H&Q HEALTHCARE INVESTORS Form N-PX August 31, 2012

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
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# **FORM N-PX**

# ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT INVESTMENT COMPANY

**Investment Company Act file number 811-04889** 

# **H&Q** Healthcare Investors

(Exact name of registrant as specified in charter)

2 Liberty Square, 9th Floor, Boston, MA (Address of principal executive offices)

**02109** (Zip code)

Laura Woodward

**H&Q** Healthcare Investors

2 Liberty Square, 9th Floor, Boston MA 02109

(Name and address of agent for service)

Registrant s telephone number, including area code: 617-772-8500

Date of fiscal year end: September 30

Date of reporting period: 7/1/11-6/30/12

Form N-PX is to be used by a registered management investment company, other than a small business investment company registered on Form N-5 (ss.ss.239.24 and 274.5 of this chapter), to file reports with the Commission, not later than August 31 of each year, containing the registrant s proxy voting record for the most recent twelve-month period ended June 30, pursuant to section 30 of the Investment Company Act of 1940 and rule 30b1-4 thereunder (17 CFR 270.30b1-4). The Commission may use the information provided on Form N-PX in its regulatory, disclosure review, inspection, and policymaking roles.

A registrant is required to disclose the information specified by Form N-PX, and the Commission will make this information public. A registrant is not required to respond to the collection of information contained in Form N-PX unless the Form displays a currently valid Office of Management and Budget (OMB) control number. Please direct comments concerning the accuracy of the information collection burden estimate and any suggestions for reducing the burden to the Secretary, Securities and Exchange Commission, 450 Fifth Street, NW, Washington, DC 20549-0609. The OMB has reviewed this collection of information under the clearance requirements of 44 U.S.C. ss. 3507.

Item 1. Proxy Voting Record.

#### ACORDA THERAPEUTICS, INC.

Security 00484M106 Meeting Type Annual

**Ticker Symbol** ACOR **Meeting Date** 06-Jun-2012

**ISIN** US00484M1062 **Agenda** 933614364 - Management

**Record Date** 09-Apr-2012 **Holding Recon Date** 09-Apr-2012

City / Country / United States Vote Deadline Date 05-Jun-2012

SEDOL(s) Quick Code

|   | 1. | DIRECTOR   |     |     |     |            |
|---|----|--|-----|-----|-----|------------|
|   |    |  |     |     |     |            |
|   |    | 1 BARRY GREENE   | For | Yes | For | Management |
|   |    |  |     |     |     |            |
|   |    | 2 IAN SMITH  | For | Yes | For | Management |
|   |    |  |     |     |     |            |
| 2 | 2. | TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS THE COMPANY S INDEPENDENT AUDITORS FOR THE FISCAL YEAR ENDING DECEMBER 31, 2012. | For | Yes | For | Management |
|   |    |  |     |     |     |            |
|   | 3. | AN ADVISORY VOTE TO APPROVE NAMED EXECUTIVE OFFICER COMPENSATION.  | For | Yes | For | Management |

#### AETNA INC.

Security 00817Y108 Meeting Type Annual

Ticker Symbol AET Meeting Date 18-May-2012

ISIN US00817Y1082 Agenda 933600149 - Management

**Record Date** 16-Mar-2012 **Holding Recon Date** 16-Mar-2012

City / Country / United States Vote Deadline Date 17-May-2012

SEDOL(s) Quick Code

| Item | Proposal                                | Mgt. Recommends | Voted | Vote Cast | Sponsor    |
|------|---|-----------------|-------|-----------|------------|
| 1A.  | ELECTION OF DIRECTOR: FERNANDO AGUIRRE  | For             | Yes   | For       | Management |
| 1B.  | ELECTION OF DIRECTOR: MARK T. BERTOLINI | For             | Yes   | For       | Management |
| 1C.  | ELECTION OF DIRECTOR: FRANK M. CLARK    | For             | Yes   | For       | Management |

| 1D. | ELECTION OF DIRECTOR: BETSY Z. COHEN  | For     | Yes | For     | Management  |
|-----|---|---------|-----|---------|-------------|
| 1E. | ELECTION OF DIRECTOR: MOLLY J. COYE, M.D.   | For     | Yes | For     | Management  |
| 1F. | ELECTION OF DIRECTOR: ROGER N. FARAH  | For     | Yes | For     | Management  |
| 1G. | ELECTION OF DIRECTOR: BARBARA HACKMAN FRANKLIN                                    | For     | Yes | For     | Management  |
| 1H. | ELECTION OF DIRECTOR: JEFFREY E. GARTEN   | For     | Yes | For     | Management  |
| 1I. | ELECTION OF DIRECTOR: ELLEN M. HANCOCK  | For     | Yes | For     | Management  |
| 1J. | ELECTION OF DIRECTOR: RICHARD J.<br>HARRINGTON                                    | For     | Yes | For     | Management  |
| 1K. | ELECTION OF DIRECTOR: EDWARD J. LUDWIG  | For     | Yes | For     | Management  |
| 1L. | ELECTION OF DIRECTOR: JOSEPH P. NEWHOUSE  | For     | Yes | For     | Management  |
| 2.  | APPROVAL OF THE APPOINTMENT OF THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM. | For     | Yes | For     | Management  |
| 3.  | APPROVAL OF THE COMPANY S EXECUTIVE COMPENSATION ON A NON-BINDING ADVISORY BASIS. | For     | Yes | For     | Management  |
| 4.  | SHAREHOLDER PROPOSAL ON CUMULATIVE VOTING.  | Against | Yes | Against | Shareholder |
| 5.  | SHAREHOLDER PROPOSAL ON POLITICAL CONTRIBUTIONS.                                  | Against | Yes | Against | Shareholder |

#### AKORN, INC.

| Securi | ty      | 009728106                       |                 | <b>Meeting Type</b>      |       | Special       |                    |
|--------|---------|---------------------------------|-----------------|--------------------------|-------|---------------|--------------------|
| Ticker | Symbol  | AKRX                            |                 | <b>Meeting Date</b>      |       | 29-Dec-2011   |                    |
| ISIN   |         | US0097281069                    |                 | Agenda                   |       | 933536457 - N | <b>l</b> anagement |
| Record | l Date  | 05-Dec-2011                     |                 | <b>Holding Recon Dat</b> | e     | 05-Dec-2011   |                    |
| City / | Country |                                 | / United States | Vote Deadline Date       | •     | 28-Dec-2011   |                    |
| SEDO   | L(s)    |                                 |                 | Quick Code               |       |               |                    |
| Item   |         | Proposal                        |                 | Mgt. Recommends          | Voted | Vote Cast     | Sponsor            |
| 01     |         | AN AMENDMENT :<br>RESTATED AKOR |                 | For                      | Yes   | For           | Management         |

STOCK OPTION PLAN TO INCREASE THE TOTAL NUMBER OF SHARES OF COMMON STOCK AUTHORIZED AND RESERVED FOR ISSUANCE UNDER THE PLAN FROM 11,000,000 TO 19,000,000

SHARES.

