

NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II

Form NSAR-B/A

April 28, 2009

PAGE 1  
000 B000000 02/28/2009  
000 C000000 0001227857  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 Y  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 NICHOLAS-APPLGATE CONVERTIBLE & INCOME FD II  
001 B000000 811-21338  
001 C000000 2127393371  
002 A000000 1345 AVENUE OF AMERICAS  
002 B000000 NEW YORK  
002 C000000 NY  
002 D010000 10105  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
007 C010200 2  
007 C010300 3  
007 C010400 4  
007 C010500 5  
007 C010600 6  
007 C010700 7  
007 C010800 8  
007 C010900 9  
007 C011000 10  
008 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC  
008 B000001 A  
008 C000001 801-57798  
008 D010001 NEW YORK  
008 D020001 NY  
008 D030001 10105  
008 A000002 NICHOLAS-APPLGATE CAPITAL MANAGEMENT LLC  
008 B000002 S  
008 C000002 801-21442  
008 D010002 SAN DIEGO  
008 D020002 CA  
008 D030002 92101  
010 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC  
010 B000001 801-57798  
010 C010001 NEW YORK  
010 C020001 NY  
010 C030001 10105  
012 A000001 PNC GLOBAL INVESTMENT SERVICING  
012 B000001 84-58023  
PAGE 2  
012 C010001 PROVIDENCE  
012 C020001 RI  
012 C030001 02940  
012 C040001 3027  
013 A000001 PRICewaterHOUSECOOPERS LLP  
013 B010001 NEW YORK

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-B/A

013 B020001 NY  
013 B030001 10017  
014 A000001 DELETE  
014 A000002 NICHOLAS-APPLGATE SECURITIES LLC  
014 B000002 8-045406  
014 A000003 ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC  
014 B000003 8-041811  
014 A000004 ALLIANZ LIFE FINANCIAL SERVICES LLC  
014 B000004 8-013630  
014 A000005 QUESTAR CAPITAL CORP.  
014 B000005 8-050174  
014 A000006 DELETE  
014 A000007 DELETE  
014 A000008 DELETE  
014 A000009 DELETE  
014 A000010 DELETE  
014 A000011 DELETE  
015 A000001 BROWN BROTHERS HARRIMAN & CO.  
015 B000001 C  
015 C010001 BOSTON  
015 C020001 MA  
015 C030001 02109  
015 E010001 X  
018 000000 Y  
019 A000000 Y  
019 B000000 80  
019 C000000 ALLIANZGLO  
020 A000001 BANK OF AMERICA  
020 B000001 56-2058466  
020 C000001 37  
020 A000002 INSTINET  
020 B000002 13-2625132  
020 C000002 32  
020 A000003 LAZARD FRERES AND CO., LLC  
020 B000003 13-5545100  
020 C000003 32  
020 A000004 CITIGROUP, INC. .  
020 B000004 52-1568099  
020 C000004 27  
020 A000005 WELLS FARGO & CO.  
020 B000005 41-1508325  
020 C000005 19  
020 A000006 LIQUIDNET, INC.  
020 B000006 13-4095933  
020 C000006 19  
PAGE 3  
020 A000007 LEHMAN BROTHERS HOLDINGS, INC.  
020 B000007 13-3216325  
020 C000007 18  
020 A000008 GOLDMAN SACHS GROUP, INC.  
020 B000008 13-4019460  
020 C000008 11  
020 A000009 JP MORGAN CHASE & CO.  
020 B000009 13-2624428  
020 C000009 10  
020 A000010 INVESTMENT TECHNOLOGY GROUP, INC.  
020 B000010 13-3757717  
020 C000010 8  
021 000000 276  
022 A000001 CREDIT SUISSE GROUP  
022 B000001 13-5659485  
022 C000001 83905

