

Edgar Filing: FLAHERTY & CRUMRINE TOTAL RETURN FUND INC - Form NSAR-B

FLAHERTY & CRUMRINE TOTAL RETURN FUND INC

Form NSAR-B

January 29, 2018

PAGE 1  
000 B000000 11/30/2017  
000 C000000 1245648  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 FLAHERTY & CRUMRINE TOTAL RETURN FUND  
001 B000000 811-21380  
001 C000000 6267957300  
002 A000000 301 E. COLORADO BOULEVARD, SUITE 720  
002 B000000 PASADENA  
002 C000000 CA  
002 D010000 91101  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
008 A000001 FLAHERTY & CRUMRINE INCORPORATED  
008 B000001 A  
008 C000001 801-19384  
008 D010001 PASADENA  
008 D020001 CA  
008 D030001 91101  
010 A000001 THE BANK OF NEW YORK MELLON  
010 B000001 84-1761  
010 C010001 WESTBOROUGH  
010 C020001 MA  
010 C030001 01581  
010 C040001 1722  
012 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.  
012 B000001 84-1761  
012 C010001 WESTBOROUGH  
012 C020001 MA  
012 C030001 01581  
012 A000002 Destra Capital Investment LLC  
012 B000002 84-68006  
012 C010002 Chicago  
012 C020002 IL  
012 C030002 60606  
013 A000001 KPMG LLP  
013 B010001 BOSTON  
013 B020001 MA  
013 B030001 02110  
015 A000001 THE BANK OF NEW YORK MELLON  
015 B000001 C  
PAGE 2  
015 C010001 NEW YORK  
015 C020001 NY  
015 C030001 10286  
018 000000 Y  
019 A000000 N  
019 B000000 0

Edgar Filing: FLAHERTY & CRUMRINE TOTAL RETURN FUND INC - Form NSAR-B

021 000000 0  
022 A000001 WELLS FARGO SECURITIES, LLC  
022 B000001 56-2326000  
022 C000001 7980  
022 D000001 5741  
022 A000002 JEFFERIES & COMPANY INC.  
022 B000002 95-2622900  
022 C000002 8695  
022 D000002 753  
022 A000003 MORGAN STANLEY  
022 B000003 13-2655998  
022 C000003 9318  
022 D000003 0  
022 A000004 GOLDMAN SACHS & CO.  
022 B000004 13-5108880  
022 C000004 8580  
022 D000004 0  
022 A000005 JPMORGAN SECURITIES  
022 B000005 13-3224016  
022 C000005 7513  
022 D000005 0  
022 A000006 CANTOR FINITZGERALD  
022 B000006 13-2698893  
022 C000006 1996  
022 D000006 3870  
022 A000007 DEUTSCHE BANK SECURITIES  
022 B000007 13-2730828  
022 C000007 5526  
022 D000007 336  
022 A000008 CABRERA CAPITAL MARKETS  
022 B000008 01-0892965  
022 C000008 2500  
022 D000008 2362  
022 A000009 MERRILL LYNCH  
022 B000009 13-2511846  
022 C000009 4498  
022 D000009 0  
022 A000010 CITIGROUP INC.  
022 B000010 52-1568099  
022 C000010 3555  
022 D000010 521  
023 C000000 74410  
023 D000000 15682  
024 000000 Y  
025 A000001 JPMORGAN CHASE & CO.  
PAGE 3  
025 B000001 13-3224016  
025 C000001 E  
025 D000001 15044  
025 A000002 WELLS FARGO & COMPANY  
025 B000002 56-2326000  
025 C000002 E  
025 D000002 13106  
025 A000003 CITIGROUP INC.  
025 B000003 52-1568099  
025 C000003 E  
025 D000003 11227  
025 A000004 MORGAN STANLEY  
025 B000004 13-2655998  
025 C000004 E  
025 D000004 9980  
025 A000005 BANK OF AMERICA

Edgar Filing: FLAHERTY & CRUMRINE TOTAL RETURN FUND INC - Form NSAR-B

025 B000005 13-4078651  
025 C000005 E  
025 D000005 7755  
025 A000006 GOLDMAN SACHS GROUP  
025 B000006 13-5108880  
025 C000006 E  
025 D000006 4822  
026 A000000 N  
026 B000000 N  
026 C000000 N  
026 D000000 Y  
026 E000000 N  
026 F000000 N  
026 G010000 N  
026 G020000 N  
026 H000000 N  
027 000000 N  
028 A010000 0  
028 A020000 0  
028 A030000 0  
028 A040000 0  
028 B010000 0  
028 B020000 0  
028 B030000 0  
028 B040000 0  
028 C010000 0  
028 C020000 0  
028 C030000 0  
028 C040000 0  
028 D010000 0  
028 D020000 0  
028 D030000 0  
028 D040000 0  
028 E010000 0  
028 E020000 0  
PAGE 4  
028 E030000 0  
028 E040000 0  
028 F010000 0  
028 F020000 0  
028 F030000 0  
028 F040000 0  
028 G010000 0  
028 G020000 0  
028 G030000 0  
028 G040000 0  
028 H000000 0  
030 A000000 0  
030 B000000 0.00  
030 C000000 0.00  
031 A000000 0  
031 B000000 0  
032 000000 0  
033 000000 0  
035 000000 0  
036 B000000 0  
038 000000 0  
042 A000000 0  
042 B000000 0  
042 C000000 0  
042 D000000 0  
042 E000000 0