#### AKORN, INC.

Security 009728106 Meeting Type Annual

Ticker Symbol AKRX Meeting Date 04-May-2012

ISIN US0097281069 Agenda 933610760 - Management

**Record Date** 19-Mar-2012 **Holding Recon Date** 19-Mar-2012

City / Country / United States Vote Deadline Date 03-May-2012

SEDOL(s) Quick Code

| Item | Proposal            |   | Mgt. Recommends | Voted | Vote Cast | Sponsor    |
|------|---------------------|---|-----------------|-------|-----------|------------|
| 1.   | DIRECTOR            |   |                 |       |           |            |
|      | 1                   | JOHN N. KAPOOR, PHD   | For             | Yes   | For       | Management |
|      | 2                   | RONALD M. JOHNSON   | For             | Yes   | For       | Management |
|      | 3                   | BRIAN TAMBI   | For             | Yes   | For       | Management |
|      | 4                   | STEVEN J. MEYER   | For             | Yes   | For       | Management |
|      | 5                   | ALAN WEINSTEIN  | For             | Yes   | For       | Management |
|      | 6                   | KENNETH S. ABRAMOWITZ   | For             | Yes   | For       | Management |
|      | 7                   | ADRIENNE L. GRAVES, PHD   | For             | Yes   | For       | Management |
| 2.   | LLP TO SERVE AS AKO | THE SELECTION OF ERNST & YOUNG<br>DRN S INDEPENDENT REGISTERED<br>FIRM FOR THE FISCAL YEAR ENDING | For             | Yes   | For       | Management |
| 3.   |                     | VISORY VOTE ON APPROVAL OF THE IVE COMPENSATION PROGRAM.  | For             | Yes   | For       | Management |

#### ALEXION PHARMACEUTICALS, INC.

| Security       | 015351109    |                 | <b>Meeting Type</b>       | Annual                 |
|----------------|--------------|-----------------|---------------------------|------------------------|
| Ticker Symbol  | ALXN         |                 | <b>Meeting Date</b>       | 07-May-2012            |
| ISIN           | US0153511094 |                 | Agenda                    | 933610772 - Management |
| Record Date    | 16-Mar-2012  |                 | <b>Holding Recon Date</b> | 16-Mar-2012            |
| City / Country |              | / United States | Vote Deadline Date        | 04-May-2012            |

SEDOL(s) Quick Code

| Item |                    | Proposal  | Mgt. Recommends | Voted | Vote Cast | Sponsor    |
|------|--------------------|---|-----------------|-------|-----------|------------|
| 1.   | DIRECTOR           |   |                 |       |           |            |
|      | 1                  | LEONARD BELL  | For             | Yes   | For       | Management |
|      | 2                  | MAX LINK  | For             | Yes   | For       | Management |
|      | 3                  | WILLIAM R. KELLER   | For             | Yes   | For       | Management |
|      | 4                  | JOSEPH A. MADRI   | For             | Yes   | For       | Management |
|      | 5                  | LARRY L. MATHIS   | For             | Yes   | For       | Management |
|      | 6                  | R. DOUGLAS NORBY  | For             | Yes   | For       | Management |
|      | 7                  | ALVIN S. PARVEN   | For             | Yes   | For       | Management |
|      | 8                  | ANDREAS RUMMELT   | For             | Yes   | For       | Management |
|      | 9                  | ANN M. VENEMAN  | For             | Yes   | For       | Management |
| 2.   | DIRECTORS OF PRICE | POINTMENT BY THE BOARD OF<br>WATERHOUSECOOPERS LLP AS<br>DENT REGISTERED PUBLIC | For             | Yes   | For       | Management |
| 3.   |                    | BINDING ADVISORY VOTE OF THE<br>TO ALEXION S NAMED EXECUTIVE                    | For             | Yes   | For       | Management |

#### ALKERMES PLC

| Securi                  | ty     | G01767105                         |                    | <b>Meeting Type</b> |             | Special       |                  |
|-------------------------|--------|-----------------------------------|--------------------|---------------------|-------------|---------------|------------------|
| Ticker                  | Symbol | ALKS                              |                    | <b>Meeting Date</b> |             | 08-Dec-2011   |                  |
| ISIN                    |        | IE00B56GVS15                      |                    | Agenda              |             | 933524604 - N | Management (     |
| Record                  | d Date | 01-Nov-2011                       |                    | Holding Recon Date  | te          | 01-Nov-2011   |                  |
| City / Country / United |        | / United States                   | Vote Deadline Date | e                   | 07-Dec-2011 |               |                  |
| SEDO                    | L(s)   |                                   |                    | Quick Code          |             |               |                  |
| Item                    |        | Proposal                          |                    | Mgt. Recommends     | Voted       | Vote Cast     | Sponsor          |
|                         |        | Troposai                          |                    | Wigw Heedminenas    | , 000       | , ore case    | S <b>P</b> 01301 |
| 01                      |        | HE ALKERMES PLO<br>ICENTIVE PLAN. | C 2011 STOCK       | For                 | Yes         | For           | Management       |

## ALKERMES, INC.

Security 01642T108 Meeting Type Special

Ticker Symbol ALKS Meeting Date 08-Sep-2011

ISIN US01642T1088 Agenda 933496019 - Management

**Record Date** 01-Aug-2011 **Holding Recon Date** 01-Aug-2011

City / Country / United States Vote Deadline Date 07-Sep-2011

SEDOL(s) Quick Code

Item Proposal Mgt. Recommends Voted **Vote Cast** Sponsor TO ADOPT THE BUSINESS COMBINATION 01 For Yes For Management AGREEMENT AND PLAN OF MERGER, DATED AS OF MAY 9, 2011, BY AND AMONG ELAN CORPORATION, PLC, ALKERMES, INC., ANTLER SCIENCE TWO LIMITED, ANTLER ACQUISITION CORP. AND CERTAIN OTHER PARTIES AS DESCRIBED IN THE PROXY STATEMENT/PROSPECTUS, PURSUANT TO WHICH ANTLER ACQUISITION CORP. WILL MERGE WITH AND INTO ALKERMES, INC.

| 02 | TO APPROVE THE CREATION OF DISTRIBUTABLE RESERVES OF ALKERMES PLC THROUGH A REDUCTION OF THE SHARE PREMIUM ACCOUNT OF ALKERMES PLC (THAT WAS PREVIOUSLY APPROVED BY THE CURRENT SHAREHOLDERS OF ALKERMES PLC) AS DESCRIBED IN THE PROXY STATEMENT/PROSPECTUS. | For | Yes | For | Management |
|----|---|-----|-----|-----|------------|
| 03 | TO ADJOURN THE SPECIAL MEETING TO A LATER DATE OR DATES IF NECESSARY OR APPROPRIATE, INCLUDING FOR THE PURPOSE OF PERMITTING FURTHER SOLICITATION OF PROXIES.   | For | Yes | For | Management |

# ALLERGAN, INC.

| Security       | 018490102    |                 | Meeting Type              | Annual                 |
|----------------|--------------|-----------------|---------------------------|------------------------|
| Ticker Symbol  | AGN          |                 | <b>Meeting Date</b>       | 01-May-2012            |
| ISIN           | US0184901025 |                 | Agenda                    | 933565826 - Management |
| Record Date    | 08-Mar-2012  |                 | <b>Holding Recon Date</b> | 08-Mar-2012            |
| City / Country |              | / United States | Vote Deadline Date        | 30-Apr-2012            |
| SEDOL(s)       |              |                 | Quick Code                |                        |

| Item | Proposal                                      | Mgt. Recommends | Voted | Vote Cast | Sponsor    |
|------|---|-----------------|-------|-----------|------------|
| 1A.  | ELECTION OF DIRECTOR: DAVID E.I. PYOTT        | For             | Yes   | For       | Management |
| 1B.  | ELECTION OF DIRECTOR: HERBERT W. BOYER, PH.D. | For             | Yes   | For       | Management |
| 1C.  | ELECTION OF DIRECTOR: DEBORAH DUNSIRE, M.D.   | For             | Yes   | For       | Management |
| 1D.  | ELECTION OF DIRECTOR: MICHAEL R. GALLAGHER    | For             | Yes   | For       | Management |
| 1E.  | ELECTION OF DIRECTOR: DAWN HUDSON             | For             | Yes   | For       | Management |
| 1F.  | ELECTION OF DIRECTOR: ROBERT A. INGRAM        | For             | Yes   | For       | Management |
| 1G.  | ELECTION OF DIRECTOR: TREVOR M. JONES, PH.D.  | For             | Yes   | For       | Management |
| 1H.  | ELECTION OF DIRECTOR: LOUIS J. LAVIGNE, JR.   | For             | Yes   | For       | Management |
| 111. | ELECTION OF DIRECTOR. LOUIS J. LAVIGILE, JR.  | 101             | 105   | 101       | Wanagement |
| 1I.  | ELECTION OF DIRECTOR: RUSSELL T. RAY          | For             | Yes   | For       | Management |
| 1J.  | ELECTION OF DIRECTOR: STEPHEN J. RYAN, M.D.   | For             | Yes   | For       | Management |

| 2. | RATIFICATION OF THE APPOINTMENT OF<br>ERNST & YOUNG LLP AS OUR INDEPENDENT<br>REGISTERED PUBLIC ACCOUNTING FIRM FOR<br>FISCAL YEAR 2012. | For     | Yes | For     | Management  |
|----|--|---------|-----|---------|-------------|
|    |  |         |     |         |             |
| 3. | ADVISORY VOTE ON THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.   | For     | Yes | For     | Management  |
|    |  |         |     |         |             |
| 4. | STOCKHOLDER PROPOSAL, IF PROPERLY PRESENTED AT THE ANNUAL MEETING (SPECIAL STOCKHOLDER MEETINGS).  | Against | Yes | Against | Shareholder |

