESTATE INVT  | SH BEN INT    | 709102107 | 491    | 138181  | SH | DEFINED | 1,3, |
| PENTAIR INC                  | COM           | 709631105 | 2192   | 101143  | SH | DEFINED | 1,3, |
| PEOPLES UNITED FINANCIAL INC | COM           | 712704105 | 3893   | 216631  | SH | DEFINED | 1,3, |
| PEP BOYS MANNY MOE & JACK    | COM           | 713278109 | 662    | 150039  | SH | DEFINED | 1,3, |
| PEPCO HOLDINGS INC           | COM           | 713291102 | 1700   | 136186  | SH | DEFINED | 1,3, |
| PEPSI BOTTLING GROUP INC     | COM           | 713409100 | 5386   | 243291  | SH | DEFINED | 1,3, |
| PEPSIAMERICAS INC            | COM           | 71343P200 | 2980   | 172753  | SH | DEFINED | 1,3, |
| PEPSICO INC                  | COM           | 713448108 | 566    | 10985   | SH | DEFINED | 2,3, |
| PEPSICO INC                  | COM           | 713448108 | 64656  | 1255956 | SH | DEFINED | 1,3, |
| PERFICIENT INC               | COM           | 71375U101 | 608    | 112614  | SH | DEFINED | 1,3, |
| PERICOM SEMICONDUCTOR CORP   | COM           | 713831105 | 1197   | 163752  | SH | DEFINED | 1,3, |
| PERINI CORP                  | COM           | 713839108 | 2236   | 181791  | SH | DEFINED | 1,3, |
| PERKINELMER INC              | COM           | 714046109 | 922    | 72200   | SH | DEFINED | 1,3, |
| PERRIGO CO                   | COM           | 714290103 | 7571   | 304907  | SH | DEFINED | 1,3, |
| PETMED EXPRESS INC           | COM           | 716382106 | 1352   | 82029   | SH | DEFINED | 1,3, |
| PETROHAWK ENERGY CORP        | COM           | 716495106 | 953    | 49568   | SH | DEFINED | 1,3, |
| PETROLEO BRASILEIRO SA PETRO | SPONSORED ADR | 71654V408 | 115833 | 3801546 | SH | DEFINED | 1,3, |
| PETROLEUM DEV CORP           | COM           | 716578109 | 616    | 52156   | SH | DEFINED | 1,3, |
| PETROQUEST ENERGY INC        | COM           | 716748108 | 365    | 152010  | SH | DEFINED | 1,3, |
| PETSMART INC                 | COM           | 716768106 | 2743   | 130853  | SH | DEFINED | 1,3, |
| PFIZER INC                   | COM           | 717081103 | 95364  | 7001776 | SH | DEFINED | 1,3, |
| PG&E CORP                    | COM           | 69331C108 | 42901  | 1122473 | SH | DEFINED | 1,3, |
| PHARMACEUTICAL PROD DEV INC  | COM           | 717124101 | 2877   | 121310  | SH | DEFINED | 1,3, |
| PHARMERICA CORP              | COM           | 71714F104 | 9838   | 591197  | SH | DEFINED | 1,3, |
| PHASE FORWARD INC            | COM           | 71721R406 | 1928   | 150733  | SH | DEFINED | 1,3, |
| PHILIP MORRIS INTL INC       | COM           | 718172109 | 76207  | 2141842 | SH | DEFINED | 1,3, |
| PHILIP MORRIS INTL INC       | COM           | 718172109 | 685    | 19239   | SH | DEFINED | 2,3, |
| PHILLIPS VAN HEUSEN CORP     | COM           | 718592108 | 1201   | 52966   | SH | DEFINED | 1,3, |

Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 13F-HR

|                              |               |           |        |         |    |         |      |
|------------------------------|---------------|-----------|--------|---------|----|---------|------|
| PHOENIX TECHNOLOGY LTD       | COM           | 719153108 | 165    | 101719  | SH | DEFINED | 1,3, |
| PIEDMONT NAT GAS INC         | COM           | 720186105 | 6686   | 258252  | SH | DEFINED | 1,3, |
| PINNACLE ENTMT INC           | COM           | 723456109 | 1460   | 207369  | SH | DEFINED | 1,3, |
| PINNACLE FINL PARTNERS INC   | COM           | 72346Q104 | 2111   | 89040   | SH | DEFINED | 1,3, |
| PINNACLE WEST CAP CORP       | COM           | 723484101 | 1666   | 62742   | SH | DEFINED | 1,3, |
| PIONEER DRILLING CO          | COM           | 723655106 | 576    | 175574  | SH | DEFINED | 1,3, |
| PIONEER NAT RES CO           | COM           | 723787107 | 1184   | 71867   | SH | DEFINED | 1,3, |
| PIPER JAFFRAY COS            | COM           | 724078100 | 1421   | 55087   | SH | DEFINED | 1,3, |
| PITNEY BOWES INC             | COM           | 724479100 | 5762   | 246772  | SH | DEFINED | 1,3, |
| PLAINS EXPL& PRODTN CO       | COM           | 726505100 | 1908   | 110745  | SH | DEFINED | 1,3, |
| PLANTRONICS INC NEW          | COM           | 727493108 | 605    | 50094   | SH | DEFINED | 1,3, |
| PLATINUM UNDERWRITER HLDGS L | COM           | G7127P100 | 8906   | 314050  | SH | DEFINED | 1,3, |
| PLEXUS CORP                  | COM           | 729132100 | 4672   | 338047  | SH | DEFINED | 1,3, |
| PLEXUS CORP                  | COM           | 729132100 | 805    | 58214   | SH | DEFINED | 1,3, |
| PLUM CREEK TIMBER CO INC     | COM           | 729251108 | 2981   | 102553  | SH | DEFINED | 1,3, |
| PMC-SIERRA INC               | COM           | 69344F106 | 8503   | 1332750 | SH | DEFINED | 1,3, |
| PNC FINL SVCS GROUP INC      | COM           | 693475105 | 11274  | 384905  | SH | DEFINED | 1,3, |
| PNC FINL SVCS GROUP INC      | COM           | 693475105 | 2247   | 76706   | SH | DEFINED | 1,3, |
| PNM RES INC                  | COM           | 69349H107 | 735    | 89001   | SH | DEFINED | 1,3, |
| POLARIS INDS INC             | COM           | 731068102 | 494    | 23047   | SH | DEFINED | 2,3, |
| POLARIS INDS INC             | COM           | 731068102 | 2467   | 115046  | SH | DEFINED | 1,3, |
| POLO RALPH LAUREN CORP       | CL A          | 731572103 | 1482   | 35074   | SH | DEFINED | 1,3, |
| POLYCOM INC                  | COM           | 73172K104 | 9173   | 596009  | SH | DEFINED | 1,3, |
| POLYONE CORP                 | COM           | 73179P106 | 750    | 324620  | SH | DEFINED | 1,3, |
| POOL CORPORATION             | COM           | 73278L105 | 2274   | 169682  | SH | DEFINED | 1,3, |
| PORTFOLIO RECOVERY ASSOCS IN | COM           | 73640Q105 | 1447   | 53894   | SH | DEFINED | 1,3, |
| PORTLAND GEN ELEC CO         | COM NEW       | 736508847 | 7728   | 439339  | SH | DEFINED | 1,3, |
| PORTLAND GEN ELEC CO         | COM NEW       | 736508847 | 856    | 48692   | SH | DEFINED | 1,3, |
| POSCO                        | SPONSORED ADR | 693483109 | 37356  | 558968  | SH | DEFINED | 1,3, |
| POST PPTYS INC               | COM           | 737464107 | 1575   | 155290  | SH | DEFINED | 1,3, |
| POST PPTYS INC               | COM           | 737464107 | 557    | 54914   | SH | DEFINED | 1,3, |
| POTLATCH CORP NEW            | COM           | 737630103 | 948    | 40899   | SH | DEFINED | 1,3, |
| PPG INDS INC                 | COM           | 693506107 | 7967   | 215904  | SH | DEFINED | 1,3, |
| PPL CORP                     | COM           | 69351T106 | 6712   | 233798  | SH | DEFINED | 1,3, |
| PRAXAIR INC                  | COM           | 74005P104 | 13419  | 199425  | SH | DEFINED | 1,3, |
| PRE PAID LEGAL SVCS INC      | COM           | 740065107 | 742    | 25573   | SH | DEFINED | 1,3, |
| PRECISION CASTPARTS CORP     | COM           | 740189105 | 5256   | 87752   | SH | DEFINED | 1,3, |
| PREMIERE GLOBAL SVCS INC     | COM           | 740585104 | 7323   | 830296  | SH | DEFINED | 1,3, |
| PRESIDENTIAL LIFE CORP       | COM           | 740884101 | 591    | 75879   | SH | DEFINED | 1,3, |
| PRICE T ROWE GROUP INC       | COM           | 74144T108 | 4597   | 159288  | SH | DEFINED | 1,3, |
| PRICELINE COM INC            | COM NEW       | 741503403 | 3344   | 42450   | SH | DEFINED | 1,3, |
| PRICELINE COM INC            | COM NEW       | 741503403 | 2287   | 29035   | SH | DEFINED | 1,3, |
| PRIDE INTL INC DEL           | COM           | 74153Q102 | 6983   | 388391  | SH | DEFINED | 1,3, |
| PRIVATEBANCORP INC           | COM           | 742962103 | 1399   | 96742   | SH | DEFINED | 1,3, |
| PROASSURANCE CORP            | COM           | 74267C106 | 5464   | 117211  | SH | DEFINED | 1,3, |
| PROCTER & GAMBLE CO          | COM           | 742718109 | 155964 | 3312047 | SH | DEFINED | 1,3, |
| PROCTER & GAMBLE CO          | COM           | 742718109 | 874    | 18570   | SH | DEFINED | 2,3, |
| PROGENICS PHARMACEUTICALS IN | COM           | 743187106 | 2384   | 361823  | SH | DEFINED | 1,3, |
| PROGRESS ENERGY INC          | COM           | 743263105 | 6932   | 191171  | SH | DEFINED | 1,3, |
| PROGRESS SOFTWARE CORP       | COM           | 743312100 | 9654   | 556078  | SH | DEFINED | 1,3, |
| PROGRESSIVE CORP OHIO        | COM           | 743315103 | 300    | 22357   | SH | DEFINED | 2,3, |
| PROGRESSIVE CORP OHIO        | COM           | 743315103 | 7134   | 530777  | SH | DEFINED | 1,3, |
| PROLOGIS                     | SH BEN INT    | 743410102 | 1207   | 185628  | SH | DEFINED | 1,3, |
| PROLOGIS                     | SH BEN INT    | 743410102 | 519    | 79870   | SH | DEFINED | 1,3, |
| PROLOGIS                     | SH BEN INT    | 743410102 | 13761  | 2117032 | SH | DEFINED | 2,3, |
| PROSPECT CAPITAL CORPORATION | COM           | 74348T102 | 1117   | 131095  | SH | DEFINED | 1,3, |
| PROSPERITY BANCSHARES INC    | COM           | 743606105 | 3943   | 144173  | SH | DEFINED | 1,3, |
| PROTECTIVE LIFE CORP         | COM           | 743674103 | 2429   | 462742  | SH | DEFINED | 1,3, |
| PROVIDENT BANKSHARES CORP    | COM           | 743859100 | 830    | 117781  | SH | DEFINED | 1,3, |
| PROVIDENT FINL SVCS INC      | COM           | 74386T105 | 768    | 71036   | SH | DEFINED | 1,3, |
| PROVIDENT FINL SVCS INC      | COM           | 74386T105 | 6064   | 560920  | SH | DEFINED | 1,3, |
| PRUDENTIAL FINL INC          | COM           | 744320102 | 11788  | 619761  | SH | DEFINED | 1,3, |

Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 13F-HR

|                              |                |           |       |         |    |         |       |
|------------------------------|----------------|-----------|-------|---------|----|---------|-------|
| PS BUSINESS PKS INC CALIF    | COM            | 69360J107 | 9065  | 245983  | SH | DEFINED | 2, 3, |
| PS BUSINESS PKS INC CALIF    | COM            | 69360J107 | 288   | 7814    | SH | DEFINED | 1, 3, |
| PS BUSINESS PKS INC CALIF    | COM            | 69360J107 | 2017  | 54741   | SH | DEFINED | 1, 3, |
| PSS WORLD MED INC            | COM            | 69366A100 | 3053  | 212753  | SH | DEFINED | 1, 3, |
| PSYCHIATRIC SOLUTIONS INC    | COM            | 74439H108 | 2710  | 172281  | SH | DEFINED | 1, 3, |
| PUBLIC STORAGE               | COM            | 74460D109 | 90579 | 1639456 | SH | DEFINED | 2, 3, |
| PUBLIC STORAGE               | COM            | 74460D109 | 9673  | 175085  | SH | DEFINED | 1, 3, |
| PUBLIC STORAGE               | COM            | 74460D109 | 3201  | 57929   | SH | DEFINED | 1, 3, |
| PUBLIC SVC ENTERPRISE GROUP  | COM            | 744573106 | 22143 | 751375  | SH | DEFINED | 1, 3, |
| PULTE HOMES INC              | COM            | 745867101 | 1458  | 133368  | SH | DEFINED | 1, 3, |
| QLOGIC CORP                  | COM            | 747277101 | 8876  | 798209  | SH | DEFINED | 1, 3, |
| QUAKER CHEM CORP             | COM            | 747316107 | 304   | 38228   | SH | DEFINED | 1, 3, |
| QUALCOMM INC                 | COM            | 747525103 | 9025  | 231952  | SH | DEFINED | 1, 3, |
| QUALCOMM INC                 | COM            | 747525103 | 79356 | 2039474 | SH | DEFINED | 1, 3, |
| QUALCOMM INC                 | COM            | 747525103 | 1288  | 33111   | SH | DEFINED | 2, 3, |
| QUALITY SYS INC              | COM            | 747582104 | 2888  | 63818   | SH | DEFINED | 1, 3, |
| QUANEX BUILDING PRODUCTS COR | COM            | 747619104 | 1006  | 132399  | SH | DEFINED | 1, 3, |
| QUANTA SVCS INC              | COM            | 74762E102 | 912   | 42499   | SH | DEFINED | 1, 3, |
| QUANTA SVCS INC              | COM            | 74762E102 | 4305  | 200699  | SH | DEFINED | 1, 3, |
| QUEST DIAGNOSTICS INC        | COM            | 74834L100 | 6103  | 128539  | SH | DEFINED | 1, 3, |
| QUEST SOFTWARE INC           | COM            | 74834T103 | 10638 | 838933  | SH | DEFINED | 1, 3, |
| QUEST SOFTWARE INC           | COM            | 74834T103 | 904   | 71277   | SH | DEFINED | 1, 3, |
| QUESTAR CORP                 | COM            | 748356102 | 315   | 10710   | SH | DEFINED | 1, 3, |
| QUESTAR CORP                 | COM            | 748356102 | 29057 | 987313  | SH | DEFINED | 1, 3, |
| QUICKSILVER RESOURCES INC    | COM            | 74837R104 | 645   | 116341  | SH | DEFINED | 1, 3, |
| QUIKSILVER INC               | COM            | 74838C106 | 571   | 446054  | SH | DEFINED | 1, 3, |
| QWEST COMMUNICATIONS INTL IN | COM            | 749121109 | 4885  | 1428492 | SH | DEFINED | 1, 3, |
| RADIANT SYSTEMS INC          | COM            | 75025N102 | 427   | 96923   | SH | DEFINED | 1, 3, |
| RADIOSHACK CORP              | COM            | 750438103 | 732   | 85361   | SH | DEFINED | 1, 3, |
| RADISYS CORP                 | COM            | 750459109 | 495   | 81736   | SH | DEFINED | 1, 3, |
| RALCORP HLDGS INC NEW        | COM            | 751028101 | 16259 | 301759  | SH | DEFINED | 1, 3, |
| RALCORP HLDGS INC NEW        | COM            | 751028101 | 2009  | 37278   | SH | DEFINED | 1, 3, |
| RAMCO-GERSHENSON PPTYS TR    | COM SH BEN INT | 751452202 | 1804  | 279700  | SH | DEFINED | 1, 3, |
| RANGE RES CORP               | COM            | 75281A109 | 4004  | 97274   | SH | DEFINED | 1, 3, |
| RAYMOND JAMES FINANCIAL INC  | COM            | 754730109 | 21631 | 1098016 | SH | DEFINED | 1, 3, |
| RAYMOND JAMES FINANCIAL INC  | COM            | 754730109 | 380   | 19300   | SH | DEFINED | 2, 3, |
| RAYONIER INC                 | COM            | 754907103 | 2456  | 81281   | SH | DEFINED | 1, 3, |
| RAYTHEON CO                  | COM NEW        | 755111507 | 25783 | 662119  | SH | DEFINED | 1, 3, |
| RBC BEARINGS INC             | COM            | 75524B104 | 2779  | 181904  | SH | DEFINED | 1, 3, |
| RC2 CORP                     | COM            | 749388104 | 319   | 60533   | SH | DEFINED | 1, 3, |
| REALNETWORKS INC             | COM            | 75605L104 | 537   | 230465  | SH | DEFINED | 1, 3, |
| REALTY INCOME CORP           | COM            | 756109104 | 4850  | 257679  | SH | DEFINED | 1, 3, |
| RED ROBIN GOURMET BURGERS IN | COM            | 75689M101 | 2790  | 158253  | SH | DEFINED | 1, 3, |
| REGAL BELOIT CORP            | COM            | 758750103 | 3393  | 110733  | SH | DEFINED | 1, 3, |
| REGENCY CTRS CORP            | COM            | 758849103 | 4166  | 156807  | SH | DEFINED | 1, 3, |
| REGENERON PHARMACEUTICALS    | COM            | 75886F107 | 3078  | 222079  | SH | DEFINED | 1, 3, |
| REGIONS FINANCIAL CORP NEW   | COM            | 7591EP100 | 1842  | 432516  | SH | DEFINED | 1, 3, |
| REGIS CORP MINN              | COM            | 758932107 | 643   | 44485   | SH | DEFINED | 1, 3, |
| REHABCARE GROUP INC          | COM            | 759148109 | 2609  | 149589  | SH | DEFINED | 1, 3, |
| REINSURANCE GROUP AMER INC   | COM NEW        | 759351604 | 13220 | 408153  | SH | DEFINED | 1, 3, |
| RELIANCE STEEL & ALUMINUM CO | COM            | 759509102 | 3047  | 115730  | SH | DEFINED | 1, 3, |
| RENASANT CORP                | COM            | 75970E107 | 1309  | 104200  | SH | DEFINED | 1, 3, |
| RENT A CTR INC NEW           | COM            | 76009N100 | 4207  | 217213  | SH | DEFINED | 1, 3, |
| REPUBLIC AWYS HLDGS INC      | COM            | 760276105 | 1996  | 308041  | SH | DEFINED | 1, 3, |
| REPUBLIC BANCORP KY          | CL A           | 760281204 | 4307  | 230710  | SH | DEFINED | 1, 3, |
| REPUBLIC SVCS INC            | COM            | 760759100 | 5365  | 312812  | SH | DEFINED | 1, 3, |
| RES-CARE INC                 | COM            | 760943100 | 1309  | 89929   | SH | DEFINED | 1, 3, |
| RESEARCH IN MOTION LTD       | COM            | 760975102 | 2829  | 65634   | SH | DEFINED | 1, 3, |
| RESMED INC                   | COM            | 761152107 | 2761  | 78115   | SH | DEFINED | 1, 3, |
| RESMED INC                   | COM            | 761152107 | 373   | 10567   | SH | DEFINED | 1, 3, |
| REWARDS NETWORK INC          | COM            | 761557107 | 334   | 95290   | SH | DEFINED | 1, 3, |
| REYNOLDS AMERICAN INC        | COM            | 761713106 | 24000 | 669630  | SH | DEFINED | 1, 3, |

Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 13F-HR

|                              |                |           |       |         |    |         |      |
|------------------------------|----------------|-----------|-------|---------|----|---------|------|
| RF MICRODEVICES INC          | COM            | 749941100 | 360   | 271049  | SH | DEFINED | 1,3, |
| RLI CORP                     | COM            | 749607107 | 3148  | 62700   | SH | DEFINED | 1,3, |
| ROBBINS & MYERS INC          | COM            | 770196103 | 675   | 44522   | SH | DEFINED | 1,3, |
| ROBBINS & MYERS INC          | COM            | 770196103 | 8491  | 559741  | SH | DEFINED | 1,3, |
| ROBERT HALF INTL INC         | COM            | 770323103 | 1724  | 96674   | SH | DEFINED | 1,3, |
| ROCK-TENN CO                 | CL A           | 772739207 | 16476 | 609112  | SH | DEFINED | 1,3, |
| ROCK-TENN CO                 | CL A           | 772739207 | 707   | 26133   | SH | DEFINED | 1,3, |
| ROCKWELL AUTOMATION INC      | COM            | 773903109 | 1928  | 88280   | SH | DEFINED | 1,3, |
| ROCKWELL COLLINS INC         | COM            | 774341101 | 3233  | 99039   | SH | DEFINED | 1,3, |
| ROFIN SINAR TECHNOLOGIES INC | COM            | 775043102 | 3652  | 226529  | SH | DEFINED | 1,3, |
| ROGERS CORP                  | COM            | 775133101 | 3246  | 171950  | SH | DEFINED | 1,3, |
| ROHM & HAAS CO               | COM            | 775371107 | 6133  | 77786   | SH | DEFINED | 1,3, |
| ROLLINS INC                  | COM            | 775711104 | 727   | 42412   | SH | DEFINED | 1,3, |
| ROPER INDS INC NEW           | COM            | 776696106 | 3923  | 92411   | SH | DEFINED | 1,3, |
| ROSETTA RESOURCES INC        | COM            | 777779307 | 7408  | 1496628 | SH | DEFINED | 1,3, |
| ROSS STORES INC              | COM            | 778296103 | 9824  | 273802  | SH | DEFINED | 1,3, |
| ROWAN COS INC                | COM            | 779382100 | 840   | 70197   | SH | DEFINED | 1,3, |
| ROYAL BK SCOTLAND GROUP PLC  | SP ADR PREF S  | 780097739 | 1435  | 248220  | SH | DEFINED | 1,3, |
| ROYAL BK SCOTLAND GROUP PLC  | ADR PREF SHS R | 780097747 | 1941  | 351054  | SH | DEFINED | 1,3, |
| ROYAL BK SCOTLAND GROUP PLC  | ADR PREF SER N | 780097770 | 1213  | 216274  | SH | DEFINED | 1,3, |
| ROYAL BK SCOTLAND GROUP PLC  | SP ADR L RP PF | 780097788 | 1311  | 242310  | SH | DEFINED | 1,3, |
| ROYAL BK SCOTLAND GROUP PLC  | SP ADR PREF T  | 780097713 | 370   | 59135   | SH | DEFINED | 1,3, |
| RPM INTL INC                 | COM            | 749685103 | 1682  | 132153  | SH | DEFINED | 1,3, |
| RTI INTL METALS INC          | COM            | 74973W107 | 949   | 81138   | SH | DEFINED | 1,3, |
| RUBY TUESDAY INC             | COM            | 781182100 | 542   | 185746  | SH | DEFINED | 1,3, |
| RUDDICK CORP                 | COM            | 781258108 | 4384  | 195259  | SH | DEFINED | 1,3, |
| RUDDICK CORP                 | COM            | 781258108 | 932   | 41509   | SH | DEFINED | 1,3, |
| RUDOLPH TECHNOLOGIES INC     | COM            | 781270103 | 326   | 107746  | SH | DEFINED | 1,3, |
| RUSS BERRIE & CO             | COM            | 782233100 | 78    | 58891   | SH | DEFINED | 1,3, |
| RUTHS HOSPITALITY GROUP INC  | COM            | 783332109 | 82    | 67692   | SH | DEFINED | 1,3, |
| RYANAIR HLDGS PLC            | SPONSORED ADR  | 783513104 | 3160  | 136723  | SH | DEFINED | 1,3, |
| RYDER SYS INC                | COM            | 783549108 | 977   | 34521   | SH | DEFINED | 1,3, |
| RYLAND GROUP INC             | COM            | 783764103 | 735   | 44130   | SH | DEFINED | 1,3, |
| S & T BANCORP INC            | COM            | 783859101 | 8600  | 405476  | SH | DEFINED | 1,3, |
| S & T BANCORP INC            | COM            | 783859101 | 683   | 32181   | SH | DEFINED | 1,3, |
| S1 CORPORATION               | COM            | 78463B101 | 4742  | 920700  | SH | DEFINED | 1,3, |
| SAFETY INS GROUP INC         | COM            | 78648T100 | 1782  | 57321   | SH | DEFINED | 1,3, |
| SAFEWAY INC                  | COM NEW        | 786514208 | 5499  | 272387  | SH | DEFINED | 1,3, |
| SAIC INC                     | COM            | 78390X101 | 39210 | 2100139 | SH | DEFINED | 1,3, |
| SAIC INC                     | COM            | 78390X101 | 1182  | 63316   | SH | DEFINED | 1,3, |
| SAKS INC                     | COM            | 79377W108 | 273   | 146208  | SH | DEFINED | 1,3, |
| SALESFORCE COM INC           | COM            | 79466L302 | 2154  | 65812   | SH | DEFINED | 1,3, |
| SALESFORCE COM INC           | COM            | 79466L302 | 481   | 14711   | SH | DEFINED | 1,3, |
| SALIX PHARMACEUTICALS INC    | COM            | 795435106 | 1605  | 168933  | SH | DEFINED | 1,3, |
| SANDERSON FARMS INC          | COM            | 800013104 | 2305  | 61386   | SH | DEFINED | 1,3, |
| SANDISK CORP                 | COM            | 80004C101 | 1781  | 140811  | SH | DEFINED | 1,3, |
| SARA LEE CORP                | COM            | 803111103 | 3500  | 433161  | SH | DEFINED | 1,3, |
| SAUL CTRS INC                | COM            | 804395101 | 314   | 13667   | SH | DEFINED | 1,3, |
| SAUL CTRS INC                | COM            | 804395101 | 8679  | 377841  | SH | DEFINED | 2,3, |
| SAUL CTRS INC                | COM            | 804395101 | 982   | 42762   | SH | DEFINED | 1,3, |
| SAVIENT PHARMACEUTICALS INC  | COM            | 80517Q100 | 828   | 167201  | SH | DEFINED | 1,3, |
| SCANA CORP NEW               | COM            | 80589M102 | 14689 | 475523  | SH | DEFINED | 1,3, |
| SCANSOURCE INC               | COM            | 806037107 | 1725  | 92818   | SH | DEFINED | 1,3, |
| SCBT FINANCIAL CORP          | COM            | 78401V102 | 2186  | 104576  | SH | DEFINED | 1,3, |
| SCHEIN HENRY INC             | COM            | 806407102 | 3680  | 91967   | SH | DEFINED | 1,3, |
| SCHERING PLOUGH CORP         | COM            | 806605101 | 26381 | 1120199 | SH | DEFINED | 1,3, |
| SCHLUMBERGER LTD             | COM            | 806857108 | 378   | 9307    | SH | DEFINED | 2,3, |
| SCHLUMBERGER LTD             | COM            | 806857108 | 36454 | 897448  | SH | DEFINED | 1,3, |
| SCHNITZER STL INDS           | CL A           | 806882106 | 656   | 20910   | SH | DEFINED | 1,3, |
| SCHNITZER STL INDS           | CL A           | 806882106 | 2445  | 77901   | SH | DEFINED | 1,3, |
| SCHOLASTIC CORP              | COM            | 807066105 | 402   | 26690   | SH | DEFINED | 1,3, |
| SCHOOL SPECIALTY INC         | COM            | 807863105 | 988   | 56141   | SH | DEFINED | 1,3, |

Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 13F-HR

|                              |            |           |       |         |    |         |      |
|------------------------------|------------|-----------|-------|---------|----|---------|------|
| SCHULMAN A INC               | COM        | 808194104 | 2743  | 202440  | SH | DEFINED | 1,3, |
| SCHWAB CHARLES CORP NEW      | COM        | 808513105 | 9541  | 615557  | SH | DEFINED | 1,3, |
| SCHWEITZER-MAUDUIT INTL INC  | COM        | 808541106 | 979   | 53041   | SH | DEFINED | 1,3, |
| SCIENTIFIC GAMES CORP        | CL A       | 80874P109 | 800   | 66055   | SH | DEFINED | 1,3, |
| SCOTTS MIRACLE GRO CO        | CL A       | 810186106 | 1569  | 45204   | SH | DEFINED | 1,3, |
| SCRIPPS E W CO OHIO          | CL A NEW   | 811054402 | 138   | 101892  | SH | DEFINED | 1,3, |
| SCRIPPS NETWORKS INTERACT IN | CL A COM   | 811065101 | 2747  | 122055  | SH | DEFINED | 1,3, |
| SEACOR HOLDINGS INC          | COM        | 811904101 | 6242  | 107041  | SH | DEFINED | 1,3, |
| SEAGATE TECHNOLOGY           | SHS        | G7945J104 | 1114  | 185401  | SH | DEFINED | 1,3, |
| SEALED AIR CORP NEW          | COM        | 81211K100 | 6025  | 436569  | SH | DEFINED | 1,3, |
| SEARS HLDGS CORP             | COM        | 812350106 | 1559  | 34116   | SH | DEFINED | 1,3, |
| SEATTLE GENETICS INC         | COM        | 812578102 | 2476  | 251100  | SH | DEFINED | 1,3, |
| SEI INVESTMENTS CO           | COM        | 784117103 | 1682  | 137733  | SH | DEFINED | 1,3, |
| SELECTIVE INS GROUP INC      | COM        | 816300107 | 2252  | 185221  | SH | DEFINED | 1,3, |
| SEMPRA ENERGY                | COM        | 816851109 | 39678 | 858088  | SH | DEFINED | 1,3, |
| SEMTECH CORP                 | COM        | 816850101 | 830   | 62170   | SH | DEFINED | 1,3, |
| SENIOR HSG PPTYS TR          | SH BEN INT | 81721M109 | 16496 | 1176595 | SH | DEFINED | 1,3, |
| SENSIENT TECHNOLOGIES CORP   | COM        | 81725T100 | 9118  | 388013  | SH | DEFINED | 1,3, |
| SENSIENT TECHNOLOGIES CORP   | COM        | 81725T100 | 799   | 34007   | SH | DEFINED | 1,3, |
| SEPRACOR INC                 | COM        | 817315104 | 1647  | 112348  | SH | DEFINED | 1,3, |
| SERVICE CORP INTL            | COM        | 817565104 | 1826  | 523169  | SH | DEFINED | 1,3, |
| SHAW GROUP INC               | COM        | 820280105 | 2372  | 86522   | SH | DEFINED | 1,3, |
| SHERWIN WILLIAMS CO          | COM        | 824348106 | 3256  | 62643   | SH | DEFINED | 1,3, |
| SHUFFLE MASTER INC           | COM        | 825549108 | 532   | 185452  | SH | DEFINED | 1,3, |
| SIGMA ALDRICH CORP           | COM        | 826552101 | 3964  | 104907  | SH | DEFINED | 1,3, |
| SIGNATURE BK NEW YORK N Y    | COM        | 82669G104 | 5360  | 189866  | SH | DEFINED | 1,3, |
| SILGAN HOLDINGS INC          | COM        | 827048109 | 8082  | 153820  | SH | DEFINED | 1,3, |
| SILICON LABORATORIES INC     | COM        | 826919102 | 6548  | 248029  | SH | DEFINED | 1,3, |
| SIMON PPTY GROUP INC NEW     | COM        | 828806109 | 17250 | 497971  | SH | DEFINED | 1,3, |
| SIMON PPTY GROUP INC NEW     | COM        | 828806109 | 3428  | 98967   | SH | DEFINED | 1,3, |
| SIMON PPTY GROUP INC NEW     | COM        | 828806109 | 99805 | 2881208 | SH | DEFINED | 2,3, |
| SIMPSON MANUFACTURING CO INC | COM        | 829073105 | 2372  | 131617  | SH | DEFINED | 1,3, |
| SKECHERS U S A INC           | CL A       | 830566105 | 777   | 116487  | SH | DEFINED | 1,3, |
| SKILLED HEALTHCARE GROUP INC | CL A       | 83066R107 | 6736  | 820469  | SH | DEFINED | 1,3, |
| SKYLINE CORP                 | COM        | 830830105 | 454   | 23865   | SH | DEFINED | 1,3, |
| SKYWEST INC                  | COM        | 830879102 | 2490  | 200152  | SH | DEFINED | 1,3, |
| SKYWORKS SOLUTIONS INC       | COM        | 83088M102 | 16329 | 2025976 | SH | DEFINED | 1,3, |
| SL GREEN RLTY CORP           | COM        | 78440X101 | 286   | 26525   | SH | DEFINED | 1,3, |
| SL GREEN RLTY CORP           | COM        | 78440X101 | 1429  | 132283  | SH | DEFINED | 1,3, |
| SL GREEN RLTY CORP           | COM        | 78440X101 | 8433  | 780840  | SH | DEFINED | 2,3, |
| SLM CORP                     | COM        | 78442P106 | 1438  | 290538  | SH | DEFINED | 1,3, |
| SMITH A O                    | COM        | 831865209 | 2004  | 79594   | SH | DEFINED | 1,3, |
| SMITH INTL INC               | COM        | 832110100 | 2949  | 137310  | SH | DEFINED | 1,3, |
| SMITH INTL INC               | COM        | 832110100 | 433   | 20136   | SH | DEFINED | 2,3, |
| SMITH MICRO SOFTWARE INC     | COM        | 832154108 | 514   | 98225   | SH | DEFINED | 1,3, |
| SMITHFIELD FOODS INC         | COM        | 832248108 | 1157  | 122287  | SH | DEFINED | 1,3, |
| SMUCKER J M CO               | COM NEW    | 832696405 | 2828  | 75869   | SH | DEFINED | 1,3, |
| SNAP ON INC                  | COM        | 833034101 | 13624 | 542795  | SH | DEFINED | 1,3, |
| SOHU COM INC                 | COM        | 83408W103 | 10191 | 246695  | SH | DEFINED | 1,3, |
| SOLERA HOLDINGS INC          | COM        | 83421A104 | 7218  | 291300  | SH | DEFINED | 1,3, |
| SONIC AUTOMOTIVE INC         | CL A       | 83545G102 | 157   | 98330   | SH | DEFINED | 1,3, |
| SONIC CORP                   | COM        | 835451105 | 2133  | 212855  | SH | DEFINED | 1,3, |
| SONIC SOLUTIONS              | COM        | 835460106 | 112   | 92927   | SH | DEFINED | 1,3, |
| SONOCO PRODS CO              | COM        | 835495102 | 3911  | 186415  | SH | DEFINED | 1,3, |
| SOTHEBYS                     | COM        | 835898107 | 622   | 69058   | SH | DEFINED | 1,3, |
| SOUTH FINL GROUP INC         | COM        | 837841105 | 327   | 296822  | SH | DEFINED | 1,3, |
| SOUTH JERSEY INDS INC        | COM        | 838518108 | 13341 | 381179  | SH | DEFINED | 1,3, |
| SOUTHERN CO                  | COM        | 842587107 | 27108 | 885315  | SH | DEFINED | 1,3, |
| SOUTHERN UN CO NEW           | COM        | 844030106 | 1943  | 127663  | SH | DEFINED | 1,3, |
| SOUTHWEST AIRLS CO           | COM        | 844741108 | 8085  | 1277247 | SH | DEFINED | 1,3, |
| SOUTHWEST GAS CORP           | COM        | 844895102 | 3290  | 156148  | SH | DEFINED | 1,3, |
| SOUTHWESTERN ENERGY CO       | COM        | 845467109 | 22065 | 743165  | SH | DEFINED | 1,3, |

Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 13F-HR

|                              |                 |           |       |         |    |         |       |
|------------------------------|-----------------|-----------|-------|---------|----|---------|-------|
| SOVRAN SELF STORAGE INC      | COM             | 84610H108 | 1551  | 77223   | SH | DEFINED | 1, 3, |
| SPARTAN MTRS INC             | COM             | 846819100 | 462   | 114887  | SH | DEFINED | 1, 3, |
| SPARTAN STORES INC           | COM             | 846822104 | 464   | 30109   | SH | DEFINED | 1, 3, |
| SPARTAN STORES INC           | COM             | 846822104 | 6707  | 435255  | SH | DEFINED | 1, 3, |
| SPDR SERIES TRUST            | KBW REGN BK ETF | 78464A698 | 2086  | 108540  | SH | DEFINED | 1, 3, |
| SPECTRA ENERGY CORP          | COM             | 847560109 | 27869 | 1970942 | SH | DEFINED | 1, 3, |
| SPECTRA ENERGY CORP          | COM             | 847560109 | 280   | 19833   | SH | DEFINED | 1, 3, |
| SPHERION CORP                | COM             | 848420105 | 384   | 184499  | SH | DEFINED | 1, 3, |
| SPIRIT AEROSYSTEMS HLDGS INC | COM CL A        | 848574109 | 1921  | 192709  | SH | DEFINED | 1, 3, |
| SPRINT NEXTEL CORP           | COM SER 1       | 852061100 | 6640  | 1859971 | SH | DEFINED | 1, 3, |
| SPRINT NEXTEL CORP           | COM SER 1       | 852061100 | 2668  | 747264  | SH | DEFINED | 1, 3, |
| SPSS INC                     | COM             | 78462K102 | 1820  | 64009   | SH | DEFINED | 1, 3, |
| SPX CORP                     | COM             | 784635104 | 2401  | 51072   | SH | DEFINED | 1, 3, |
| SPX CORP                     | COM             | 784635104 | 599   | 12734   | SH | DEFINED | 1, 3, |
| SRA INTL INC                 | CL A            | 78464R105 | 638   | 43396   | SH | DEFINED | 1, 3, |
| ST JUDE MED INC              | COM             | 790849103 | 5630  | 154976  | SH | DEFINED | 1, 3, |
| ST JUDE MED INC              | COM             | 790849103 | 703   | 19362   | SH | DEFINED | 2, 3, |
| ST JUDE MED INC              | COM             | 790849103 | 65084 | 1791463 | SH | DEFINED | 1, 3, |
| ST MARY LD & EXPL CO         | COM             | 792228108 | 5658  | 427685  | SH | DEFINED | 1, 3, |
| STAGE STORES INC             | COM NEW         | 85254C305 | 1345  | 133445  | SH | DEFINED | 1, 3, |
| STAMPS COM INC               | COM NEW         | 852857200 | 485   | 50018   | SH | DEFINED | 1, 3, |
| STANCORP FINL GROUP INC      | COM             | 852891100 | 2925  | 128388  | SH | DEFINED | 1, 3, |
| STANDARD MICROSYSTEMS CORP   | COM             | 853626109 | 1430  | 76897   | SH | DEFINED | 1, 3, |
| STANDARD MTR PRODS INC       | COM             | 853666105 | 115   | 41878   | SH | DEFINED | 1, 3, |
| STANDARD PAC CORP NEW        | COM             | 85375C101 | 287   | 326010  | SH | DEFINED | 1, 3, |
| STANDARD REGISTER CO         | COM             | 853887107 | 203   | 44307   | SH | DEFINED | 1, 3, |
| STANDEX INTL CORP            | COM             | 854231107 | 399   | 43332   | SH | DEFINED | 1, 3, |
| STANLEY INC                  | COM             | 854532108 | 1197  | 47155   | SH | DEFINED | 1, 3, |
| STANLEY WKS                  | COM             | 854616109 | 4810  | 165190  | SH | DEFINED | 1, 3, |
| STAPLES INC                  | COM             | 855030102 | 8134  | 449170  | SH | DEFINED | 1, 3, |
| STARBUCKS CORP               | COM             | 855244109 | 5082  | 457411  | SH | DEFINED | 1, 3, |
| STARENT NETWORKS CORP        | COM             | 85528P108 | 360   | 22761   | SH | DEFINED | 1, 3, |
| STARTEK INC                  | COM             | 85569C107 | 125   | 40413   | SH | DEFINED | 1, 3, |
| STARWOOD HOTELS&RESORTS WRLD | COM             | 85590A401 | 1571  | 123671  | SH | DEFINED | 1, 3, |
| STATE STR CORP               | COM             | 857477103 | 18685 | 607034  | SH | DEFINED | 1, 3, |
| STEAK N SHAKE CO             | COM             | 857873103 | 764   | 100916  | SH | DEFINED | 1, 3, |
| STEALTHGAS INC               | SHS             | Y81669106 | 446   | 87714   | SH | DEFINED | 1, 3, |
| STEEL DYNAMICS INC           | COM             | 858119100 | 2273  | 258035  | SH | DEFINED | 1, 3, |
| STEIN MART INC               | COM             | 858375108 | 257   | 88940   | SH | DEFINED | 1, 3, |
| STEINER LEISURE LTD          | ORD             | P8744Y102 | 4479  | 183476  | SH | DEFINED | 1, 3, |
| STEPAN CO                    | COM             | 858586100 | 2686  | 98375   | SH | DEFINED | 1, 3, |
| STERICYCLE INC               | COM             | 858912108 | 1157  | 24234   | SH | DEFINED | 1, 3, |
| STERICYCLE INC               | COM             | 858912108 | 2535  | 53105   | SH | DEFINED | 1, 3, |
| STERIS CORP                  | COM             | 859152100 | 1400  | 60139   | SH | DEFINED | 1, 3, |
| STERIS CORP                  | COM             | 859152100 | 865   | 37154   | SH | DEFINED | 1, 3, |
| STERLING BANCORP             | COM             | 859158107 | 628   | 63408   | SH | DEFINED | 1, 3, |
| STERLING BANCSHARES INC      | COM             | 858907108 | 7061  | 1079636 | SH | DEFINED | 1, 3, |
| STERLING BANCSHARES INC      | COM             | 858907108 | 1106  | 169175  | SH | DEFINED | 1, 3, |
| STERLING CONSTRUCTION CO INC | COM             | 859241101 | 1047  | 58706   | SH | DEFINED | 1, 3, |
| STERLING FINL CORP WASH      | COM             | 859319105 | 380   | 183666  | SH | DEFINED | 1, 3, |
| STEWART INFORMATION SVCS COR | COM             | 860372101 | 1244  | 63809   | SH | DEFINED | 1, 3, |
| STIFEL FINL CORP             | COM             | 860630102 | 960   | 22156   | SH | DEFINED | 1, 3, |
| STIFEL FINL CORP             | COM             | 860630102 | 16441 | 379613  | SH | DEFINED | 1, 3, |
| STONE ENERGY CORP            | COM             | 861642106 | 407   | 122204  | SH | DEFINED | 1, 3, |
| STRATASYS INC                | COM             | 862685104 | 587   | 70977   | SH | DEFINED | 1, 3, |
| STRAYER ED INC               | COM             | 863236105 | 3468  | 19279   | SH | DEFINED | 1, 3, |
| STRAYER ED INC               | COM             | 863236105 | 472   | 2624    | SH | DEFINED | 1, 3, |
| STRYKER CORP                 | COM             | 863667101 | 5047  | 148275  | SH | DEFINED | 1, 3, |
| STURM RUGER & CO INC         | COM             | 864159108 | 826   | 66962   | SH | DEFINED | 1, 3, |
| SUCCESSFACTORS INC           | COM             | 864596101 | 1320  | 173000  | SH | DEFINED | 1, 3, |
| SUN MICROSYSTEMS INC         | COM NEW         | 866810203 | 3395  | 463755  | SH | DEFINED | 1, 3, |
| SUNOCO INC                   | COM             | 86764P109 | 3042  | 114863  | SH | DEFINED | 1, 3, |

Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 13F-HR

|                               |               |           |       |         |    |         |      |
|-------------------------------|---------------|-----------|-------|---------|----|---------|------|
| SUNSTONE HOTEL INVS INC NEW   | COM           | 867892101 | 30    | 11279   | SH | DEFINED | 1,3, |
| SUNSTONE HOTEL INVS INC NEW   | COM           | 867892101 | 776   | 294757  | SH | DEFINED | 2,3, |
| SUNTRUST BKS INC              | COM           | 867914103 | 2643  | 225105  | SH | DEFINED | 1,3, |
| SUPER MICRO COMPUTER INC      | COM           | 86800U104 | 1963  | 399000  | SH | DEFINED | 1,3, |
| SUPERIOR ENERGY SVCS INC      | COM           | 868157108 | 8709  | 675627  | SH | DEFINED | 1,3, |
| SUPERIOR INDS INTL INC        | COM           | 868168105 | 965   | 81453   | SH | DEFINED | 1,3, |
| SUPERIOR WELL SVCS INC        | COM           | 86837X105 | 297   | 57876   | SH | DEFINED | 1,3, |
| SUPERTEX INC                  | COM           | 868532102 | 1045  | 45226   | SH | DEFINED | 1,3, |
| SUPERVALU INC                 | COM           | 868536103 | 14737 | 1031989 | SH | DEFINED | 1,3, |
| SURMODICS INC                 | COM           | 868873100 | 939   | 51469   | SH | DEFINED | 1,3, |
| SUSQUEHANNA BANCSHARES INC P  | COM           | 869099101 | 4977  | 533485  | SH | DEFINED | 1,3, |
| SVB FINL GROUP                | COM           | 78486Q101 | 678   | 33897   | SH | DEFINED | 1,3, |
| SWIFT ENERGY CO               | COM           | 870738101 | 793   | 108585  | SH | DEFINED | 1,3, |
| SWS GROUP INC                 | COM           | 78503N107 | 6530  | 420482  | SH | DEFINED | 1,3, |
| SYBASE INC                    | COM           | 871130100 | 9425  | 311173  | SH | DEFINED | 1,3, |
| SYKES ENTERPRISES INC         | COM           | 871237103 | 1953  | 117459  | SH | DEFINED | 1,3, |
| SYMANTEC CORP                 | COM           | 871503108 | 37067 | 2481050 | SH | DEFINED | 1,3, |
| SYMANTEC CORP                 | COM           | 871503108 | 317   | 21242   | SH | DEFINED | 2,3, |
| SYMANTEC CORP                 | COM           | 871503108 | 3815  | 255340  | SH | DEFINED | 1,3, |
| SYMMETRICOM INC               | COM           | 871543104 | 541   | 154486  | SH | DEFINED | 1,3, |
| SYMMETRY MED INC              | COM           | 871546206 | 2814  | 445942  | SH | DEFINED | 1,3, |
| SYNAPTICS INC                 | COM           | 87157D109 | 3201  | 119647  | SH | DEFINED | 1,3, |
| SYNIVERSE HLDGS INC           | COM           | 87163F106 | 844   | 53534   | SH | DEFINED | 1,3, |
| SYNNEX CORP                   | COM           | 87162W100 | 9077  | 461442  | SH | DEFINED | 1,3, |
| SYNNEX CORP                   | COM           | 87162W100 | 1042  | 52958   | SH | DEFINED | 1,3, |
| SYNOPSIS INC                  | COM           | 871607107 | 6354  | 306507  | SH | DEFINED | 1,3, |
| SYNOVUS FINL CORP             | COM           | 87161C105 | 939   | 288979  | SH | DEFINED | 1,3, |
| SYNTEL INC                    | COM           | 87162H103 | 3044  | 147906  | SH | DEFINED | 1,3, |
| SYSCO CORP                    | COM           | 871829107 | 268   | 11739   | SH | DEFINED | 1,3, |
| SYSCO CORP                    | COM           | 871829107 | 31246 | 1370455 | SH | DEFINED | 1,3, |
| TAIWAN SEMICONDUCTOR MFG LTD  | SPONSORED ADR | 874039100 | 1471  | 164327  | SH | DEFINED | 1,3, |
| TAKE-TWO INTERACTIVE SOFTWARE | COM           | 874054109 | 6985  | 836536  | SH | DEFINED | 1,3, |
| TALEO CORP                    | CL A          | 87424N104 | 1272  | 107584  | SH | DEFINED | 1,3, |
| TANGER FACTORY OUTLET CTRS I  | COM           | 875465106 | 1411  | 45727   | SH | DEFINED | 1,3, |
| TANGER FACTORY OUTLET CTRS I  | COM           | 875465106 | 39471 | 1279038 | SH | DEFINED | 2,3, |
| TANGER FACTORY OUTLET CTRS I  | COM           | 875465106 | 3569  | 115667  | SH | DEFINED | 1,3, |
| TARGET CORP                   | COM           | 87612E106 | 16170 | 470202  | SH | DEFINED | 1,3, |
| TAUBMAN CTRS INC              | COM           | 876664103 | 5597  | 328478  | SH | DEFINED | 1,3, |
| TAUBMAN CTRS INC              | COM           | 876664103 | 706   | 41403   | SH | DEFINED | 1,3, |
| TAUBMAN CTRS INC              | COM           | 876664103 | 17043 | 1000171 | SH | DEFINED | 2,3, |
| TBS INTERNATIONAL LIMITED     | COM CL A      | G86975151 | 2241  | 304923  | SH | DEFINED | 1,3, |
| TCF FINL CORP                 | COM           | 872275102 | 1361  | 115690  | SH | DEFINED | 1,3, |
| TD AMERITRADE HLDG CORP       | COM           | 87236Y108 | 706   | 51118   | SH | DEFINED | 1,3, |
| TECH DATA CORP                | COM           | 878237106 | 1121  | 51468   | SH | DEFINED | 1,3, |
| TECHNE CORP                   | COM           | 878377100 | 4014  | 73376   | SH | DEFINED | 1,3, |
| TECHNITROL INC                | COM           | 878555101 | 246   | 143613  | SH | DEFINED | 1,3, |
| TECO ENERGY INC               | COM           | 872375100 | 1475  | 132253  | SH | DEFINED | 1,3, |
| TEKELEC                       | COM           | 879101103 | 3080  | 232832  | SH | DEFINED | 1,3, |
| TELEDYNE TECHNOLOGIES INC     | COM           | 879360105 | 7962  | 298441  | SH | DEFINED | 1,3, |
| TELEFLEX INC                  | COM           | 879369106 | 1591  | 40694   | SH | DEFINED | 1,3, |
| TELEFONICA S A                | SPONSORED ADR | 879382208 | 339   | 5684    | SH | DEFINED | 1,3, |
| TELEPHONE & DATA SYS INC      | SPL COM       | 879433860 | 216   | 9118    | SH | DEFINED | 1,3, |
| TELEPHONE & DATA SYS INC      | SPL COM       | 879433860 | 17635 | 745658  | SH | DEFINED | 1,3, |
| TELEPHONE & DATA SYS INC      | COM           | 879433100 | 2756  | 103950  | SH | DEFINED | 1,3, |
| TELLABS INC                   | COM           | 879664100 | 1124  | 245462  | SH | DEFINED | 1,3, |
| TEMPLE INLAND INC             | COM           | 879868107 | 1033  | 192368  | SH | DEFINED | 1,3, |
| TENET HEALTHCARE CORP         | COM           | 88033G100 | 297   | 255991  | SH | DEFINED | 1,3, |
| TERADATA CORP DEL             | COM           | 88076W103 | 1762  | 108662  | SH | DEFINED | 1,3, |
| TERADYNE INC                  | COM           | 880770102 | 469   | 107137  | SH | DEFINED | 1,3, |
| TEREX CORP NEW                | COM           | 880779103 | 923   | 99731   | SH | DEFINED | 1,3, |
| TERRA INDS INC                | COM           | 880915103 | 2883  | 102637  | SH | DEFINED | 1,3, |
| TESORO CORP                   | COM           | 881609101 | 6437  | 477855  | SH | DEFINED | 1,3, |



Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 13F-HR

|                              |                |           |       |         |    |         |      |
|------------------------------|----------------|-----------|-------|---------|----|---------|------|
| TETRA TECH INC NEW           | COM            | 88162G103 | 364   | 17867   | SH | DEFINED | 1,3, |
| TETRA TECH INC NEW           | COM            | 88162G103 | 7131  | 349884  | SH | DEFINED | 1,3, |
| TETRA TECHNOLOGIES INC DEL   | COM            | 88162F105 | 1011  | 311221  | SH | DEFINED | 1,3, |
| TEVA PHARMACEUTICAL INDS LTD | ADR            | 881624209 | 71273 | 1582094 | SH | DEFINED | 1,3, |
| TEXAS INDS INC               | COM            | 882491103 | 2431  | 97220   | SH | DEFINED | 1,3, |
| TEXAS INSTRS INC             | COM            | 882508104 | 13558 | 821227  | SH | DEFINED | 1,3, |
| TEXAS ROADHOUSE INC          | CL A           | 882681109 | 2795  | 293309  | SH | DEFINED | 1,3, |
| TEXTRON INC                  | COM            | 883203101 | 874   | 152203  | SH | DEFINED | 1,3, |
| THERAGENICS CORP             | COM            | 883375107 | 142   | 116295  | SH | DEFINED | 1,3, |
| THERMO FISHER SCIENTIFIC INC | COM            | 883556102 | 21472 | 601970  | SH | DEFINED | 1,3, |
| THERMO FISHER SCIENTIFIC INC | COM            | 883556102 | 5427  | 152157  | SH | DEFINED | 1,3, |
| THINKORSWIM GROUP INC        | COM            | 88409C105 | 5179  | 599400  | SH | DEFINED | 1,3, |
| THOMAS & BETTS CORP          | COM            | 884315102 | 1373  | 54882   | SH | DEFINED | 1,3, |
| THOR INDS INC                | COM            | 885160101 | 571   | 36524   | SH | DEFINED | 1,3, |
| THORATEC CORP                | COM NEW        | 885175307 | 1491  | 58046   | SH | DEFINED | 1,3, |
| THQ INC                      | COM NEW        | 872443403 | 705   | 231827  | SH | DEFINED | 1,3, |
| TIBCO SOFTWARE INC           | COM            | 88632Q103 | 6865  | 1169487 | SH | DEFINED | 1,3, |
| TICKETMASTER ENTMT INC       | COM            | 88633P302 | 519   | 140640  | SH | DEFINED | 1,3, |
| TIDEWATER INC                | COM            | 886423102 | 4014  | 108120  | SH | DEFINED | 1,3, |
| TIFFANY & CO NEW             | COM            | 886547108 | 1717  | 79645   | SH | DEFINED | 1,3, |
| TIMBERLAND CO                | CL A           | 887100105 | 566   | 47373   | SH | DEFINED | 1,3, |
| TIME WARNER CABLE INC        | COM            | 88732J207 | 6982  | 281548  | SH | DEFINED | 1,3, |
| TIMKEN CO                    | COM            | 887389104 | 1221  | 87449   | SH | DEFINED | 1,3, |
| TITANIUM METALS CORP         | COM NEW        | 888339207 | 289   | 52856   | SH | DEFINED | 1,3, |
| TJX COS INC NEW              | COM            | 872540109 | 9859  | 384526  | SH | DEFINED | 1,3, |
| TJX COS INC NEW              | COM            | 872540109 | 566   | 22056   | SH | DEFINED | 2,3, |
| TOLL BROTHERS INC            | COM            | 889478103 | 5061  | 278716  | SH | DEFINED | 1,3, |
| TOLLGRADE COMMUNICATIONS INC | COM            | 889542106 | 268   | 46267   | SH | DEFINED | 1,3, |
| TOMPKINS FINANCIAL CORPORATI | COM            | 890110109 | 3593  | 83555   | SH | DEFINED | 1,3, |
| TOOTSIE ROLL INDS INC        | COM            | 890516107 | 595   | 27334   | SH | DEFINED | 1,3, |
| TORCHMARK CORP               | COM            | 891027104 | 21879 | 834108  | SH | DEFINED | 1,3, |
| TORO CO                      | COM            | 891092108 | 3042  | 125818  | SH | DEFINED | 1,3, |
| TOTAL SYS SVCS INC           | COM            | 891906109 | 1692  | 122544  | SH | DEFINED | 1,3, |
| TOWER GROUP INC              | COM            | 891777104 | 5568  | 226058  | SH | DEFINED | 1,3, |
| TOYOTA MOTOR CORP            | SP ADR REP2COM | 892331307 | 321   | 5070    | SH | DEFINED | 1,3, |
| TRACTOR SUPPLY CO            | COM            | 892356106 | 795   | 22046   | SH | DEFINED | 1,3, |
| TRACTOR SUPPLY CO            | COM            | 892356106 | 8620  | 239046  | SH | DEFINED | 1,3, |
| TRADESTATION GROUP INC       | COM            | 89267P105 | 741   | 112217  | SH | DEFINED | 1,3, |
| TRANSATLANTIC HLDGS INC      | COM            | 893521104 | 2231  | 62546   | SH | DEFINED | 1,3, |
| TRANSDIGM GROUP INC          | COM            | 893641100 | 8571  | 260999  | SH | DEFINED | 1,3, |
| TRANSOCEAN LTD               | REG SHS        | H8817H100 | 3875  | 65864   | SH | DEFINED | 1,3, |
| TRANSOCEAN LTD               | REG SHS        | H8817H100 | 616   | 10470   | SH | DEFINED | 2,3, |
| TRANSOCEAN LTD               | REG SHS        | H8817H100 | 27340 | 464642  | SH | DEFINED | 1,3, |
| TRAVELERS COMPANIES INC      | COM            | 89417E109 | 39186 | 964225  | SH | DEFINED | 1,3, |
| TREDEGAR CORP                | COM            | 894650100 | 1109  | 67924   | SH | DEFINED | 1,3, |
| TREEHOUSE FOODS INC          | COM            | 89469A104 | 704   | 24436   | SH | DEFINED | 1,3, |
| TREEHOUSE FOODS INC          | COM            | 89469A104 | 11097 | 385441  | SH | DEFINED | 1,3, |
| TREX INC                     | COM            | 89531P105 | 1068  | 140000  | SH | DEFINED | 1,3, |
| TRIMBLE NAVIGATION LTD       | COM            | 896239100 | 1873  | 122569  | SH | DEFINED | 1,3, |
| TRINITY INDS INC             | COM            | 896522109 | 747   | 81746   | SH | DEFINED | 1,3, |
| TRIQUINT SEMICONDUCTOR INC   | COM            | 89674K103 | 1278  | 517285  | SH | DEFINED | 1,3, |
| TRIUMPH GROUP INC NEW        | COM            | 896818101 | 14888 | 389742  | SH | DEFINED | 1,3, |
| TRUE RELIGION APPAREL INC    | COM            | 89784N104 | 1936  | 163916  | SH | DEFINED | 1,3, |
| TRUEBLUE INC                 | COM            | 89785X101 | 1254  | 151983  | SH | DEFINED | 1,3, |
| TRUSTCO BK CORP N Y          | COM            | 898349105 | 1605  | 266640  | SH | DEFINED | 1,3, |
| TRUSTMARK CORP               | COM            | 898402102 | 6826  | 371387  | SH | DEFINED | 1,3, |
| TTM TECHNOLOGIES INC         | COM            | 87305R109 | 872   | 150304  | SH | DEFINED | 1,3, |
| TUESDAY MORNING CORP         | COM NEW        | 899035505 | 138   | 108485  | SH | DEFINED | 1,3, |
| TUPPERWARE BRANDS CORP       | COM            | 899896104 | 4174  | 245671  | SH | DEFINED | 1,3, |
| TWEEN BRANDS INC             | COM            | 901166108 | 186   | 86769   | SH | DEFINED | 1,3, |
| TYCO ELECTRONICS LTD         | COM NEW        | G9144P105 | 3147  | 285040  | SH | DEFINED | 1,3, |
| TYLER TECHNOLOGIES INC       | COM            | 902252105 | 1475  | 100818  | SH | DEFINED | 1,3, |

Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 13F-HR

|                              |         |           |       |         |    |         |      |
|------------------------------|---------|-----------|-------|---------|----|---------|------|
| TYSON FOODS INC              | CL A    | 902494103 | 1766  | 188065  | SH | DEFINED | 1,3, |
| U STORE IT TR                | COM     | 91274F104 | 33    | 16500   | SH | DEFINED | 1,3, |
| UCBH HOLDINGS INC            | COM     | 90262T308 | 622   | 412218  | SH | DEFINED | 1,3, |
| UDR INC                      | COM     | 902653104 | 1386  | 160936  | SH | DEFINED | 1,3, |
| UGI CORP NEW                 | COM     | 902681105 | 19296 | 817266  | SH | DEFINED | 1,3, |
| UIL HLDG CORP                | COM     | 902748102 | 6033  | 270302  | SH | DEFINED | 1,3, |
| UIL HLDG CORP                | COM     | 902748102 | 1039  | 46565   | SH | DEFINED | 1,3, |
| ULTRATECH INC                | COM     | 904034105 | 4353  | 348533  | SH | DEFINED | 1,3, |
| UMB FINL CORP                | COM     | 902788108 | 4402  | 103592  | SH | DEFINED | 1,3, |
| UMPQUA HLDGS CORP            | COM     | 904214103 | 3470  | 382952  | SH | DEFINED | 1,3, |
| UNDER ARMOUR INC             | CL A    | 904311107 | 617   | 37576   | SH | DEFINED | 1,3, |
| UNIFIRST CORP MASS           | COM     | 904708104 | 1399  | 50269   | SH | DEFINED | 1,3, |
| UNION PAC CORP               | COM     | 907818108 | 2964  | 72108   | SH | DEFINED | 1,3, |
| UNION PAC CORP               | COM     | 907818108 | 547   | 13305   | SH | DEFINED | 2,3, |
| UNION PAC CORP               | COM     | 907818108 | 34976 | 850786  | SH | DEFINED | 1,3, |
| UNISOURCE ENERGY CORP        | COM     | 909205106 | 3527  | 125124  | SH | DEFINED | 1,3, |
| UNIT CORP                    | COM     | 909218109 | 1017  | 48626   | SH | DEFINED | 1,3, |
| UNITED BANKSHARES INC WEST V | COM     | 909907107 | 2289  | 132754  | SH | DEFINED | 1,3, |
| UNITED CMNTY BKS BLAIRSVLE G | CAP STK | 90984P105 | 601   | 144580  | SH | DEFINED | 1,3, |
| UNITED FINANCIAL BANCORP INC | COM     | 91030T109 | 1713  | 130900  | SH | DEFINED | 1,3, |
| UNITED FIRE & CAS CO         | COM     | 910331107 | 1726  | 78609   | SH | DEFINED | 1,3, |
| UNITED NAT FOODS INC         | COM     | 911163103 | 2863  | 150912  | SH | DEFINED | 1,3, |
| UNITED ONLINE INC            | COM     | 911268100 | 1286  | 288411  | SH | DEFINED | 1,3, |
| UNITED PARCEL SERVICE INC    | CL B    | 911312106 | 34522 | 701379  | SH | DEFINED | 1,3, |
| UNITED RENTALS INC           | COM     | 911363109 | 259   | 61568   | SH | DEFINED | 1,3, |
| UNITED STATES CELLULAR CORP  | COM     | 911684108 | 793   | 23771   | SH | DEFINED | 1,3, |
| UNITED STATES STL CORP NEW   | COM     | 912909108 | 1540  | 72898   | SH | DEFINED | 1,3, |
| UNITED STATIONERS INC        | COM     | 913004107 | 4096  | 145873  | SH | DEFINED | 1,3, |
| UNITED TECHNOLOGIES CORP     | COM     | 913017109 | 64783 | 1507288 | SH | DEFINED | 1,3, |
| UNITED THERAPEUTICS CORP DEL | COM     | 91307C102 | 4358  | 65939   | SH | DEFINED | 1,3, |
| UNITEDHEALTH GROUP INC       | COM     | 91324P102 | 16466 | 786722  | SH | DEFINED | 1,3, |
| UNITRIN INC                  | COM     | 913275103 | 708   | 50677   | SH | DEFINED | 1,3, |
| UNIVERSAL CORP VA            | COM     | 913456109 | 760   | 25387   | SH | DEFINED | 1,3, |
| UNIVERSAL ELECTRS INC        | COM     | 913483103 | 889   | 49096   | SH | DEFINED | 1,3, |
| UNIVERSAL FST PRODS INC      | COM     | 913543104 | 1574  | 59140   | SH | DEFINED | 1,3, |
| UNIVERSAL HLTH SVCS INC      | CL B    | 913903100 | 5032  | 131259  | SH | DEFINED | 1,3, |
| UNIVERSAL STAINLESS & ALLOY  | COM     | 913837100 | 393   | 40664   | SH | DEFINED | 1,3, |
| UNIVERSAL STAINLESS & ALLOY  | COM     | 913837100 | 1930  | 199618  | SH | DEFINED | 1,3, |
| UNIVERSAL TECHNICAL INST INC | COM     | 913915104 | 910   | 75872   | SH | DEFINED | 1,3, |
| UNUM GROUP                   | COM     | 91529Y106 | 25579 | 2046293 | SH | DEFINED | 1,3, |
| URBAN OUTFITTERS INC         | COM     | 917047102 | 1026  | 62692   | SH | DEFINED | 1,3, |
| URBAN OUTFITTERS INC         | COM     | 917047102 | 332   | 20300   | SH | DEFINED | 2,3, |
| URBAN OUTFITTERS INC         | COM     | 917047102 | 2038  | 124470  | SH | DEFINED | 1,3, |
| URS CORP NEW                 | COM     | 903236107 | 3534  | 87459   | SH | DEFINED | 1,3, |
| URSTADT BIDDLE PPTYS INS     | CL A    | 917286205 | 7226  | 538440  | SH | DEFINED | 1,3, |
| URSTADT BIDDLE PPTYS INS     | CL A    | 917286205 | 1006  | 74989   | SH | DEFINED | 1,3, |
| US BANCORP DEL               | COM NEW | 902973304 | 24954 | 1707986 | SH | DEFINED | 1,3, |
| V F CORP                     | COM     | 918204108 | 9241  | 161808  | SH | DEFINED | 1,3, |
| VALEANT PHARMACEUTICALS INTL | COM     | 91911X104 | 402   | 22611   | SH | DEFINED | 1,3, |
| VALEANT PHARMACEUTICALS INTL | COM     | 91911X104 | 33795 | 1899683 | SH | DEFINED | 1,3, |
| VALERO ENERGY CORP NEW       | COM     | 91913Y100 | 12106 | 676301  | SH | DEFINED | 1,3, |
| VALIDUS HOLDINGS LTD         | COM SHS | G9319H102 | 2368  | 100000  | SH | DEFINED | 1,3, |
| VALLEY NATL BANCORP          | COM     | 919794107 | 1719  | 138955  | SH | DEFINED | 1,3, |
| VALMONT INDS INC             | COM     | 920253101 | 7773  | 154817  | SH | DEFINED | 1,3, |
| VALSPAR CORP                 | COM     | 920355104 | 7296  | 365344  | SH | DEFINED | 1,3, |
| VALUECLICK INC               | COM     | 92046N102 | 760   | 89301   | SH | DEFINED | 1,3, |
| VARIAN INC                   | COM     | 922206107 | 708   | 29838   | SH | DEFINED | 1,3, |
| VARIAN MED SYS INC           | COM     | 92220P105 | 2439  | 80109   | SH | DEFINED | 1,3, |
| VARIAN MED SYS INC           | COM     | 92220P105 | 592   | 19455   | SH | DEFINED | 1,3, |
| VARIAN SEMICONDUCTOR EQUIPMN | COM     | 922207105 | 5559  | 256682  | SH | DEFINED | 1,3, |
| VCA ANTECH INC               | COM     | 918194101 | 1966  | 87169   | SH | DEFINED | 1,3, |
| VECTREN CORP                 | COM     | 92240G101 | 1759  | 83396   | SH | DEFINED | 1,3, |

Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 13F-HR

|                              |                  |           |        |         |    |         |      |
|------------------------------|------------------|-----------|--------|---------|----|---------|------|
| VEECO INSTRS INC DEL         | COM              | 922417100 | 755    | 113125  | SH | DEFINED | 1,3, |
| VENTAS INC                   | COM              | 92276F100 | 46175  | 2042219 | SH | DEFINED | 2,3, |
| VENTAS INC                   | COM              | 92276F100 | 2331   | 103084  | SH | DEFINED | 1,3, |
| VENTAS INC                   | COM              | 92276F100 | 1637   | 72414   | SH | DEFINED | 1,3, |
| VERISIGN INC                 | COM              | 92343E102 | 2260   | 119766  | SH | DEFINED | 1,3, |
| VERIZON COMMUNICATIONS INC   | COM              | 92343V104 | 89572  | 2965976 | SH | DEFINED | 1,3, |
| VERTEX PHARMACEUTICALS INC   | COM              | 92532F100 | 5114   | 178007  | SH | DEFINED | 1,3, |
| VIACOM INC NEW               | CL B             | 92553P201 | 6542   | 376416  | SH | DEFINED | 1,3, |
| VIAD CORP                    | COM NEW          | 92552R406 | 1006   | 71250   | SH | DEFINED | 1,3, |
| VIASAT INC                   | COM              | 92552V100 | 8687   | 417256  | SH | DEFINED | 1,3, |
| VICOR CORP                   | COM              | 925815102 | 337    | 68823   | SH | DEFINED | 1,3, |
| VIOPHARMA INC                | COM              | 928241108 | 1427   | 271902  | SH | DEFINED | 1,3, |
| VISA INC                     | COM CL A         | 92826C839 | 8133   | 146282  | SH | DEFINED | 1,3, |
| VISA INC                     | COM CL A         | 92826C839 | 397    | 7144    | SH | DEFINED | 1,3, |
| VISHAY INTERTECHNOLOGY INC   | COM              | 928298108 | 668    | 192031  | SH | DEFINED | 1,3, |
| VIVO PARTICIPACOES S A       | SPON ADR PFD NEW | 92855S200 | 16406  | 1257183 | SH | DEFINED | 1,3, |
| VIVUS INC                    | COM              | 928551100 | 4214   | 975428  | SH | DEFINED | 1,3, |
| VOCUS INC                    | COM              | 92858J108 | 1492   | 112264  | SH | DEFINED | 1,3, |
| VODAFONE GROUP PLC NEW       | SPONS ADR NEW    | 92857W209 | 354    | 20321   | SH | DEFINED | 1,3, |
| VOLCOM INC                   | COM              | 92864N101 | 540    | 55687   | SH | DEFINED | 1,3, |
| VOLT INFORMATION SCIENCES IN | COM              | 928703107 | 282    | 42387   | SH | DEFINED | 1,3, |
| VORNADO RLTY TR              | SH BEN INT       | 929042109 | 2985   | 89794   | SH | DEFINED | 1,3, |
| VORNADO RLTY TR              | SH BEN INT       | 929042109 | 31580  | 950079  | SH | DEFINED | 2,3, |
| VORNADO RLTY TR              | SH BEN INT       | 929042109 | 1086   | 32657   | SH | DEFINED | 1,3, |
| VULCAN MATLS CO              | COM              | 929160109 | 3044   | 68719   | SH | DEFINED | 1,3, |
| WABASH NATL CORP             | COM              | 929566107 | 132    | 107415  | SH | DEFINED | 1,3, |
| WABTEC CORP                  | COM              | 929740108 | 9332   | 353770  | SH | DEFINED | 1,3, |
| WADDELL & REED FINL INC      | CL A             | 930059100 | 1579   | 87399   | SH | DEFINED | 1,3, |
| WAL MART STORES INC          | COM              | 931142103 | 12098  | 232211  | SH | DEFINED | 1,3, |
| WAL MART STORES INC          | COM              | 931142103 | 124391 | 2387548 | SH | DEFINED | 1,3, |
| WAL MART STORES INC          | COM              | 931142103 | 1126   | 21607   | SH | DEFINED | 2,3, |
| WALGREEN CO                  | COM              | 931422109 | 16159  | 622443  | SH | DEFINED | 1,3, |
| WARNACO GROUP INC            | COM NEW          | 934390402 | 9524   | 396834  | SH | DEFINED | 1,3, |
| WARNER CHILCOTT LIMITED      | COM CL A         | G9435N108 | 179    | 17042   | SH | DEFINED | 1,3, |
| WASHINGTON FED INC           | COM              | 938824109 | 1204   | 90616   | SH | DEFINED | 1,3, |
| WASHINGTON POST CO           | CL B             | 939640108 | 26404  | 73939   | SH | DEFINED | 1,3, |
| WASHINGTON POST CO           | CL B             | 939640108 | 307    | 861     | SH | DEFINED | 1,3, |
| WASTE CONNECTIONS INC        | COM              | 941053100 | 5967   | 232184  | SH | DEFINED | 1,3, |
| WASTE MGMT INC DEL           | COM              | 94106L109 | 7852   | 306734  | SH | DEFINED | 1,3, |
| WASTE SERVICES INC DEL       | COM NEW          | 941075202 | 335    | 78263   | SH | DEFINED | 1,3, |
| WATERS CORP                  | COM              | 941848103 | 2229   | 60334   | SH | DEFINED | 1,3, |
| WATSCO INC                   | COM              | 942622200 | 3391   | 99645   | SH | DEFINED | 1,3, |
| WATSON PHARMACEUTICALS INC   | COM              | 942683103 | 2343   | 75300   | SH | DEFINED | 1,3, |
| WATSON WYATT WORLDWIDE INC   | CL A             | 942712100 | 6977   | 141311  | SH | DEFINED | 1,3, |
| WATTS WATER TECHNOLOGIES INC | CL A             | 942749102 | 6765   | 345878  | SH | DEFINED | 1,3, |
| WAUSAU PAPER CORP            | COM              | 943315101 | 901    | 171323  | SH | DEFINED | 1,3, |
| WD-40 CO                     | COM              | 929236107 | 1399   | 57948   | SH | DEFINED | 1,3, |
| WEATHERFORD INTERNATIONAL LT | REG              | H27013103 | 4353   | 393222  | SH | DEFINED | 1,3, |
| WEBSense INC                 | COM              | 947684106 | 9337   | 778087  | SH | DEFINED | 1,3, |
| WEBSTER FINL CORP CONN       | COM              | 947890109 | 231    | 54441   | SH | DEFINED | 1,3, |
| WEIGHT WATCHERS INTL INC NEW | COM              | 948626106 | 2183   | 117689  | SH | DEFINED | 1,3, |
| WEINGARTEN RLTY INVS         | SH BEN INT       | 948741103 | 759    | 79741   | SH | DEFINED | 1,3, |
| WELLCARE HEALTH PLANS INC    | COM              | 94946T106 | 488    | 43399   | SH | DEFINED | 1,3, |
| WELLPOINT INC                | COM              | 94973V107 | 311    | 8200    | SH | DEFINED | 2,3, |
| WELLPOINT INC                | COM              | 94973V107 | 14341  | 377691  | SH | DEFINED | 1,3, |
| WELLS FARGO & CO NEW         | COM              | 949746101 | 88142  | 6189732 | SH | DEFINED | 1,3, |
| WENDYS ARBYS GROUP INC       | COM              | 950587105 | 2164   | 430279  | SH | DEFINED | 1,3, |
| WERNER ENTERPRISES INC       | COM              | 950755108 | 668    | 44204   | SH | DEFINED | 1,3, |
| WESBANCO INC                 | COM              | 950810101 | 801    | 35100   | SH | DEFINED | 1,3, |
| WEST PHARMACEUTICAL SVSC INC | COM              | 955306105 | 3775   | 115053  | SH | DEFINED | 1,3, |
| WESTAMERICA BANCORPORATION   | COM              | 957090103 | 1354   | 29718   | SH | DEFINED | 1,3, |
| WESTAR ENERGY INC            | COM              | 95709T100 | 11630  | 663443  | SH | DEFINED | 1,3, |

Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 13F-HR

|                          |      |           |       |         |    |         |      |
|--------------------------|------|-----------|-------|---------|----|---------|------|
| WESTAR ENERGY INC        | COM  | 95709T100 | 746   | 42535   | SH | DEFINED | 1,3, |
| WESTERN DIGITAL CORP     | COM  | 958102105 | 7115  | 367868  | SH | DEFINED | 1,3, |
| WESTERN UN CO            | COM  | 959802109 | 35611 | 2833039 | SH | DEFINED | 1,3, |
| WESTERN UN CO            | COM  | 959802109 | 210   | 16709   | SH | DEFINED | 1,3, |
| WET SEAL INC             | CL A | 961840105 | 4421  | 1315700 | SH | DEFINED | 1,3, |
| WEYERHAEUSER CO          | COM  | 962166104 | 11988 | 434808  | SH | DEFINED | 1,3, |
| WGL HLDGS INC            | COM  | 92924F106 | 4808  | 146576  | SH | DEFINED | 1,3, |
| WHIRLPOOL CORP           | COM  | 963320106 | 1356  | 45823   | SH | DEFINED | 1,3, |
| WHITE MTNS INS GROUP LTD | COM  | G9618E107 | 8495  | 49417   | SH | DEFINED | 1,3, |
| WHITNEY HLDG CORP        | COM  | 966612103 | 2576  | 225001  | SH | DEFINED | 1,3, |
| WHOLE FOODS MKT INC      | COM  | 966837106 | 1465  | 87186   | SH | DEFINED | 1,3, |
| WILEY JOHN & SONS INC    | CL A | 968223206 | 1303  | 43752   | SH | DEFINED | 1,3, |
| WILLBROS GROUP INC DEL   | COM  | 969203108 | 353   | 36390   | SH | DEFINED | 2,3, |
| WILLBROS GROUP INC DEL   | COM  | 969203108 | 5438  | 560595  | SH | DEFINED | 1,3, |
| WILLIAMS COS INC DEL     | COM  | 969457100 | 265   | 23243   | SH | DEFINED | 1,3, |
| WILLIAMS COS INC DEL     | COM  | 969457100 | 23828 | 2093815 | SH | DEFINED | 1,3, |
| WILLIAMS SONOMA INC      | COM  | 969904101 | 899   | 89165   | SH | DEFINED | 1,3, |
| WILMINGTON TRUST CORP    | COM  | 971807102 | 689   | 71112   | SH | DEFINED | 1,3, |
| WILSHIRE BANCORP INC     | COM  | 97186T108 | 1175  | 227767  | SH | DEFINED | 1,3, |
| WIND RIVER SYSTEMS INC   | COM  | 973149107 | 447   | 69849   | SH | DEFINED | 1,3, |
| WINDSTREAM CORP          | COM  | 97381W104 | 14677 | 1820948 | SH | DEFINED | 1,3, |
| WINNEBAGO INDS INC       | COM  | 974637100 | 542   | 102159  | SH | DEFINED | 1,3, |
| WINTRUST FINANCIAL CORP  | COM  | 97650W108 | 1031  | 83818   | SH | DEFINED | 1,3, |
| WISCONSIN ENERGY CORP    | COM  | 976657106 | 6563  | 159402  | SH | DEFINED | 1,3, |
| WMS INDS INC             | COM  | 929297109 | 8371  | 400349  | SH | DEFINED | 1,3, |
| WMS INDS INC             | COM  | 929297109 | 1186  | 56716   | SH | DEFINED | 1,3, |
| WOLVERINE WORLD WIDE INC | COM  | 978097103 | 2711  | 174013  | SH | DEFINED | 1,3, |
| WOODWARD GOVERNOR CO     | COM  | 980745103 | 632   | 56522   | SH | DEFINED | 1,3, |
| WORLD ACCEP CORP DEL     | COM  | 981419104 | 970   | 56732   | SH | DEFINED | 1,3, |
| WORLD FUEL SVCS CORP     | COM  | 981475106 | 3262  | 103138  | SH | DEFINED | 1,3, |
| WORTHINGTON INDS INC     | COM  | 981811102 | 537   | 61689   | SH | DEFINED | 1,3, |
| WRIGHT EXPRESS CORP      | COM  | 98233Q105 | 9804  | 538082  | SH | DEFINED | 1,3, |
| WSFS FINL CORP           | COM  | 929328102 | 1277  | 57100   | SH | DEFINED | 1,3, |
| WYETH                    | COM  | 983024100 | 55316 | 1285215 | SH | DEFINED | 1,3, |
| WYNDHAM WORLDWIDE CORP   | COM  | 98310W108 | 1845  | 439176  | SH | DEFINED | 1,3, |
| WYNN RESORTS LTD         | COM  | 983134107 | 823   | 41224   | SH | DEFINED | 1,3, |
| XCEL ENERGY INC          | COM  | 98389B100 | 5273  | 283021  | SH | DEFINED | 1,3, |
| XENOPORT INC             | COM  | 98411C100 | 3150  | 162697  | SH | DEFINED | 1,3, |
| XEROX CORP               | COM  | 984121103 | 5701  | 1253071 | SH | DEFINED | 1,3, |
| XILINX INC               | COM  | 983919101 | 3271  | 170715  | SH | DEFINED | 1,3, |
| XILINX INC               | COM  | 983919101 | 688   | 35920   | SH | DEFINED | 1,3, |
| XL CAP LTD               | CL A | G98255105 | 1190  | 218000  | SH | DEFINED | 1,3, |
| XTO ENERGY INC           | COM  | 98385X106 | 37447 | 1222949 | SH | DEFINED | 1,3, |
| YAHOO INC                | COM  | 984332106 | 11032 | 861195  | SH | DEFINED | 1,3, |
| YRC WORLDWIDE INC        | COM  | 984249102 | 274   | 61084   | SH | DEFINED | 1,3, |
| YUM BRANDS INC           | COM  | 988498101 | 8699  | 316575  | SH | DEFINED | 1,3, |
| ZALE CORP NEW            | COM  | 988858106 | 218   | 111918  | SH | DEFINED | 1,3, |
| ZEBRA TECHNOLOGIES CORP  | CL A | 989207105 | 1186  | 62375   | SH | DEFINED | 1,3, |
| ZENITH NATL INS CORP     | COM  | 989390109 | 3163  | 131191  | SH | DEFINED | 1,3, |
| ZEP INC                  | COM  | 98944B108 | 759   | 74170   | SH | DEFINED | 1,3, |
| ZIMMER HLDGS INC         | COM  | 98956P102 | 5065  | 138763  | SH | DEFINED | 1,3, |
| ZIONS BANCORPORATION     | COM  | 989701107 | 1573  | 160031  | SH | DEFINED | 1,3, |
| ZOLL MED CORP            | COM  | 989922109 | 10982 | 764741  | SH | DEFINED | 1,3, |
| ZOLL MED CORP            | COM  | 989922109 | 563   | 39200   | SH | DEFINED | 1,3, |
| ZUMIEZ INC               | COM  | 989817101 | 686   | 70689   | SH | DEFINED | 1,3, |