#### HUDSON TECHNOLOGIES INC /NY

Form 4/A August 30, 2016

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**OMB APPROVAL** 

3235-0287

January 31,

2005

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading HARKINS CHARLES F Issuer Symbol **HUDSON TECHNOLOGIES INC** (Check all applicable) /NY [HDSN] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) 39 BARTELMASS LANE 08/22/2016 Vice President Sales (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person 08/24/2016 Form filed by More than One Reporting Person

HAMPSTEAD, NH 03841

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative S	ecurit	ies Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) oner Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	08/22/2016		M	160,000	A	\$ 3.23	260,000 (1)	D	
Common Stock	08/22/2016		M	125,000	D	\$ 5.472 (2)	135,000 (1)	D	
Common Stock	08/23/2016		M	35,000	D	\$ 5.7	100,000 (1)	D	
Common Stock	08/23/2016		M	100,000	A	\$ 3.79	100,000	D	
Common Stock	08/23/2016		M	80,000	D	\$ 6.17 (3)	20,000	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Stock Option (Right to Buy)	\$ 3.23	08/22/2016		M		160,000	10/01/2014	10/01/2017	Common Stock	160,000
Stock Option (Right to	\$ 3.79	08/23/2016		M		100,000	07/18/2016	07/18/2019	Common Stock	100,000

#### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

HARKINS CHARLES F 39 BARTELMASS LANE HAMPSTEAD, NH 03841

Vice President Sales

### **Signatures**

/s/ Charles F. 08/30/2016 Harkins

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 100,000 shares which may be purchased pursuant to stock options.
- (2) Sales reported reflect average selling price. Selling price for all shares sold during the day ranged from \$5.47 per share to \$5.48 per share.
- (3) Sales reported reflect average selling price. Selling price for all shares sold during the day ranged from \$6.15 per share to \$6.18 per share.

Reporting Owners 2

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