# AMARIN CORPORATION PLC

| Security       | 023111206    |                 | <b>Meeting Type</b>       | Annual                 |
|----------------|--------------|-----------------|---------------------------|------------------------|
| Ticker Symbol  | AMRN         |                 | <b>Meeting Date</b>       | 12-Jul-2011            |
| ISIN           | US0231112063 |                 | Agenda                    | 933458336 - Management |
| Record Date    | 29-Apr-2011  |                 | <b>Holding Recon Date</b> | 29-Apr-2011            |
| City / Country |              | / United States | Vote Deadline Date        | 05-Jul-2011            |
| SEDOL(s)       |              |                 | Quick Code                |                        |

| Item | Proposal   | Mgt. Recommends | Voted | Vote Cast | Sponsor    |
|------|--|-----------------|-------|-----------|------------|
| O1   | ORDINARY RESOLUTION TO RE-ELECT DR. JOSEPH ANDERSON AS A DIRECTOR.   | For             | Yes   | For       | Management |
| O2   | ORDINARY RESOLUTION TO RE-ELECT DR. JAMES I. HEALY AS A DIRECTOR.  | For             | Yes   | For       | Management |
| O3   | ORDINARY RESOLUTION TO ELECT MS. KRISTINE PETERSON AS A DIRECTOR.  | For             | Yes   | For       | Management |
| O4   | ORDINARY RESOLUTION TO ELECT DR. DAVID FEIGAL AS A DIRECTOR.   | For             | Yes   | For       | Management |
| O5   | ORDINARY RESOLUTION (ADVISORY, NON-BINDING VOTE) ON THE COMPANY S EXECUTIVE COMPENSATION.  | For             | Yes   | For       | Management |
| O6   | ORDINARY RESOLUTION (ADVISORY, NON-BINDING VOTE) ON THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION.              | 1 Year          | Yes   | 1 Year    | Management |
| O7   | ORDINARY RESOLUTION (ADVISORY, NON-BINDING VOTE) ON THE DIRECTORS REMUNERATION REPORT FOR THE FISCAL YEAR ENDED DECEMBER 31, 2010. | For             | Yes   | For       | Management |
| O8   | ORDINARY RESOLUTION TO RE-APPOINT DELOITTE & TOUCHE LLP AS AUDITORS OF THE COMPANY AND TO AUTHORISE THE AUDIT                      | For             | Yes   | For       | Management |

COMMITTEE OF THE BOARD OF DIRECTORS OF THE COMPANY TO FIX THEIR REMUNERATION.

| S9 | SPECIAL RESOLUTION TO ADOPT AND APPROVE | For | Yes | For | Management |
|----|---|-----|-----|-----|------------|
|    | THE AMARIN CORPORATION PLC 2011 STOCK   |     |     |     |            |
|    | INCENTIVE PLAN.                         |     |     |     |            |

#### AMGEN INC.

| Security       | 031162100    |                 | Meeting Type              | Annual                 |
|----------------|--------------|-----------------|---------------------------|------------------------|
| Ticker Symbol  | AMGN         |                 | <b>Meeting Date</b>       | 23-May-2012            |
| ISIN           | US0311621009 |                 | Agenda                    | 933583937 - Management |
| Record Date    | 26-Mar-2012  |                 | <b>Holding Recon Date</b> | 26-Mar-2012            |
| City / Country |              | / United States | <b>Vote Deadline Date</b> | 22-May-2012            |
| SEDOL(s)       |              |                 | Quick Code                |                        |
|                |              |                 |                           |                        |

| Item | Proposal   | Mgt. Recommends | Voted | Vote Cast | Sponsor    |
|------|--|-----------------|-------|-----------|------------|
| 1A.  | ELECTION OF DIRECTOR: DR. DAVID BALTIMORE                | For             | Yes   | For       | Management |
| 1B.  | ELECTION OF DIRECTOR: MR. FRANK J. BIONDI, JR.           | For             | Yes   | For       | Management |
| 1C.  | ELECTION OF DIRECTOR: MR. ROBERT A.<br>BRADWAY           | For             | Yes   | For       | Management |
| 1D.  | ELECTION OF DIRECTOR: MR. FRANCOIS DE CARBONNEL          | For             | Yes   | For       | Management |
| 1E.  | ELECTION OF DIRECTOR: DR. VANCE D. COFFMAN               | For             | Yes   | For       | Management |
| 1F.  | ELECTION OF DIRECTOR: DR. REBECCA M.<br>HENDERSON        | For             | Yes   | For       | Management |
| 1G.  | ELECTION OF DIRECTOR: MR. FRANK C.<br>HERRINGER          | For             | Yes   | For       | Management |
| 1H.  | ELECTION OF DIRECTOR: DR. TYLER JACKS                    | For             | Yes   | For       | Management |
| 1I.  | ELECTION OF DIRECTOR: DR. GILBERT S. OMENN               | For             | Yes   | For       | Management |
| 1J.  | ELECTION OF DIRECTOR: MS. JUDITH C. PELHAM               | For             | Yes   | For       | Management |
| 1K.  | ELECTION OF DIRECTOR: ADM. J. PAUL REASON, USN (RETIRED) | For             | Yes   | For       | Management |
| 1L.  | ELECTION OF DIRECTOR: MR. LEONARD D. SCHAEFFER           | For             | Yes   | For       | Management |
| 1M.  | ELECTION OF DIRECTOR: MR. KEVIN W. SHARER                | For             | Yes   | For       | Management |

| 1N. | ELECTION OF DIRECTOR: DR. RONALD D. SUGAR   | For     | Yes | For     | Management  |
|-----|---|---------|-----|---------|-------------|
| 2.  | TO RATIFY THE SELECTION OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTANTS FOR THE FISCAL YEAR ENDING DECEMBER 31, 2012. | For     | Yes | For     | Management  |
| 3.  | ADVISORY VOTE TO APPROVE OUR EXECUTIVE COMPENSATION.  | For     | Yes | For     | Management  |
| 4.  | TO APPROVE AN AMENDMENT TO OUR RESTATED CERTIFICATE OF INCORPORATION TO AUTHORIZE STOCKHOLDER ACTION BY WRITTEN CONSENT.                    | For     | Yes | For     | Management  |
| 5A. | STOCKHOLDER PROPOSAL #1 (INDEPENDENT CHAIRMAN OF THE BOARD).  | Against | Yes | For     | Shareholder |
| 5B. | STOCKHOLDER PROPOSAL #2 (TRANSPARENCY IN ANIMAL USE).   | Against | Yes | Against | Shareholder |
| 5C. | STOCKHOLDER PROPOSAL #3 (REQUEST FOR DISCLOSURE OF LOBBYING POLICIES AND PRACTICES).  | Against | Yes | Against | Shareholder |
| 5D. | STOCKHOLDER PROPOSAL #4 (CEO TO SERVE ON A MAXIMUM OF ONE OTHER BOARD).   | Against | Yes | Against | Shareholder |

