

MKS INSTRUMENTS INC  
Form 4  
September 08, 2006

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
EMERSON ELECTRIC CO

2. Issuer Name and Ticker or Trading Symbol  
MKS INSTRUMENTS INC [MKSI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

8000 W. FLORISSANT AVE.

3. Date of Earliest Transaction (Month/Day/Year)  
09/06/2006

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below)  
\_\_X\_\_ 10% Owner  
\_\_\_\_ Other (specify below)

(Street)

ST LOUIS, MO 63136

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	09/06/2006		S	30,106	D	\$ 20.2796 (1) (2)	3,849,941 I Through a subsidiary
Common Stock	09/07/2006		S	28,692	D	\$ 20.2869 (3) (4)	3,821,249 I Through a subsidiary
Common Stock						1,065,182	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form

SEC 1474 (9-02)

displays a currently valid OMB control number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Beneficially (Instr. 5)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
EMERSON ELECTRIC CO 8000 W. FLORISSANT AVE. ST LOUIS, MO 63136		X		

## Signatures

/s/ Timothy G. Westman, Assistant Secretary  
Date: 09/08/2006

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The sales were effected in multiple transactions, at varying prices, on September 6, 2006, as follows and as described in Footnote 2 below: 100 shares at \$20.14; 581 at \$20.16; 2,300 at \$20.17; 1,800 at \$20.18; 1,400 at \$20.19; 1,000 at \$20.20; 2,100 at \$20.21; 1,700 at \$20.22; 2,025 at \$20.23; 1,800 at \$20.24; 1,200 at \$20.25; 500 at \$20.26; 1,300 at \$20.27; 1,863 at \$20.28; 337 at \$20.29; 800 at \$20.30; 400 at \$20.31; 600 at \$20.32; 500 at \$20.33; 400 at \$20.34; and 100 at \$20.35.

(2) This footnote sets forth additional detail with respect to the transactions described in Footnote 1, as follows: 1,200 shares at \$20.36; 400 at \$20.37; 400 at \$20.38; 300 at \$20.39; 930 at \$20.40; 1,000 at \$20.41; 300 at \$20.42; 370 at \$20.43; 200 at \$20.44; 100 at \$20.45; 300 at \$20.46; 500 at \$20.47; 300 at \$20.48; 100 at \$20.50; 200 at \$20.51; 100 at \$20.53; 100 at \$20.56; 200 at \$20.57; 200 at \$20.58; and 100 at \$20.68. The weighted average sales price for these transactions was \$20.2796 per share.

(3) The sales were effected in multiple transactions, at varying prices, on September 7, 2006, as follows and as described in Footnote 4 below: 300 shares at \$19.83; 200 at \$19.84; 200 at \$19.85; 200 at \$19.87; 100 at \$19.88; 200 at \$19.89; 200 at \$19.90; 100 at \$19.91; 300 at \$19.92; 200 at \$19.93; 263 at \$19.95; 600 at \$19.96; 200 at \$19.97; 200 at \$19.98; 400 at \$20.00; 600 at \$20.01; 200 at \$20.02; 100 at \$20.03; 100 at \$20.04; 100 at \$20.06; 100 at \$20.07; 200 at \$20.09; 300 at \$20.10; and 200 at \$20.13.

## Edgar Filing: MKS INSTRUMENTS INC - Form 4

This footnote sets forth additional detail with respect to the transactions described in Footnote 3, as follows: 100 shares at \$20.14; 163 at \$20.16; 100 at \$20.17; 200 at \$20.18; 200 at \$20.22; 100 at \$20.24; 300 at \$20.25; 100 at \$20.27; 400 at \$20.28; 700 at \$20.29; 1,000 at (4) \$20.30; 1,100 at \$20.31; 2,076 at \$20.32; 4,424 at \$20.33; 900 at \$20.34; 2,500 at \$20.35; 1,000 at \$20.36; 1,100 at \$20.38; 300 at \$20.39; 700 at \$20.41; 600 at \$20.42; 1,200 at \$20.43; 300 at \$20.44; 800 at \$20.45; 300 at \$20.46; 500 at \$20.47; 500 at \$20.53; 300 at \$20.54; 600 at \$20.55; 300 at \$20.56; and 266 at \$20.57. The weighted average sales price for these transactions was \$20.2869 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.