

# Edgar Filing: DUNES HOTELS & CASINOS INC - Form 5

DUNES HOTELS & CASINOS INC

Form 5

February 13, 2002

U. S. SECURITIES AND EXCHANGE COMMISSION

FORM 5

☒ Check box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue.

Washington, D.C. 20549

See instruction 1(b).

☐ Form 3 Holdings Reported.

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERS

☐ Form 4 Transactions Reported.

Filed pursuant to Section 16(a) of the Securities Exchange Act of  
Utility Holding Company Act of 1935 or Section 30(f) of the In

1. Name and Address of  
Reporting Person\*

2. Issuer Name and Ticker or Trading Symbol

6. Re  
Is

Dunes Hotels and Casinos, Inc.

X Di

(Last) (First) (Middle)

3. IRS or Social Security  
Number of Reporting  
Person (Voluntary)

4. Statement for  
Month/Year

Of  
(g  
b

Viets, Paul H.

12/2001

(Street)

5. If Amendment, Date of  
Original (Month/Year)

7. Indi  
(Che

125 N. Penn

X Fo  
--- Pe  
--- Fo  
Re

(City) (State) (Zip)

Table 1 - Non-Derivative Securities Acquired, Disposed of

Independence, KS 67301

1. Title of Security  
(Instr. 3)

2. Trans-  
action  
date:  
(Month/  
Day/  
Year)

3. Trans-  
action  
Code  
(Instr. 8)

4. Securities Acquired (A)  
or Disposed of (D)  
(Instr. 3, 4 and 5)

5. Amount of  
Securities  
Beneficial  
Owned at  
End of Iss  
Fiscal Yea  
(Instr. 3  
and 4)

Amount (A) or Price  
(D)

No securit  
beneficially

# Edgar Filing: DUNES HOTELS & CASINOS INC - Form 5

\* If the Form is filed by more than one Reporting Person, see instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Re

FORM 5 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned options, convertible securities)

| 1.Title of<br>Derivative<br>Security<br>(Inst. 3) | 2.Conver-<br>sion or<br>Exercise<br>Price of<br>Deriv-<br>ative<br>Security | 3.Trans-<br>action<br>Date<br>(Month/<br>Day/<br>Year) | 4.Transac-<br>tion Code<br>(Instr. 8) | 5.Number of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr.3,<br>4, and 5) | 6.Date<br>Exercisable<br>and<br>Expiration<br>Date<br>(Month/Day/<br>Year) | 7.Title<br>and Amount<br>of<br>Underlying<br>Securities<br>(Instr. 3<br>and 4) | 8.Price of<br>Derivativ<br>Security<br>(Instr. 5) |
|---------------------------------------------------|-----------------------------------------------------------------------------|--------------------------------------------------------|---------------------------------------|---------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------------|---------------------------------------------------|
|---------------------------------------------------|-----------------------------------------------------------------------------|--------------------------------------------------------|---------------------------------------|---------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------------|---------------------------------------------------|

| (A) | (D) | Date<br>Exer-<br>cisable | Expira-<br>tion Date | Title | Amount or<br>Number of<br>Shares |
|-----|-----|--------------------------|----------------------|-------|----------------------------------|
|-----|-----|--------------------------|----------------------|-------|----------------------------------|

Explanation of Responses:

## Edgar Filing: DUNES HOTELS & CASINOS INC - Form 5

/s/ Paul H. Viets

-----  
Paul H. Viets

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations  
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which  
must be manually signed. If space provided is  
insufficient, see Instruction 6 for procedure.