## BAXTER INTERNATIONAL INC.

| Securit  | ty                            | 071813109                    |                 | <b>Meeting Type</b> |       | Annual        |                    |
|----------|-------------------------------|------------------------------|-----------------|---------------------|-------|---------------|--------------------|
| Ticker   | Symbol                        | BAX                          |                 | <b>Meeting Date</b> |       | 08-May-2012   |                    |
| ISIN     |                               | US0718131099                 |                 | Agenda              |       | 933574736 - M | <b>I</b> anagement |
| Record   | l Date                        | 12-Mar-2012                  |                 | Holding Recon Da    | te    | 12-Mar-2012   |                    |
| City / C | Country                       |                              | / United States | Vote Deadline Date  | e     | 07-May-2012   |                    |
| SEDOL(s) |                               |                              |                 | Quick Code          |       |               |                    |
|          |                               |                              |                 |                     |       |               |                    |
| Item     |                               | Proposal                     |                 | Mgt. Recommends     | Voted | Vote Cast     | Sponsor            |
| 1A.      | ELECTION OF D<br>M.D., PH.D.  | IRECTOR: JAMES               | R. GAVIN III,   | For                 | Yes   | For           | Management         |
|          | ·                             |                              |                 |                     |       |               |                    |
| 1B.      | ELECTION OF D                 | OIRECTOR: PETER S            | S. HELLMAN      | For                 | Yes   | For           | Management         |
| 1C.      | ELECTION OF D                 | OIRECTOR: K.J. STC           | )RM             | For                 | Yes   | For           | Management         |
| 2.       | RATIFICATION PUBLIC ACCOU     | OF INDEPENDENT<br>NTING FIRM | REGISTERED      | For                 | Yes   | For           | Management         |
|          |                               |                              |                 |                     |       |               |                    |
| 3.       | APPROVAL OF N<br>COMPENSATION | NAMED EXECUTIV<br>N          | 'E OFFICER      | For                 | Yes   | For           | Management         |
|          |                               |                              |                 |                     |       |               |                    |

| 4. | SHAREHOLDER PROPOSAL TO REPEAL<br>CLASSIFIED BOARD | For | Yes | For | Shareholder |
|----|--|-----|-----|-----|-------------|
| 5. | SHAREHOLDER PROPOSAL TO ADOPT SIMPLE MAJORITY VOTE | For | Yes | For | Shareholder |

#### BIOGEN IDEC INC.

| Security | 09062X103 | Meeting Type | Annual |
|----------|-----------|--------------|--------|
|----------|-----------|--------------|--------|

Ticker Symbol BIIB Meeting Date 08-Jun-2012

ISIN US09062X1037 Agenda 933618843 - Management

**Record Date** 10-Apr-2012 **Holding Recon Date** 10-Apr-2012

City / Country / United States Vote Deadline Date 07-Jun-2012

SEDOL(s) Quick Code

| Item | Proposal   | Mgt. Recommends | Voted | Vote Cast | Sponsor    |
|------|--|-----------------|-------|-----------|------------|
| 1A.  | ELECTION OF DIRECTOR: CAROLINE D. DORSA  | For             | Yes   | For       | Management |
| 1B.  | ELECTION OF DIRECTOR: STELIOS<br>PAPADOPOULOS  | For             | Yes   | For       | Management |
| 1C.  | ELECTION OF DIRECTOR: GEORGE A. SCANGOS  | For             | Yes   | For       | Management |
| 1D.  | ELECTION OF DIRECTOR: LYNN SCHENK  | For             | Yes   | For       | Management |
| 1E.  | ELECTION OF DIRECTOR: ALEXANDER J. DENNER  | For             | Yes   | For       | Management |
| 1F.  | ELECTION OF DIRECTOR: NANCY L. LEAMING   | For             | Yes   | For       | Management |
| 1G.  | ELECTION OF DIRECTOR: RICHARD C. MULLIGAN  | For             | Yes   | For       | Management |
| 1H.  | ELECTION OF DIRECTOR: ROBERT W. PANGIA   | For             | Yes   | For       | Management |
| 1I.  | ELECTION OF DIRECTOR: BRIAN S. POSNER  | For             | Yes   | For       | Management |
| 1J.  | ELECTION OF DIRECTOR: ERIC K. ROWINSKY   | For             | Yes   | For       | Management |
| 1K.  | ELECTION OF DIRECTOR: STEPHEN A. SHERWIN   | For             | Yes   | For       | Management |
| 1L.  | ELECTION OF DIRECTOR: WILLIAM D. YOUNG   | For             | Yes   | For       | Management |
| 2.   | TO RATIFY THE SELECTION OF PRICEWATERHOUSECOOPERS LLP AS BIOGEN IDEC S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2012. | For             | Yes   | For       | Management |
| 3.   | SAY ON PAY - AN ADVISORY VOTE ON EXECUTIVE COMPENSATION.   | For             | Yes   | For       | Management |
| 4.   | TO APPROVE AN AMENDMENT TO BIOGEN IDEC S<br>AMENDED AND RESTATED CERTIFICATE OF<br>INCORPORATION ESTABLISHING DELAWARE AS<br>EXCLUSIVE FORUM FOR CERTAIN DISPUTES. | For             | Yes   | For       | Management |

5. TO APPROVE AN AMENDMENT TO BIOGEN IDEC S For Yes For Management SECOND AMENDED AND RESTATED BYLAWS
PERMITTING HOLDERS OF AT LEAST 25% OF COMMON STOCK TO CALL SPECIAL MEETINGS.

#### BRUKER CORPORATION

Security 116794108 **Meeting Type** Annual **Ticker Symbol** BRKR **Meeting Date** 18-May-2012 **ISIN** US1167941087 Agenda 933602636 - Management **Record Date** 30-Mar-2012 **Holding Recon Date** 30-Mar-2012 **Vote Deadline Date** City / Country / United States 17-May-2012

SEDOL(s) Quick Code

| Item |  | Proposal  | Mgt. Recommends | Voted | Vote Cast | Sponsor    |
|------|--|---|-----------------|-------|-----------|------------|
| 1.   | DIRECTOR                                 |   |                 |       |           |            |
|      | 1  | RICHARD D. KNISS  | For             | Yes   | For       | Management |
|      | 2  | JOERG C. LAUKIEN  | For             | Yes   | For       | Management |
|      | 3  | WILLIAM A. LINTON   | For             | Yes   | For       | Management |
|      | 4  | CHRIS VAN INGEN   | For             | Yes   | For       | Management |
| 2.   | CONFIRM AND APPRO<br>YOUNG LLP AS THE IN | CT UPON A PROPOSAL TO RATIFY, EVE THE SELECTION OF ERNST & EVELOPIENT REGISTERED PUBLIC F BRUKER CORPORATION FOR FISCAL | For             | Yes   | For       | Management |

## CARDIOKINETIX, INC.

Security N/A Meeting Type Action by Written Consent

Ticker Symbol N/A Date 14-Sep-11

| ] | Item Proposal   | Management<br>Recommends | Voted | Vote Cast | Sponsor    |
|---|---|--------------------------|-------|-----------|------------|
|   | 1 APPROVE THE AMENDMENT AND RESTATED CERTIFICATE OF INCORPORATION | For                      | Yes   | For       | Management |
|   | 2 APPROVE THE SALE AND ISSUANCE OF SERIES E PREFFERED STOCK       | For                      | Yes   | For       | Management |
|   | 3 APPROVE WAVIER OF RIGHT OF FIRST OFFER                          | For                      | Yes   | For       | Management |
|   | 4 APPROVE THE AMENDMENT TO THE 2002 STOCK PLAN                    | For                      | Yes   | For       | Management |

| 5 ELECT DIRECTORS PAUL LA VIOLETTE AND | For | Yes | For | Management |
|--|-----|-----|-----|------------|
| JEANI DELAGARDELLE                     |     |     |     |            |
| 6 APPROVE THE AMENDED AND RESTATED     | For | Yes | For | Management |
| FORM OF IDEMNIFICATION AGREEMENT       |     |     |     |            |
| 7 APPROVE AMENDMENTS TO THE BYLAWS     | For | Yes | For | Management |
| 8 APPROVE THE WAIVER OF ANTI-DILUTION  | For | Yes | For | Management |
| ADJUSTMENTS                            |     |     |     |            |
| 9 APPROVE OMNIBUS RESOLUTION           | For | Yes | For | Management |

