

COLUMBUS MCKINNON CORP  
Form 4  
July 29, 2015

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
RABINOWITZ STEPHEN

2. Issuer Name and Ticker or Trading Symbol  
COLUMBUS MCKINNON CORP  
[CMCO]

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)

(Last) (First) (Middle)  
140 JOHN JAMES AUDUBON  
PARKWAY  
  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
07/27/2015

Director  10% Owner  
 Officer (give title below)  Other (specify below)

AMHERST, NY 14228

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price                             |
| Common Stock                    |                                      |  |                                |   | 30,227  | D  |                                   |
| Common Stock                    | 07/27/2015                           |  | A                              |   | 2,423<br>(1)  | A  | \$ 22.7 32,650                    |
| Common Stock                    | 07/28/2015                           |  | M                              |   | 754 (2)   | A  | \$ 0 33,404                       |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form**

SEC 1474  
(9-02)

displays a currently valid OMB control number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |              |                         |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|-------------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title        | Amount Number of Shares |
| Restricted Stock Units                     | (3)  | 07/27/2015                           |  | A                              | 1,500   | (4)  | (4)   | Common Stock | 1,500                   |
| Restricted Stock Units                     | (3)  | 07/28/2015                           |  | M                              | 754.6214  | (5)  | (5)   | Common Stock | 1,509                   |
| Restricted Stock Units                     | (3)  |                                      |  |                                |   | (6)  | (6)   | Common Stock | 327                     |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |         |       |
|---|---------------|-----------|---------|-------|
|   | Director      | 10% Owner | Officer | Other |
| RABINOWITZ STEPHEN<br>140 JOHN JAMES AUDUBON PARKWAY<br>AMHERST, NY 14228 | X             |           |         |       |

## Signatures

Mary C. O'Connor, Power of Attorney for Stephen Rabinowitz

07/29/2015

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Represents shares granted to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan dated as of July 26, 2010.
- (2) 754.6214 restricted stock units became fully vested on July 28, 2015, of which 754 shares were issued as common stock and .6214 was issued in cash of \$13.73.
- (3) Each restricted stock unit represents a contingent right to receive one (1) share of Columbus McKinnon Corporation common stock.
- (4) Represents restricted stock units issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan dated as of July 26, 2010. Subject to forfeiture, units become fully vested and non-forfeitable 50% on July 27, 2016, 25% on July 27,

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2017 and 25% on July 27, 2018, if reporting person remains a director of issuer.

(5) Originally a 1,500 restricted stock unit award, 754.6214 units became fully vested and non-forfeitable on July 28, 2015. The remaining restricted stock units become fully vested and non-forfeitable 25% on July 28, 2016 and 25% on July 28, 2017, if reporting person remains a director of issuer.

(6) Originally a 1,300 restricted stock unit award, 650 restricted stock units became fully vested and non-forfeitable on July 22, 2014 and 327.482 became fully vested and non-forfeitable on July 22, 2015. The remaining restricted stock units become fully vested and non-forfeitable on July 22, 2016, if reporting person remains a director of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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