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-B/A

022 D000001 39770  
022 A000002 BANK OF AMERICA  
022 B000002 56-2058466  
022 C000002 56598  
022 D000002 46962  
022 A000003 GOLDMAN SACHS GROUP, INC.  
022 B000003 13-4019460  
022 C000003 39393  
022 D000003 61751  
022 A000004 CITIGROUP, INC.  
022 B000004 52-1568099  
022 C000004 51047  
022 D000004 30059  
022 A000005 WELLS FARGO & CO.  
022 B000005 41-1508325  
022 C000005 51946  
022 D000005 21267  
022 A000006 LEHMAN BROTHERS HOLDINGS, INC.  
022 B000006 13-3216325  
022 C000006 57574  
022 D000006 12200  
022 A000007 JP MORGAN CHASE & CO.  
022 B000007 13-2624428  
022 C000007 21828  
022 D000007 37660  
022 A000008 DEUTSCHE-BANK SECURITIES, INC.  
022 B000008 13-2730828  
022 C000008 31169  
022 D000008 23435  
022 A000009 THE BANK OF NY/MIZUHO SECURITIES  
022 B000009 36-2880742  
022 C000009 24247  
022 D000009 14763  
022 A000010 BARCLAYS CAPITAL LE  
022 B000010 05-0346412  
PAGE 4  
022 C000010 14789  
022 D000010 21246  
023 C000000 496373  
023 D000000 410814  
024 000000 Y  
025 A000001 CREDIT SUISSE GROUP  
025 B000001 13-5659485  
025 C000001 E  
025 D000001 15170  
025 A000002 BANK OF AMERICA  
025 B000002 56-2058466  
025 C000002 E  
025 D000002 13288  
025 A000003 WELLS FARGO & CO.  
025 B000003 41-1508325  
025 C000003 E  
025 D000003 11253  
025 A000004 LEHMAN BROTHERS HOLDINGS, INC.  
025 B000004 13-3216325  
025 C000004 E  
025 D000004 4253  
025 A000005 CITIGROUP, INC.  
025 B000005 52-1568099  
025 C000005 E  
025 D000005 892  
025 A000006 DELETE

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-B/A

025	D000006	0
025	A000007	DELETE
025	D000007	0
025	D000008	0
026	A000000	N
026	B000000	N
026	C000000	N
026	D000000	Y
026	E000000	N
026	F000000	N
026	G010000	N
026	G020000	N
026	H000000	N
027	000000	N
028	A010000	0
028	A020000	0
028	A030000	0
028	A040000	0
028	B010000	0
028	B020000	0
028	B030000	0
028	B040000	0
028	C010000	0
028	C020000	0
028	C030000	0
	PAGE	5
028	C040000	0
028	D010000	0
028	D020000	0
028	D030000	0
028	D040000	0
028	E010000	0
028	E020000	0
028	E030000	0
028	E040000	0
028	F010000	0
028	F020000	0
028	F030000	0
028	F040000	0
028	G010000	0
028	G020000	0
028	G030000	0
028	G040000	0
028	H000000	0
030	A000000	0
030	B000000	0.00
030	C000000	0.00
031	A000000	0
031	B000000	0
032	000000	0
033	000000	0
035	000000	0
036	B000000	0
038	000000	0
042	A000000	0
042	B000000	0
042	C000000	0
042	D000000	0
042	E000000	0
042	F000000	0
042	G000000	0
042	H000000	0

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-B/A

043	000000	0
044	000000	0
045	000000	Y
046	000000	N
047	000000	Y
048	000000	0.700
048	A010000	0
048	A020000	0.000
048	B010000	0
048	B020000	0.000
048	C010000	0
048	C020000	0.000
048	D010000	0
048	D020000	0.000
048	E010000	0
	PAGE	6
048	E020000	0.000
048	F010000	0
048	F020000	0.000
048	G010000	0
048	G020000	0.000
048	H010000	0
048	H020000	0.000
048	I010000	0
048	I020000	0.000
048	J010000	0
048	J020000	0.000
048	K010000	0
048	K020000	0.000
049	000000	N
050	000000	N
051	000000	N
052	000000	N
053	A000000	N
054	A000000	Y
054	B000000	Y
054	C000000	N
054	D000000	N
054	E000000	N
054	F000000	N
054	G000000	Y
054	H000000	Y
054	I000000	N
054	J000000	Y
054	K000000	N
054	L000000	N
054	M000000	Y
054	N000000	N
054	O000000	N
055	A000000	N
055	B000000	N
056	000000	Y
057	000000	N
058	A000000	N
059	000000	Y
060	A000000	Y
060	B000000	Y
061	000000	0
062	A000000	Y
062	B000000	0.0
062	C000000	0.0
062	D000000	0.0