#### CARDIOKINETIX, INC

Security N/A Meeting Type Action by Written Consent

Ticker Symbol N/A Date 28-Feb-12

| Item   | Proposal   | Management<br>Recommends | Voted | Vote Cast | Sponsor    |
|--------|--|--------------------------|-------|-----------|------------|
|        | FICATION OF THE AMENDMENT TO THE 2002<br>CK PLAN | For                      | Yes   | For       | Management |
|        | ROVE THE AMENDED AND RESTATED 2002<br>CK PLAN    | For                      | Yes   | For       | Management |
| 3 ADO  | PTION OF THE 2011 EQUITY INCENTIVE PLAN          | For                      | Yes   | For       | Management |
|        | IER ANTI-DILUTION AND PROTECTIVE<br>VISIONS      | For                      | Yes   | For       | Management |
| 5 WAV  | TER OF RIGHT OF FIRST OFFER                      | For                      | Yes   | For       | Management |
| 6 APPR | ROVE OMNIBUS RESOLUTION                          | For                      | Yes   | For       | Management |

#### **CELGENE CORPORATION**

Security 151020104 Meeting Type Annual

**Ticker Symbol** CELG **Meeting Date** 13-Jun-2012

**ISIN** US1510201049 **Agenda** 933620189 - Management

**Record Date** 18-Apr-2012 **Holding Recon Date** 18-Apr-2012

City / Country / United States Vote Deadline Date 12-Jun-2012

SEDOL(s) Quick Code

| Item Proposal |          | Mgt. Recommends       | Voted | Vote Cast | Sponsor |            |
|---------------|----------|-----------------------|-------|-----------|---------|------------|
| 1.            | DIRECTOR |                       |       |           |         |            |
|               |          |                       |       |           |         |            |
|               | 1        | ROBERT J. HUGIN       | For   | Yes       | For     | Management |
|               |          |                       |       |           |         |            |
|               | 2        | R.W. BARKER, D. PHIL. | For   | Yes       | For     | Management |
|               |          |                       |       |           |         |            |
|               | 3        | MICHAEL D. CASEY      | For   | Yes       | For     | Management |
|               |          |                       |       |           |         |            |
|               | 4        | CARRIE S. COX         | For   | Yes       | For     | Management |

| 5 | RODMAN L. DRAKE     | For | Yes | For | Management |
|---|---------------------|-----|-----|-----|------------|
| 6 | M.A. FRIEDMAN, M.D. | For | Yes | For | Management |
| 7 | GILLA KAPLAN, PH.D. | For | Yes | For | Management |
| , | ,                   |     |     |     | -          |
| 8 | JAMES J. LOUGHLIN   | For | Yes | For | Management |
| 9 | ERNEST MARIO, PH.D. | For | Yes | For | Management |

| 2. | RATIFICATION OF THE APPOINTMENT OF KPMG<br>LLP AS THE COMPANY S INDEPENDENT<br>REGISTERED PUBLIC ACCOUNTING FIRM FOR<br>THE FISCAL YEAR ENDING DECEMBER 31, 2012. | For     | Yes | For     | Management  |
|----|---|---------|-----|---------|-------------|
|    |   |         |     |         |             |
| 3. | APPROVAL OF AN AMENDMENT TO THE   | For     | Yes | For     | Management  |
|    | COMPANY S 2008 STOCK INCENTIVE PLAN.  |         |     |         |             |
|    |   |         |     |         |             |
| 4. | APPROVAL, BY NON-BINDING VOTE, OF   | For     | Yes | For     | Management  |
|    | EXECUTIVE COMPENSATION OF THE COMPANY S   | 5       |     |         |             |
|    | NAMED EXECUTIVE OFFICERS.   |         |     |         |             |
|    |   |         |     |         |             |
| 5. | STOCKHOLDER PROPOSAL DESCRIBED IN MORE  | Against | Yes | Against | Shareholder |
|    | DETAIL IN THE PROXY STATEMENT.  | ū       |     | - U     |             |

#### CELLADON CORPORATION

Security N/A Meeting Type Action by Written Consent

Ticker Symbol N/A Date 26-Apr-12

ItemProposalManagement RecommendsVotedVote CastSponsor1APPROVE THE AMENDMENT TO THE COMPANY S For 2012 EQUITY INCENTIVE PLAN.YesFor Management Mana

#### CERES, INC.

Security N/A Meeting Type Action by Written Consent

Ticker Symbol N/A Date 9-Dec-11

| It | em          | Proposal  | Management<br>Recommends | Voted | Vote Cast | Sponsor    |
|----|-------------|---|--------------------------|-------|-----------|------------|
|    | CER'        | ROVAL OF ALL AMENDMENTS TO THE TIFICATE OF AMENDMENT TO THE RESTATED TIFICATE OF INCORPORATION                              | For                      | Yes   | For       | Management |
|    |             | ROVAL OF THE SPONSORED RESARCH<br>EEMENT WITH TEXAS A&M UNIVERSITY<br>MU)   | For                      | Yes   | For       | Management |
|    | PUR         | ROVAL TO ISSUE TAMU WARRANT TO<br>CHASE SHARES OF THE CORPORATIONS S<br>IMON STOCK  | For                      | Yes   | For       | Management |
|    | SECU<br>THE | HORIZATION AND ISSUANCE OF ANY EQUITY URITY BY THE CORPORATION PURSUANT TO CORPORATION S RESTATED CERTIFICATE OF DRPORATION |                          | Yes   | For       | Management |

| 5 APPROVAL AND CONSENT TO THE ISSUANCE OF<br>WARRANTS TO PURCHASE UP TO AN<br>AGGREGATE OF 200,000 SHARES OF THE<br>CORPORATIONS COMMON STOCK TO TAMU  | For | Yes | For | Management |
|--|-----|-----|-----|------------|
| 6 APPROVAL THAT THE OFFICERS OF THE CORPORATION BE AUTHORIZED AND DIRECTED ON BEHALF OF THE CORPORATION, TO EXECUTE ALL DOCUMENTS AND TAKE SUCH FURTHER ACTION AS THEY DEEM NECESSARY, APPROPRIATE OR ADVISABLE. | For | Yes | For | Management |
| 7 CONVERTIBLE NOTE OFFERING WAIVER   | For | Yes | For | Management |
| 8 AUTHORIZATION TO ISSUE AND SELL CONVERTIBLE NOTES  | For | Yes | For | Management |
| 9 WAIVER AND CONSENT   | For | Yes | For | Management |

#### CERES, INC.

SEDOL(s)

Security N/A Meeting Type Annual

Ticker Symbol N/A Meeting Date 17-Jan-12

**Record Date** 14-Dec-11

| ] | Item Proposal  | Management<br>Recommends | Voted | Vote Cast | Sponsor    |
|---|--|--------------------------|-------|-----------|------------|
|   | 1 TO ELECT ELEVEN DIRECTORS TO SERVE UNTIL<br>THE 2013 ANNUAL STOCKHOLDERS MEETING | For                      | Yes   | For       | Management |
|   | 2 TO RATIFY KPMG AS INDEPENDENT ACCOUNTANTS FOR THE FISCAL YEAR.                   | For                      | Yes   | For       | Management |
|   | 3 TO CONSIDER OTHER SUCH BUSINESS AS MAY PROPERLY COME BEFORE THE MEETING          | For                      | Yes   | For       | Management |

#### COMMUNITY HEALTH SYSTEMS, INC.

Security 203668108 **Meeting Type** Annual **Ticker Symbol** CYH **Meeting Date** 15-May-2012 ISIN US2036681086 Agenda 933593433 - Management **Record Date** 23-Mar-2012 **Holding Recon Date** 23-Mar-2012 / United States **Vote Deadline Date** City / Country 14-May-2012

| 1.1 | ELECTION OF DIRECTOR: W. LARRY CASH   | For | Yes F | or Management |
|-----|---------------------------------------|-----|-------|---------------|
|     |                                       |     |       |               |
| 1.2 | ELECTION OF DIRECTOR: JOHN A. CLERICO | For | Yes F | or Management |
|     |                                       |     |       |               |

