### Edgar Filing: CARPENTER TECHNOLOGY CORP - Form 5

#### CARPENTER TECHNOLOGY CORP

Form 5

August 14, 2006

| <b>FORM</b>  | 15   |   |   |  |  |        |  | OMB A   | PPROVAL            |  |  |
|--|--|---|---|--|--|--------|--|---|--------------------|--|--|
| UNITED STATES SECURITIES AND EXCHANGE COMMISSION             |  |   |   |  |  |        |  | OMB<br>Number:  | 3235-0362          |  |  |
| Check this box if<br>no longer subject                       |  |   | Washington, D.C. 20549  |  |  |        |  | Expires:  | January 31,        |  |  |
| to Section Form 4 or 5 obligation may continuous See Instruc | 16. Form ANNU ons nue.   |   | ATEMENT OF CHANGES IN BENEFICIA<br>DWNERSHIP OF SECURITIES                      |  |  |        | EFICIAL  | Estimated average burden hours per response 1.0                 |                    |  |  |
| 1(b).  | Filed purs oldings Section 17(a  | ) of the Public                           | n 16(a) of the S<br>Utility Holding<br>Investment Co                            | g Compa  | ny A   | ct of  | 1935 or Sectio   | n   |                    |  |  |
| SHOR MICHAEL L Syml CAI                                      |  |   | suer Name <b>and</b> Ticker or Trading<br>bol<br>RPENTER TECHNOLOGY<br>RP [CRS] |  |  |        | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable) |   |                    |  |  |
| (Last)   | (First) (M   | tement for Issuer's (th/Day/Year) (0/2006 |   |  |  |        | Director 10% OwnerX_ Officer (give title Other (specify below)           |   |                    |  |  |
|  | ER TECHNOLOG<br>TION, 101 WES<br>EET   |   |   |  |  |        | Sr. VP-En  | gineered Produc   | cts Op.            |  |  |
|  |  |   | Amendment, Date ( Month/Day/Year)   | endment, Date Original<br>onth/Day/Year)   |  |        |  | 6. Individual or Joint/Group Reporting  (check applicable line) |                    |  |  |
| READING,   | PA 19601   |   |   |  |  |        | _X_ Form Filed by<br>Form Filed by Person                                |   |                    |  |  |
| (City)   | (State)  | Zip) T                                    | able I - Non-Deri   | vative Sec   | uritie   | s Acqu | ired, Disposed o   | f, or Beneficial  | lly Owned          |  |  |
| 1.Title of<br>Security<br>(Instr. 3)                         | 2. Transaction Date 2A. Deemed 3. 4. Securities  (Month/Day/Year) Execution Date, if any Code Disposed of (D) (Month/Day/Year) (Instr. 8) (A) or  (A) or |   | )   | 5. Amount of<br>Securities<br>Beneficially<br>Owned at end<br>of Issuer's<br>Fiscal Year<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) |        |  |   |                    |  |  |
| Common<br>Stock  | Â  | Â   | Â   | Â  | Â  | Â      | 29,634.95<br>(1)   | D   | Â                  |  |  |
|  | oort on a separate line ficially owned directly  |   | contained in  | n this for   | m are  | not re | llection of info<br>equired to resp<br>alid OMB contro                   | ond unless  | SEC 2270<br>(9-02) |  |  |

**OMB APPROVAL** 

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  |
|---|---|--------------------------------------|---|---|---|--|--------------------|---|--|
|   |   |                                      |   |   | (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |
| Series A<br>Convertible<br>Preferred<br>Stock Units | \$ 0  | 12/31/2005                           | Â   | A                                       | 24.16 Â   | (2)  | (2)                | Common<br>Stock   | 24.16                                  |

# **Reporting Owners**

| Reporting Owner Name / Address | Tteration philips |           |         |       |  |  |
|--------------------------------|-------------------|-----------|---------|-------|--|--|
|                                | Director          | 10% Owner | Officer | Other |  |  |
| SHOR MICHAEL L                 |                   |           |         |       |  |  |

CARPENTER TECHNOLOGY CORPORATION 101 WEST BERN STREET READING, Â PAÂ 19601

Â Â

Sr. VP-Engineered Products Op. Â

Relationshins

# **Signatures**

David A. 08/14/2006 Christiansen/POA

\*\*Signature of Reporting

Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired under the Carpenter Technology Corporation Dividend Reinvestment Program.
  - Series A Convertible Preferred Stock units were allocated to Participants under the Carpenter Technology Corporation Employee Stock
- (2) Ownership Plan. Units, which are convertible into shares of common stock, vest after the completion of five years of employment, subject to certain conditions.
- (3) Includes dividend equivalents

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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