

UNITED TECHNOLOGIES CORP /DE/  
Form 8-K  
August 01, 2002

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION**  
Washington, D.C. 20549

**FORM 8-K**  
Current Report Pursuant to Section 13 or 15(d) of  
The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): August 1, 2002

**UNITED TECHNOLOGIES CORPORATION**  
(exact name of registrant as specified in its charter)

|   |                                   |   |
|---|-----------------------------------|---|
| Delaware<br>(State of other jurisdiction of<br>incorporation or organization) | 1-812<br>(Commission File Number) | 06-0570975<br>(I.R.S. Employer Identification<br>No.) |
|---|-----------------------------------|---|

United Technologies Building, One Financial Plaza  
Hartford, Connecticut 06103  
(Address of Principal executive offices, including Zip Code)

Registrant's telephone number, including area code  
(860) 728-7000

N/A  
(Former name or former address, if changed since last report)

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**Item 9. Other Events**

On August 1, 2002, George David, Chairman and Chief Executive Officer of United Technologies Corporation (the "Corporation"), and David J. FitzPatrick, Senior Vice President, Chief Financial Officer of

the Corporation, each filed with Securities and Exchange Commission (the "SEC") a statement under oath regarding facts and circumstances relating to the Securities Exchange Act filings, as required by the SEC's Order Requiring the Filing of Sworn Statements Pursuant to Section 21(a)(1) of the Securities Exchange Act of 1934 (File No. 4-460, June 27, 2002).

**Item 7. Exhibits**

The following are annexed as Exhibits:

| <b>Exhibit Number</b> | <b>Description</b>  |
|-----------------------|---|
| 99(i)                 | Statement Under Oath of George David, Chairman and Chief Executive Officer of United Technologies Corporation, Regarding Facts and Circumstances Relating to Exchange Act Filings                   |
| 99(ii)                | Statement Under Oath of David J. FitzPatrick, Senior Vice President, Chief Financial Officer of United Technologies Corporation, Regarding Facts and Circumstances Relating to Exchange Act Filings |

**SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this Report to be signed on its behalf by the undersigned hereunto duly authorized.

**UNITED TECHNOLOGIES CORPORATION  
(Registrant)**

By: /s/ William H. Trachsel  
William H. Trachsel  
Senior Vice President, General Counsel  
and Secretary

Date: August 1, 2002

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**INDEX TO EXHIBITS**

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