**Quick Code** 

| 1.3 | ELECTION OF DIRECTOR: JAMES S. ELY III   | For | Yes | For | Management |
|-----|--|-----|-----|-----|------------|
| 1.4 | ELECTION OF DIRECTOR: JOHN A. FRY  | For | Yes | For | Management |
| 1.5 | ELECTION OF DIRECTOR: WILLIAM NORRIS JENNINGS, M.D.  | For | Yes | For | Management |
| 1.6 | ELECTION OF DIRECTOR: JULIA B. NORTH   | For | Yes | For | Management |
| 1.7 | ELECTION OF DIRECTOR: WAYNE T. SMITH   | For | Yes | For | Management |
| 1.8 | ELECTION OF DIRECTOR: H. MITCHELL WATSON, JR.  | For | Yes | For | Management |
| 2.  | THE APPROVAL OF THE COMPENSATION OF THE COMPANY S NAMED EXECUTIVE OFFICERS.  | For | Yes | For | Management |
| 3.  | PROPOSAL TO RATIFY THE SELECTION OF DELOITTE & TOUCHE LLP AS THE COMPANY S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2012. | For | Yes | For | Management |

## CONCENTRIC MEDICAL, INC.

Security N/A Meeting Type Action by Written Consent

Ticker Symbol N/A Date 31-Aug-11

| Item Proposal   | Manag<br>Recomi |     | Vote Cast | Sponsor    |
|---|-----------------|-----|-----------|------------|
| 1 ADOPTION OF THE MERGER DOCUM  | MENTS For       | Yes | For       | Management |
| 2 CONSENTS AND TERMINATION OF AGREEMENTS  | OTHER For       | Yes | For       | Management |
| 3 CERTIFICATE OF AMENDMENT OF A<br>AND RESTATED CERTIFICATE OF<br>INCORPORATION | AMENDED For     | Yes | For       | Management |
| 4 OMNIBUS RESOLUTIONS   | For             | Yes | For       | Management |

#### COVANCE INC.

| Security       | 222816100    |                 | <b>Meeting Type</b>       | Annual                 |
|----------------|--------------|-----------------|---------------------------|------------------------|
| Ticker Symbol  | CVD          |                 | <b>Meeting Date</b>       | 08-May-2012            |
| ISIN           | US2228161004 |                 | Agenda                    | 933573049 - Management |
| Record Date    | 14-Mar-2012  |                 | <b>Holding Recon Date</b> | 14-Mar-2012            |
| City / Country |              | / United States | Vote Deadline Date        | 07-May-2012            |
| SEDOL(s)       |              |                 | Quick Code                |                        |

| 1. | DIRECTOR   |         |     |         |             |
|----|--|---------|-----|---------|-------------|
|    |  |         |     |         |             |
|    | 1 R. BARCHI, M.D., PH.D.                         | For     | Yes | For     | Management  |
|    |  |         |     |         |             |
|    | 2 JOSEPH C. SCODARI                              | For     | Yes | For     | Management  |
|    |  |         |     |         |             |
| 2. | ADVISORY APPROVAL OF THE COMPANY S EXECUTIVE     | For     | Yes | For     | Management  |
|    | COMPENSATION.                                    |         |     |         |             |
|    |  |         |     |         |             |
| 3. | APPROVAL OF THE NON-EMPLOYEE DIRECTORS DEFERRED  | For     | Yes | For     | Management  |
|    | STOCK PLAN.                                      |         |     |         |             |
|    |  |         |     |         |             |
| 4. | RATIFICATION OF APPOINTMENT OF ERNST & YOUNG LLC | For     | Yes | For     | Management  |
|    | FOR THE FISCAL YEAR 2012.                        |         |     |         |             |
|    |  |         |     |         |             |
| 5. | SHAREHOLDER PROPOSAL SUBMITTED BY PETA TO        | Against | Yes | Against | Shareholder |
|    | REQUIRE ANNUAL REPORTS BY THE BOARD OF DIRECTORS |         |     |         |             |
|    | PERTAINING TO CITATIONS UNDER THE ANIMAL WELFARE |         |     |         |             |
|    | ACT.   |         |     |         |             |

## CVS CAREMARK CORPORATION

| Security           | 126650100    |                 | <b>Meeting Type</b>       | Annual                 |
|--------------------|--------------|-----------------|---------------------------|------------------------|
| Ticker Symbol      | CVS          |                 | <b>Meeting Date</b>       | 10-May-2012            |
| ISIN               | US1266501006 |                 | Agenda                    | 933577011 - Management |
| <b>Record Date</b> | 13-Mar-2012  |                 | <b>Holding Recon Date</b> | 13-Mar-2012            |
| City / Country     |              | / United States | <b>Vote Deadline Date</b> | 09-May-2012            |
| SEDOL(s)           |              |                 | Quick Code                |                        |
|                    |              |                 |                           |                        |

| 1.A | ELECTION OF DIRECTOR: C. DAVID BROWN II | For  | Yes | For | Management   |
|-----|---|------|-----|-----|--------------|
|     |   |      |     |     |              |
| 1.B | ELECTION OF DIRECTOR: DAVID W. DORMAN   | For  | Yes | For | Management   |
|     |   |      |     |     |              |
| 1.C | ELECTION OF DIRECTOR: ANNE M. FINUCANE  | For  | Yes | For | Management   |
|     |   |      |     |     |              |
| 1.D | ELECTION OF DIRECTOR: KRISTEN GIBNEY    | For  | Yes | For | Management   |
|     | WILLIAMS                                |      |     |     |              |
| 1.D |   | 1 01 | 103 | 101 | 141anagement |

| 1.E | ELECTION OF DIRECTOR: MARIAN L. HEARD  | For | Yes | For     | Management  |
|-----|--|-----|-----|---------|-------------|
| 1.F | ELECTION OF DIRECTOR: LARRY J. MERLO   | For | Yes | For     | Management  |
| 1.G | ELECTION OF DIRECTOR: JEAN-PIERRE MILLON   | For | Yes | For     | Management  |
| 1.H | ELECTION OF DIRECTOR: C.A. LANCE PICCOLO   | For | Yes | For     | Management  |
| 1.I | ELECTION OF DIRECTOR: RICHARD J. SWIFT   | For | Yes | For     | Management  |
| 1.J | ELECTION OF DIRECTOR: TONY L. WHITE  | For | Yes | For     | Management  |
| 2.  | RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS THE COMPANY S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2012. | For | Yes | For     | Management  |
| 3.  | PROPOSAL TO APPROVE THE COMPANY S EXECUTIVE COMPENSATION AS DISCLOSED IN THE PROXY STATEMENT.                        | For | Yes | For     | Management  |
| 4.  | MANAGEMENT PROPOSAL REGARDING STOCKHOLDER ACTION BY WRITTEN CONSENT.   | For | Yes | For     | Management  |
| 5.  | STOCKHOLDER PROPOSAL REGARDING POLITICAL CONTRIBUTIONS AND EXPENDITURES.   | For | Yes | Against | Shareholder |

## DENDREON CORPORATION

| Security       | 24823Q107    |                 | <b>Meeting Type</b>       | Annual                 |
|----------------|--------------|-----------------|---------------------------|------------------------|
| Ticker Symbol  | DNDN         |                 | <b>Meeting Date</b>       | 13-Jun-2012            |
| ISIN           | US24823Q1076 |                 | Agenda                    | 933624303 - Management |
| Record Date    | 18-Apr-2012  |                 | <b>Holding Recon Date</b> | 18-Apr-2012            |
| City / Country |              | / United States | <b>Vote Deadline Date</b> | 12-Jun-2012            |
| SEDOL(s)       |              |                 | Quick Code                |                        |

| 1.1 | ELECTION OF DIRECTOR: JOHN H. JOHNSON         | For | Yes | For | Management |
|-----|---|-----|-----|-----|------------|
| 1.2 | ELECTION OF DIRECTOR: SUSAN B. BAYH           | For | Yes | For | Management |
| 1.2 | ELECTION OF DIRECTOR, SUSAN B. BATTI          | 101 | 168 | 101 | Management |
| 1.3 | ELECTION OF DIRECTOR: DENNIS M. FENTON, PH.D. | For | Yes | For | Management |
|     |   |     |     |     |            |
| 1.4 | ELECTION OF DIRECTOR: DAVID L. URDAL, PH.D.   | For | Yes | For | Management |
| 2.  |   | For | Yes | For | Management |

|    | TO APPROVE AN AMENDMENT TO THE            |     |     |     |            |
|----|---|-----|-----|-----|------------|
|    | DENDREON CORPORATION 2009 EQUITY          |     |     |     |            |
|    | INCENTIVE PLAN TO INCREASE THE NUMBER OF  |     |     |     |            |
|    | SHARES AUTHORIZED FOR ISSUANCE            |     |     |     |            |
|    | THEREUNDER FROM 13,200,000 TO 22,200,000. |     |     |     |            |
|    |   |     |     |     |            |
| 3. | TO APPROVE, ON AN ADVISORY BASIS, THE     | For | Yes | For | Management |
|    | COMPENSATION OF THE COMPANY S NAMED       |     |     |     |            |
|    | EXECUTIVE OFFICERS.                       |     |     |     |            |
|    |   |     |     |     |            |
| 4. | TO RATIFY THE SELECTION OF ERNST & YOUNG  | For | Yes | For | Management |
|    | LLP AS THE COMPANY S INDEPENDENT          |     |     |     |            |
|    | REGISTERED PUBLIC ACCOUNTING FIRM FOR     |     |     |     |            |
|    | THE FISCAL YEAR ENDING DECEMBER 31, 2012. |     |     |     |            |

