

TRINITY INDUSTRIES INC  
Form 3  
March 09, 2007

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |         |   |  |  |
|---|---------|---|--|--|
| 1. Name and Address of Reporting Person * |         | 2. Date of Event Requiring Statement  | 3. Issuer Name <b>and</b> Ticker or Trading Symbol |  |
| Â ADAMS JOHN L                            |         | (Month/Day/Year)  | TRINITY INDUSTRIES INC [NYSE/TRN]                  |  |
| (Last)                                    | (First) | (Middle)  | 03/05/2007   |  |
| 2525 STEMMONS FREEWAY                     |         | 4. Relationship of Reporting Person(s) to Issuer                                |  | 5. If Amendment, Date Original Filed(Month/Day/Year)                   |
| (Street)                                  |         | (Check all applicable)  |  | 6. Individual or Joint/Group Filing(Check Applicable Line)             |
| DALLAS,Â TXÂ 75207                        |         | <input checked="" type="checkbox"/> Director <input type="checkbox"/> 10% Owner |  | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| (City)                                    | (State) | (Zip)   | <input type="checkbox"/> Other (specify below)     |  |
|   |         |   |  | <input type="checkbox"/> Form filed by More than One Reporting Person  |

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock                    | 104,056   | D  | Â   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|--|--|---|
|  | Date Exercisable   | Expiration Date   | Title  | Amount or Number of  |   |

Edgar Filing: TRINITY INDUSTRIES INC - Form 3

|                             |       |            |              | Shares |            | (I)<br>(Instr. 5) |   |
|-----------------------------|-------|------------|--------------|--------|------------|-------------------|---|
| Stock Option (right to buy) | Â (1) | 10/29/2009 | Common Stock | 60,000 | \$ 19.625  | D                 | Â |
| Stock Option (right to buy) | Â (2) | 07/16/2007 | Common Stock | 7,500  | \$ 22      | D                 | Â |
| Stock Option (right to buy) | Â (3) | 07/17/2008 | Common Stock | 7,500  | \$ 28.625  | D                 | Â |
| Stock Option (right to buy) | Â (1) | 01/18/2009 | Common Stock | 37,500 | \$ 25.0417 | D                 | Â |
| Stock Option (right to buy) | Â (2) | 05/29/2013 | Common Stock | 20,000 | \$ 11.3333 | D                 | Â |
| Stock Option (right to buy) | Â (2) | 05/10/2014 | Common Stock | 18,000 | \$ 18.94   | D                 | Â |

## Reporting Owners

| Reporting Owner Name / Address                            | Relationships |           |         |       |
|---|---------------|-----------|---------|-------|
|   | Director      | 10% Owner | Officer | Other |
| ADAMS JOHN L<br>2525 STEMMONS FREEWAY<br>DALLAS, TX 75207 | Â X           | Â         | Â       | Â     |

## Signatures

John L. Adams                      03/09/2007  
 \_\_Signature of                      Date  
 Reporting Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Option Term is Ten Years. Options vest over 4 years at twenty-five percent (25%) per year beginning on the first anniversary of the grant date.
- (2) Option Term is Ten Years. Options vest over 5 years at twenty percent (20%) per year beginning on the first anniversary of the grant date.
- (3) Option Term is Ten Years. Options vest 50% on grant date and 50% on the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.