### Edgar Filing: CARPENTER TECHNOLOGY CORP - Form 4/A

#### CARPENTER TECHNOLOGY CORP

Form 4/A May 02, 2005

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* **ROSSIN ADA E** 

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

CARPENTER TECHNOLOGY CORP [CRS]

(Check all applicable)

Right to nominate Director

C/O PNC ADVISORS -

(First)

(Middle)

HAWTHORN, 249 FIFTH

3. Date of Earliest Transaction

(Month/Day/Year) 04/27/2005

Director 10% Owner \_\_X\_ Other (specify Officer (give title below) below)

**AVENUE, 2ND FLOOR** 

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

04/29/2005

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

PITTSBURGH, PA 15222

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed 3. Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned Following Reported

7. Nature of Ownership Form: Direct (D) or Indirect (I)

(Instr. 4)

Indirect Beneficial Ownership (Instr. 4)

(A)

Transaction(s)

(Instr. 3 and 4)

Common Stock

Code V Amount (D) Price

54,422 I Co-Trustee

Common Stock

54,422

Ι

Co-Trustee (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

**SEC 1474** (9-02)

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	ate	Amount of		Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ing	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8) Derivative				Securities		(Instr. 5)	Bene
	Derivative				Securities Acquired (A) or			(Instr. 3	and 4)		Own
	Security										Follo
	•										Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3, 4, and 5)						
								Λ.	mount		
									mount		
						Date	Expiration Date	Title N	r Jumber		
						Exercisable		Title Numb			
				C + V	(A) (D)						
			Code V (A) (D)					S	hares		

# **Reporting Owners**

Relationships Reporting Owner Name / Address Officer Other Director 10% Owner

**ROSSIN ADA E** C/O PNC ADVISORS - HAWTHORN 249 FIFTH AVENUE, 2ND FLOOR PITTSBURGH, PA 15222

Right to nominate Director

### **Signatures**

John A. Martin, Attorney in Fact for Ada E. Rossin

05/02/2005

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Indirect ownership as co-trustee of trusts created pursuant to an irrevocable Deed of Trust dated July 12, 1989 (Peter C. and Ada E. Rossin, Grantors) for the benefit of E. L. Stephans. The reporting person disclaims beneficial ownership of these securities and the filing of this form 4/4A is not an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16, or for any other purposes.
- Indirect ownership as co-trustee of trusts created pursuant to an irrevocable Deed of Trust dated July 12, 1989 (Peter C. and Ada E. Rossin, Grantors) for the benefit of K. R. Stephans. The reporting person disclaims beneficial ownership of these securities and the filing of this Form 4/4A is not an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16, or for any other purposes.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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