

RABINOWITZ STEPHEN

Form 4

July 26, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
RABINOWITZ STEPHEN

2. Issuer Name and Ticker or Trading Symbol
COLUMBUS MCKINNON CORP
[CMCO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
205 CROSSPOINT PARKWAY
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
07/24/2018

____ Director
____ Officer (give title below) 10% Owner
 Other (specify below)
Former Director

GETZVILLE, NY 14068

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock					44,831	D	
Common Stock	07/24/2018		M	383 ⁽¹⁾ A \$ 0	45,214	D	
Common Stock	07/24/2018		M	379 ⁽²⁾ A \$ 0	45,593	D	
Common Stock	07/24/2018		M	752 ⁽³⁾ A \$ 0	46,345	D	
Common Stock	07/24/2018		M	1,500 ⁽⁴⁾ A \$ 0	47,845	D	

Edgar Filing: RABINOWITZ STEPHEN - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	(5)	07/24/2018		M	383.1428	(1)	(1)	Common Stock	383.1428
Restricted Stock Units	(5)	07/24/2018		M	379.4785	(2)	(2)	Common Stock	379.4785
Restricted Stock Units	(5)	07/24/2018		M	753.6298	(3)	(3)	Common Stock	753.6298
Common Stock	(5)	07/24/2018		M	1,500	(4)	(4)	Common Stock	1,500

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
RABINOWITZ STEPHEN 205 CROSSPOINT PARKWAY GETZVILLE, NY 14068				Former Director

Signatures

Mary C. O'Connor, Power of Attorney for Stephen Rabinowitz
07/26/2018

****Signature of Reporting Person** **Date**

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Edgar Filing: RABINOWITZ STEPHEN - Form 4

- (1) 383.1428 restricted stock units became fully vested upon reporting persons retirement as a director of issuer on July 23, 2018, of which 383 shares were issued as common stock and .1428 was issued in cash of \$5.98.
- (2) 379.4785 restricted stock units became fully vested upon reporting persons retirement as a director of issuer on July 23, 2018, of which 379 shares were issued as common stock and .4785 was issued in cash of \$20.03.
- (3) 753.6298 restricted stock units became fully vested upon reporting persons retirement as a director of issuer on July 23, 2018, of which 752 shares were issued as common stock and 1.6298 was issued in cash of \$34.12.
- (4) 1,500 restricted stock units became fully vested upon reporting persons retirement as a director of issuer on July 23, 2018.
- (5) Each restricted stock unit represents a contingent right to receive one (1) share of Columbus McKinnon Corporation common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.