

CARPENTER TECHNOLOGY CORP

Form 4

May 03, 2005

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**SHOR MICHAEL L**

2. Issuer Name **and** Ticker or Trading  
Symbol  
**CARPENTER TECHNOLOGY  
CORP [CRS]**

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
**CARPENTER TECHNOLOGY  
CORPORATION, 101 WEST BERN  
STREET**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**04/29/2005**

\_\_\_\_ Director \_\_\_\_ 10% Owner  
☒ Officer (give title below) \_\_\_\_ Other (specify below)  
**Sr. VP-Engineered Products Op.**

(Street)  
**READING, PA 19601**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |        |            | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--------|------------|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount | (A) or (D) | Price   |  |   |
| Common Stock                    | 04/29/2005                           |  | M                              |   | 18,000 | A          | \$ 23.21  | 54,920 <sup>(1)</sup>                                    | D   |
| Common Stock                    | 04/29/2005                           |  | S                              |   | 2,000  | D          | \$ 54   | 52,920 <sup>(1)</sup>                                    | D   |
| Common Stock                    | 04/29/2005                           |  | S                              |   | 2,000  | D          | \$ 54.043   | 50,920 <sup>(1)</sup>                                    | D   |
| Common Stock                    | 04/29/2005                           |  | S                              |   | 2,000  | D          | \$ 54.934   | 48,920 <sup>(1)</sup>                                    | D   |
|                                 | 04/29/2005                           |  | S                              |   | 2,000  | D          | \$ 54.966   | 46,920 <sup>(1)</sup>                                    | D   |

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|              |            |   |        |   |            |                       |   |                |
|--------------|------------|---|--------|---|------------|-----------------------|---|----------------|
| Common Stock |            |   |        |   |            |                       |   |                |
| Common Stock | 04/29/2005 | S | 2,000  | D | \$ 55.052  | 44,920 <sup>(1)</sup> | D |                |
| Common Stock | 04/29/2005 | S | 2,000  | D | \$ 55.192  | 42,920 <sup>(1)</sup> | D |                |
| Common Stock | 04/29/2005 | S | 2,000  | D | \$ 55.252  | 40,920 <sup>(1)</sup> | D |                |
| Common Stock | 04/29/2005 | S | 2,000  | D | \$ 55.35   | 38,920 <sup>(1)</sup> | D |                |
| Common Stock | 04/29/2005 | S | 2,000  | D | \$ 55.695  | 36,920 <sup>(1)</sup> | D |                |
| Common Stock | 05/02/2005 | M | 12,200 | A | \$ 28.4375 | 49,120 <sup>(1)</sup> | D |                |
| Common Stock | 05/02/2005 | S | 2,000  | D | \$ 55.02   | 47,120 <sup>(1)</sup> | D |                |
| Common Stock | 05/02/2005 | S | 2,000  | D | \$ 55.155  | 45,120 <sup>(1)</sup> | D |                |
| Common Stock | 05/02/2005 | S | 2,000  | D | \$ 55.27   | 43,120 <sup>(1)</sup> | D |                |
| Common Stock | 05/02/2005 | S | 2,000  | D | \$ 54.978  | 41,120 <sup>(1)</sup> | D |                |
| Common Stock | 05/02/2005 | S | 2,000  | D | \$ 55.018  | 39,120 <sup>(1)</sup> | D |                |
| Common Stock | 05/02/2005 | S | 2,200  | D | \$ 55.131  | 36,920 <sup>(1)</sup> | D |                |
| Common Stock |            |   |        |   |            | 7,637 <sup>(2)</sup>  | I | By 401(k) Plan |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|--|--|---|
|--|--|--------------------------------------|--|--------------------------------|--|--|---|

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and 5)

|  | Code | V          | (A)        | (D) | Date<br>Exercisable | Expiration<br>Date    | Title           | Amount<br>or<br>Number<br>of Shares |
|--|------|------------|------------|-----|---------------------|-----------------------|-----------------|-------------------------------------|
| Employee<br>Stock<br>Option<br>(Right to<br>Buy) |      |            |            |     |                     |                       |                 |                                     |
|  |      | \$ 23.21   | 04/29/2005 | M   | 18,000              | 01/28/2003 01/28/2012 | Common<br>Stock | 18,000                              |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy) |      |            |            |     |                     |                       |                 |                                     |
|  |      | \$ 28.4375 | 05/02/2005 | M   | 12,200              | 06/24/2000 06/24/2009 | Common<br>Stock | 12,200                              |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                                |       |
|---|---------------|-----------|--------------------------------|-------|
|   | Director      | 10% Owner | Officer                        | Other |
| SHOR MICHAEL L<br>CARPENTER TECHNOLOGY CORPORATION<br>101 WEST BERN STREET<br>READING, PA 19601 |               |           | Sr. VP-Engineered Products Op. |       |

## Signatures

David A.  
Christiansen/POA 05/03/2005

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired under the Carpenter Technology Corporation Dividend Reinvestment Program.
- (2) Includes shares acquired under the Savings Plan of Carpenter Technology Corporation. The share balance under the Savings Plan of Carpenter Technology fluctuates due to rounding differences produced by the Plan's method of estimating shares.
- (3) The reporting person was granted an option to buy shares of common stock under the Carpenter Technology Corporation Stock-Based Compensation Plan for Officers and Key Employees.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.