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-B/A

062 E000000 0.0  
062 F000000 0.0  
062 G000000 0.0  
062 H000000 0.0  
062 I000000 0.0

PAGE 7

062 J000000 3.0  
062 K000000 0.0  
062 L000000 0.2  
062 M000000 4.3  
062 N000000 0.0  
062 O000000 0.0  
062 P000000 43.0  
062 Q000000 28.7  
062 R000000 20.4  
063 A000000 0  
063 B000000 9.5

064 A000000 N

064 B000000 Y

065 000000 N

066 A000000 N

067 000000 N

068 A000000 N

068 B000000 N

069 000000 N

070 A010000 Y

070 A020000 N

070 B010000 Y

070 B020000 N

070 C010000 Y

070 C020000 N

070 D010000 Y

070 D020000 N

070 E010000 Y

070 E020000 N

070 F010000 Y

070 F020000 N

070 G010000 Y

070 G020000 N

070 H010000 Y

070 H020000 N

070 I010000 N

070 I020000 N

070 J010000 Y

070 J020000 Y

070 K010000 Y

070 K020000 N

070 L010000 Y

070 L020000 Y

070 M010000 N

070 M020000 N

070 N010000 Y

070 N020000 N

070 O010000 Y

070 O020000 N

070 P010000 N

070 P020000 N

PAGE 8

070 Q010000 N

070 Q020000 N

070 R010000 Y

070 R020000 N

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-B/A

071 A000000	536138
071 B000000	721432
071 C000000	933706
071 D000000	57
072 A000000	12
072 B000000	60787
072 C000000	40453
072 D000000	0
072 E000000	463
072 F000000	6856
072 G000000	0
072 H000000	0
072 I000000	36
072 J000000	146
072 K000000	0
072 L000000	173
072 M000000	113
072 N000000	0
072 O000000	0
072 P000000	0
072 Q000000	0
072 R000000	136
072 S000000	121
072 T000000	0
072 U000000	0
072 V000000	0
072 W000000	1592
072 X000000	9173
072 Y000000	0
072 Z000000	92530
072AA000000	0
072BB000000	235709
072CC010000	0
072CC020000	244500
072DD010000	76827
072DD020000	0
072EE000000	0
073 A010000	1.2898
073 A020000	0.0000
073 B000000	0.0000
073 C000000	0.0000
074 A000000	7
074 B000000	0
074 C000000	17239
074 D000000	408153
074 E000000	109834
074 F000000	0
PAGE 9	
074 G000000	0
074 H000000	0
074 I000000	0
074 J000000	2999
074 K000000	0
074 L000000	13480
074 M000000	69
074 N000000	551781
074 O000000	8563
074 P000000	305
074 Q000000	0
074 R010000	0
074 R020000	0
074 R030000	0

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-B/A

074	R040000	5693
074	S000000	274000
074	T000000	263220
074	U010000	59994
074	U020000	0
074	V010000	4.39
074	V020000	0.00
074	W000000	0.0000
074	X000000	107
074	Y000000	0
075	A000000	0
075	B000000	979454
076	000000	3.73
077	A000000	Y
077	B000000	Y
077	C000000	Y
077	D000000	N
077	E000000	N
077	F000000	N
077	G000000	N
077	H000000	N
077	I000000	N
077	J000000	N
077	K000000	N
077	L000000	N
077	M000000	N
077	N000000	N
077	O000000	N
077	P000000	N
077	Q010000	Y
077	Q020000	N
077	Q030000	N
078	000000	N
080	A000000	ICI MUTUAL INSURANCE COMPANY
080	C000000	705000
081	A000000	Y
081	B000000	79
	PAGE	10
082	A000000	Y
082	B000000	250
083	A000000	N
083	B000000	0
084	A000000	N
084	B000000	0
085	A000000	Y
085	B000000	N
086	A010000	0
086	A020000	0
086	B010000	0
086	B020000	0
086	C010000	0
086	C020000	0
086	D010000	9
086	D020000	231000
086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0
087	A010000	COMMON STOCK
087	A020000	65370G109
087	A030000	NCZ
087	B010000	PREFERRED SHARES SERIES A



Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-B/A

087 B020000 65370G208  
087 C010000 PREFERRED SHARES SERIES B  
087 C020000 65370G307  
088 A000000 N  
088 B000000 N  
088 C000000 N  
088 D000000 Y  
SIGNATURE LAWRENCE ALTADONNA  
TITLE TREASURER & CEO