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### Express Scripts Holding Co. Form 3 February 10, 2014 **FORM 3** UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB

### INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Date of Event Requiring 2 Jacuar Name and Ticker or Trading Symbol

(Print or Type Responses)

1. Name and Address of Reporting

Person <u>*</u> Norton David A			2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol Express Scripts Holding Co. [ESRX]				
(Last)	(First)	(Middle)	02/01/2014	4. Relationship of Reporting Person(s) to Issuer		5. If Amendment, Date Original Filed(Month/Day/Year)		
C/O EXPRE	SS SCRIP	TS						
HOLDING (	COMPAN	Y. ONE	(Check all applicable)					
EXPRESS W		,						
(Street)				Director10% Owner OfficerOther (give title below) (specify below) Sr. VP, Supply Chain			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting	
ST. LOUIS,	MO 63	3121					Person Form filed by More than One Reporting Person	
(City)	(State)	(Zip)	Table I - N	Non-Derivat	tive Securit	ies Be	neficially Owned	
1.Title of Secur (Instr. 4)	ity		2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr	•	
Common Sto	ock		5,454		D	Â		
Common Sto	ock		4,436		Ι	By S	pouse's Trust	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

currently valid OMB control number.

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#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

SEC 1473 (7-02)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership

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burden hours per response... 0.5

			(Instr. 4)		Price of	Derivative	(Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Non-Qualified Stock Option (Right-to-Buy)	( <u>1)</u>	03/06/2020	Express Scripts Holding Company Common Stock	8,753	\$ 58.17	D	Â
Non-Qualified Stock Option (Right-to-Buy)	(2)	02/27/2019	Express Scripts Holding Company Common Stock	10,549	\$ 53.05	D	Â
Non-Qualified Stock Option (Right-to-Buy)	( <u>3)</u>	11/17/2018	Express Scripts Holding Company Common Stock	18,232	\$ 43.77	D	Â
Non-Qualified Stock Option (Right-to-Buy)	(4)	03/02/2018	Express Scripts Holding Company Common Stock	8,420	\$ 56.5	D	Â
Non-Qualifed Stock Option (Right-to-Buy)	(5)	03/03/2017	Express Scripts Holding Company Common Stock	7,000	\$ 49.495	D	Â

### Edgar Filing: Express Scripts Holding Co. - Form 3

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
r - g	Director	10% Owner	Officer	Other		
Norton David A C/O EXPRESS SCRIPTS HOLDING COMPANY ONE EXPRESS WAY ST. LOUIS, MO 63121	Â	Â	Sr. VP, Supply Chain	Â		

## Signatures

\*\*Signature of

Reporting Person

/s/ David A. Norton

02/07/2014

	Dat	te

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant of stock options pursuant to the Express Scripts, Inc. 2011 Long-Term Incentive Plan. Vests in three (3) equal installments on February 28, 2014, February 28, 2015, and February 28, 2016.
- (2) Grant of stock options pursuant to the Express Scripts, Inc. 2011 Long-Term Incentive Plan. Vests in three (3) equal installments on February 28, 2013, February 28, 2014, and February 28, 2015.
- (3) Grant of stock options pursuant to the Express Scripts, Inc. 2011 Long-Term Incentive Plan. Vested on November 17, 2013.
- (4) Grant of stock options pursuant to the Express Scripts, Inc. 2000 Long-Term Incentive Plan. Vests in three (3) equal installments on February 28, 2012, February 28, 2013, and February 28, 2014.
- (5) Grant of stock options pursuant to the Express Scripts, Inc. 2000 Long-Term Incentive Plan. All shares vested on February 28, 2013.

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#### **Remarks:**

### Attached Exh. 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.