## ELAN CORPORATION, PLC

| Security       | 284131208    |                 | Meeting Type              | Annual                 |
|----------------|--------------|-----------------|---------------------------|------------------------|
| Ticker Symbol  | ELN          |                 | <b>Meeting Date</b>       | 24-May-2012            |
| ISIN           | US2841312083 |                 | Agenda                    | 933623046 - Management |
| Record Date    | 16-Apr-2012  |                 | <b>Holding Recon Date</b> | 16-Apr-2012            |
| City / Country |              | / United States | Vote Deadline Date        | 17-May-2012            |
| SEDOL(s)       |              |                 | Quick Code                |                        |

| 01  | TO RECEIVE AND CONSIDER THE FINANCIAL STATEMENTS, DIRECTORS REPORT AND AUDITORS REPORT FOR THE YEAR ENDED 31 DECEMBER 2011. | For | Yes | For | Management |
|-----|---|-----|-----|-----|------------|
| O2  | TO RE-ELECT DR. LARS EKMAN.   | For | Yes | For | Management |
| О3  | TO ELECT MR. HANS PETER HASLER.   | For | Yes | For | Management |
| O4  | TO RE-ELECT MR. ROBERT INGRAM.  | For | Yes | For | Management |
| O5  | TO RE-ELECT MR. GARY KENNEDY.   | For | Yes | For | Management |
| O6  | TO RE-ELECT MR. PATRICK KENNEDY.  | For | Yes | For | Management |
| O7  | TO RE-ELECT MR. GILES KERR.   | For | Yes | For | Management |
| O8  | TO RE-ELECT MR. KELLY MARTIN.   | For | Yes | For | Management |
| O9  | TO RE-ELECT MR. KIERAN MCGOWAN.   | For | Yes | For | Management |
| O10 | TO RE-ELECT MR. KYRAN MCLAUGHLIN.   | For | Yes | For | Management |
| 011 | TO RE-ELECT MR. DONAL O CONNOR.   | For | Yes | For | Management |
| O12 | TO RE-ELECT MR. RICHARD PILNIK.   | For | Yes | For | Management |

| O13 | TO RE-ELECT DR. DENNIS SELKOE.        | For | Yes For | Management |
|-----|---------------------------------------|-----|---------|------------|
|     |                                       |     |         |            |
| O14 | TO ELECT DR. ANDREW VON ESCHENBACH.   | For | Yes For | Management |
|     |                                       |     |         |            |
| O15 | TO AUTHORISE THE DIRECTORS TO FIX THE | For | Yes For | Management |
|     | REMUNERATION OF THE AUDITORS.         |     |         |            |

| O16 | TO APPROVE AND ADOPT THE ELAN CORPORATION, PLC 2012 LONG TERM INCENTIVE PLAN.                        | For | Yes | For     | Management |
|-----|--|-----|-----|---------|------------|
| O17 | TO APPROVE AND ADOPT THE ELAN<br>CORPORATION, PLC EMPLOYEE EQUITY<br>PURCHASE PLAN (2012 AMENDMENT). | For | Yes | For     | Management |
| O18 | TO AUTHORISE THE DIRECTORS TO ALLOT AND ISSUE RELEVANT SECURITIES.                                   | For | Yes | For     | Management |
| S19 | TO AUTHORISE THE DISAPPLICATION OF PRE-EMPTION RIGHTS.   | For | Yes | For     | Management |
| S20 | TO REDUCE THE COMPANY S SHARE CAPITAL.   | For | Yes | For     | Management |
| S21 | TO CONVERT THE EXECUTIVE SHARES TO REDEEMABLE EXECUTIVE SHARES.                                      | For | NA  | Abstain | Management |
| S22 | TO AMEND THE ARTICLES OF ASSOCIATION.  | For | NA  | Abstain | Management |
| S23 | TO AUTHORISE THE COMPANY TO MAKE MARKET PURCHASES OF ITS OWN SHARES.                                 | For | Yes | For     | Management |
| S24 | TO SET THE RE-ISSUE PRICE RANGE FOR TREASURY SHARES.   | For | Yes | For     | Management |
| S25 | TO RETAIN A 14 DAY NOTICE PERIOD FOR EXTRAORDINARY GENERAL MEETINGS.                                 | For | Yes | For     | Management |

# ENDO PHARMACEUTICALS HOLDINGS INC.

| Security       | 29264F205    |                 | <b>Meeting Type</b>       | Annual                 |
|----------------|--------------|-----------------|---------------------------|------------------------|
| Ticker Symbol  | ENDP         |                 | <b>Meeting Date</b>       | 23-May-2012            |
| ISIN           | US29264F2056 |                 | Agenda                    | 933623957 - Management |
| Record Date    | 09-Apr-2012  |                 | <b>Holding Recon Date</b> | 09-Apr-2012            |
| City / Country |              | / United States | <b>Vote Deadline Date</b> | 22-May-2012            |
| SEDOL(s)       |              |                 | Quick Code                |                        |

| 1B. ELECTION OF DIRECTOR: JOHN J. DELUCCA For Yes For Manager  |   |
|--|---|
|  | ROGER H. KIMMEL For Yes For Management  |
|  |   |
| 1C. ELECTION OF DIRECTOR: DAVID P. HOLVECK For Yes For Manager | JOHN J. DELUCCA For Yes For Management  |
| 1C. ELECTION OF DIRECTOR: DAVID P. HOLVECK For Yes For Manager |   |
|  | DAVID P. HOLVECK For Yes For Management |
|  |   |
| 1D. ELECTION OF DIRECTOR: NANCY J. HUTSON, For Yes For Manager | NANCY J. HUTSON, For Yes For Management |
| PH.D.  | ·                                       |

| 1E. | ELECTION OF DIRECTOR: MICHAEL HYATT   | For | Yes | For | Management |
|-----|---|-----|-----|-----|------------|
| 4.5 |   |     |     | _   |            |
| 1F. | ELECTION OF DIRECTOR: WILLIAM P. MONTAGUE   | For | Yes | For | Management |
| 1G. | ELECTION OF DIRECTOR: DAVID B. NASH, M.D., M.B.A.   | For | Yes | For | Management |
| 1H. | ELECTION OF DIRECTOR: JOSEPH C. SCODARI   | For | Yes | For | Management |
| 1I. | ELECTION OF DIRECTOR: WILLIAM F. SPENGLER   | For | Yes | For | Management |
| 2.  | TO RATIFY THE APPOINTMENT OF DELOITTE & TOUCHE LLP AS THE COMPANY S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2012.                    | For | Yes | For | Management |
| 3.  | TO APPROVE, BY ADVISORY VOTE, NAMED EXECUTIVE OFFICER COMPENSATION.   | For | Yes | For | Management |
| 4.  | TO APPROVE THE AMENDMENT AND RESTATEMENT TO THE COMPANY S AMENDED AND RESTATED CERTIFICATE OF INCORPORATION TO CHANGE THE NAME OF THE COMPANY TO ENDO HEALTH SOLUTIONS INC. | For | Yes | For | Management |

