### Edgar Filing: HUDSON TECHNOLOGIES INC /NY - Form 4

#### HUDSON TECHNOLOGIES INC /NY

Form 4

December 11, 2015

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * ZUGIBE KEVIN J			2. Issuer Name <b>and</b> Ticker or Trading Symbol HUDSON TECHNOLOGIES INC /NY [HDSN]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
			/NT [HDSN]			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	X Director 10% Owner Other (specify		
PO BOX 754			12/09/2015	below) below) Chief Executive Officer		
(Street) PEARL RIVER, NY 10965			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting		
				Person		
(City)	(State)	(Zip)	Table I Non Danivative Securities Acc	quired Disposed of an Panaficially Owned		

(- 3)	()	` 1' I abi	e I - Non-L	erivative So	ecuriti	es Acqu	iirea, Disposea oi	, or Beneficiali	y Ownea
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securitie on(A) or Disp (Instr. 3, 4	oosed of and 5)	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common	12/00/2015		Code V	Amount	or (D)	Price \$	(Instr. 3 and 4)	D	
Stock	12/09/2015		M	123,750	A	1.76	4,663,128 <u>(1)</u>	D	
Common Stock	12/09/2015		F	73,335	D	\$ 2.97	4,589,793 (1)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Stock Option (Right to Buy)	\$ 1.76	12/09/2015		M		123,750	12/29/2005	12/29/2015	Common Stock	123,75

Deletionship

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
ZUGIBE KEVIN J						

**PO BOX 754** X Chief Executive Officer

PEARL RIVER, NY 10965

# **Signatures**

Kevin J. Zugibe 12/11/2015 \*\*Signature of Date Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 597,300 shares which may be purchased pursuant to stock options.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2