## EXPRESS SCRIPTS HOLDING COMPANY

| Security       | 30219G108    |                 | <b>Meeting Type</b>       | Annual                 |
|----------------|--------------|-----------------|---------------------------|------------------------|
| Ticker Symbol  | ESRX         |                 | <b>Meeting Date</b>       | 30-May-2012            |
| ISIN           | US30219G1085 |                 | Agenda                    | 933610001 - Management |
| Record Date    | 11-Apr-2012  |                 | <b>Holding Recon Date</b> | 11-Apr-2012            |
| City / Country |              | / United States | <b>Vote Deadline Date</b> | 29-May-2012            |
| SEDOL(s)       |              |                 | Quick Code                |                        |

| 1A. | ELECTION OF DIRECTOR: GARY G. BENANAV    | For | Yes | For | Management |
|-----|--|-----|-----|-----|------------|
|     |  |     |     |     |            |
| 1B. | ELECTION OF DIRECTOR: MAURA C. BREEN     | For | Yes | For | Management |
|     |  |     |     |     |            |
| 1C. | ELECTION OF DIRECTOR: WILLIAM J. DELANEY | For | Yes | For | Management |
|     |  |     |     |     |            |
| 1D. | ELECTION OF DIRECTOR: NICHOLAS J.        | For | Yes | For | Management |
|     | LAHOWCHIC                                |     |     |     |            |
|     |  |     |     |     |            |
| 1E. | ELECTION OF DIRECTOR: THOMAS P. MAC      | For | Yes | For | Management |
|     | MAHON                                    |     |     |     |            |
| 45  | DI EGMINI DE DIDEGMOD ED LIVI            | -   |     | _   |            |
| 1F. | ELECTION OF DIRECTOR: FRANK              | For | Yes | For | Management |
|     | MERGENTHALER                             |     |     |     |            |
|     |  |     |     |     |            |
|     |  |     |     |     |            |

1G. ELECTION OF DIRECTOR: WOODROW A. MYERS, For Yes For Management JR., MD

| 1H. | ELECTION OF DIRECTOR: JOHN O. PARKER, JR.   | For     | Yes | For     | Management  |
|-----|---|---------|-----|---------|-------------|
| 1I. | ELECTION OF DIRECTOR: GEORGE PAZ  | For     | Yes | For     | Management  |
| 1J. | ELECTION OF DIRECTOR: MYRTLE S. POTTER  | For     | Yes | For     | Management  |
| 1K. | ELECTION OF DIRECTOR: WILLIAM L. ROPER, MD, MPH   | For     | Yes | For     | Management  |
| 1L. | ELECTION OF DIRECTOR: SAMUEL K. SKINNER   | For     | Yes | For     | Management  |
| 1M. | ELECTION OF DIRECTOR: SEYMOUR STERNBERG   | For     | Yes | For     | Management  |
| 2.  | TO RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS THE COMPANY S INDEPENDENT REGISTERED PUBLIC ACCOUNTANTS FOR THE COMPANY S CURRENT FISCAL YEAR. | For     | Yes | For     | Management  |
| 3.  | TO APPROVE, BY NON-BINDING VOTE, EXECUTIVE COMPENSATION.  | For     | Yes | For     | Management  |
| 4.  | STOCKHOLDER PROPOSAL REGARDING REPORT ON POLITICAL CONTRIBUTIONS.   | Against | Yes | Against | Shareholder |
| 5.  | STOCKHOLDER PROPOSAL REGARDING<br>STOCKHOLDER ACTION BY WRITTEN CONSENT.  | Against | Yes | Against | Shareholder |

## EXPRESS SCRIPTS, INC.

| Security       | <b>y</b>  | 302182100   | Meeting Type              |                | Special               |                     |
|----------------|---|---|---------------------------|----------------|-----------------------|---------------------|
| Ticker Symbol  |   | ESRX  | <b>Meeting Date</b>       |                | 21-Dec-2011           |                     |
| ISIN           |   | US3021821000  | Agenda                    |                | 933528397 - Managemen |                     |
| Record Date    |   | 04-Nov-2011   | <b>Holding Recon Date</b> |                | 04-Nov-2011           |                     |
| City / Country |   | / United States   | <b>Vote Deadline Date</b> |                | 20-Dec-20             | 011                 |
| SEDOL(s)       |   |   | Quick Code                |                |                       |                     |
|                |   |   |                           |                |                       |                     |
| <b>T</b> 4     |   | D 1   | Mar Danier L              | <b>X</b> 7.4.1 | W. A. C. A            | 0                   |
| Item           |   | Proposal  | Mgt. Recommends           | Voted          | Vote Cast             | Sponsor             |
| Item<br>01     | DATED AS OF JULY<br>NOVEMBER 7, 2011<br>FROM TIME TO TIM<br>SCRIPTS, INC., MED<br>ARISTOTLE HOLDI                       | Proposal  REEMENT AND PLAN OF MERGER,  Z 20, 2011, AS AMENDED ON  AND AS IT MAY BE AMENDED  ME, BY AND AMONG EXPRESS  DCO HEALTH SOLUTIONS, INC.,  NG, INC., ARISTOTLE MERGER  ATO MERGER SUB, INC. |                           | Voted<br>Yes   | Vote Cast For         | Sponsor  Management |
|                | DATED AS OF JULY<br>NOVEMBER 7, 2011<br>FROM TIME TO TIM<br>SCRIPTS, INC., MED<br>ARISTOTLE HOLDI<br>SUB, INC., AND PLA | REEMENT AND PLAN OF MERGER, 7 20, 2011, AS AMENDED ON AND AS IT MAY BE AMENDED ME, BY AND AMONG EXPRESS OCO HEALTH SOLUTIONS, INC., NG, INC., ARISTOTLE MERGER                                      |                           |                |                       | •                   |

MEETING BY EXPRESS SCRIPTS STOCKHOLDERS (IF

IT IS NECESSARY OR APPROPRIATE TO SOLICIT ADDITIONAL PROXIES IF THERE ARE NOT SUFFICIENT VOTES TO ADOPT THE MERGER AGREEMENT).

#### FOREST LABORATORIES, INC.

Security 345838106 **Meeting Type** Contested-Annual **Ticker Symbol** FRX **Meeting Date** 18-Aug-2011 ISIN US3458381064 Agenda 933490574 - Management **Record Date** 24-Jun-2011 **Holding Recon Date** 24-Jun-2011 **Vote Deadline Date** 17-Aug-2011 / United States City / Country

SEDOL(s) Quick Code

| Item |          | Proposal                 | Mgt. Recommends | Voted | Vote Cast | Sponsor    |
|------|----------|--------------------------|-----------------|-------|-----------|------------|
| 01   | DIRECTOR |                          |                 |       |           |            |
|      |          |                          |                 |       |           |            |
|      | 1        | HOWARD SOLOMON           | For             | Yes   | For       | Management |
|      |          |                          |                 |       |           |            |
|      | 2        | NESLI BASGOZ, M.D.       | For             | Yes   | For       | Management |
|      |          | avniamonven i acciari ii | -               |       | _         | 2.6        |
|      | 3        | CHRISTOPHER J. COUGHLIN  | For             | Yes   | For       | Management |
|      | 4        | DANI COLDWAGGED          | Г               | 37    | Г         | M          |
|      | 4        | DAN L. GOLDWASSER        | For             | Yes   | For       | Management |
|      | 5        | KENNETH E. GOODMAN       | For             | Yes   | For       | Management |
|      | 3        | KLIWLIII E. GOODWINIV    | 1 01            | 103   | 101       | Management |
|      | 6        | GERALD M. LIEBERMAN      | For             | Yes   | For       | Management |
|      | -        |                          |                 |       |           | g          |
|      | 7        | L.S. OLANOFF, M.D, PH.D  | For             | Yes   | For       | Management |
|      |          |                          |                 |       |           |            |
|      | 8        | LESTER B. SALANS, M.D.   | For             | Yes   | For       | Management |
|      |          |                          |                 |       |           |            |
|      | 9        | BRENTON L. SAUNDERS      | For             | Yes   | For       | Management |