Edgar Filing: FLAHERTY & CRUMRINE TOTAL RETURN FUND INC - Form NSAR-B

042	F000000	0
042	G000000	0
042	H000000	0
043	000000	0
044	000000	0
045	000000	Y
046	000000	N
047	000000	Y
048	000000	0.000
048	A010000	200000
048	A020000	0.575
048	B010000	300000
048	B020000	0.500
048	C010000	0
048	C020000	0.000
048	D010000	0
048	D020000	0.000
048	E010000	0
048	E020000	0.000
048	F010000	0
048	F020000	0.000
048	G010000	0
048	G020000	0.000
048	H010000	0
048	H020000	0.000
	PAGE	5
048	I010000	0
048	I020000	0.000
048	J010000	0
048	J020000	0.000
048	K010000	500000
048	K020000	0.450
049	000000	N
050	000000	N
051	000000	N
052	000000	N
053	A000000	N
054	A000000	Y
054	B000000	Y
054	C000000	N
054	D000000	N
054	E000000	N
054	F000000	N
054	G000000	Y
054	H000000	Y
054	I000000	N
054	J000000	Y
054	K000000	N
054	L000000	N
054	M000000	Y
054	N000000	N
054	O000000	Y
055	A000000	N
055	B000000	Y
056	000000	Y
057	000000	N
058	A000000	N
059	000000	Y
060	A000000	Y
060	B000000	Y
061	000000	0
062	A000000	N

Edgar Filing: FLAHERTY & CRUMRINE TOTAL RETURN FUND INC - Form NSAR-B

062 B000000 0.0  
062 C000000 0.0  
062 D000000 0.0  
062 E000000 0.0  
062 F000000 0.0  
062 G000000 0.0  
062 H000000 0.0  
062 I000000 0.0  
062 J000000 0.0  
062 K000000 0.0  
062 L000000 0.0  
062 M000000 0.0  
062 N000000 0.0  
062 O000000 0.0  
062 P000000 0.0

PAGE 6

062 Q000000 0.0  
062 R000000 0.0  
063 A000000 0  
063 B000000 0.0  
066 A000000 Y  
066 B000000 N  
066 C000000 N  
066 D000000 N  
066 E000000 N  
066 F000000 Y  
066 G000000 N  
067 000000 N  
068 A000000 N  
068 B000000 N  
069 000000 N  
070 A010000 Y  
070 A020000 N  
070 B010000 Y  
070 B020000 N  
070 C010000 Y  
070 C020000 N  
070 D010000 Y  
070 D020000 N  
070 E010000 Y  
070 E020000 N  
070 F010000 Y  
070 F020000 N  
070 G010000 Y  
070 G020000 N  
070 H010000 Y  
070 H020000 N  
070 I010000 N  
070 I020000 N  
070 J010000 Y  
070 J020000 Y  
070 K010000 Y  
070 K020000 Y  
070 L010000 Y  
070 L020000 Y  
070 M010000 Y  
070 M020000 N  
070 N010000 Y  
070 N020000 N  
070 O010000 Y  
070 O020000 Y  
070 P010000 N

Edgar Filing: FLAHERTY & CRUMRINE TOTAL RETURN FUND INC - Form NSAR-B

070 P020000 N  
 070 Q010000 N  
 070 Q020000 N  
 070 R010000 Y  
 070 R020000 N  
 PAGE 7  
 071 A000000 74410  
 071 B000000 66140  
 071 C000000 308490  
 071 D000000 21  
 072 A000000 12  
 072 B000000 9627  
 072 C000000 9378  
 072 D000000 0  
 072 E000000 0  
 072 F000000 1731  
 072 G000000 251  
 072 H000000 0  
 072 I000000 189  
 072 J000000 30  
 072 K000000 0  
 072 L000000 72  
 072 M000000 55  
 072 N000000 0  
 072 O000000 0  
 072 P000000 2131  
 072 Q000000 0  
 072 R000000 57  
 072 S000000 62  
 072 T000000 0  
 072 U000000 0  
 072 V000000 0  
 072 W000000 216  
 072 X000000 4794  
 072 Y000000 0  
 072 Z000000 14211  
 072AA000000 4404  
 072BB000000 0  
 072CC010000 15593  
 072CC020000 0  
 072DD010000 15604  
 072DD020000 0  
 072EE000000 0  
 073 A010000 1.5680  
 073 A020000 0.0000  
 073 B000000 0.0000  
 073 C000000 0.0000  
 074 A000000 0  
 074 B000000 0  
 074 C000000 0  
 074 D000000 16031  
 074 E000000 303270  
 074 F000000 673  
 074 G000000 0  
 074 H000000 0  
 074 I000000 1522  
 074 J000000 186  
 PAGE 8  
 074 K000000 0  
 074 L000000 3305  
 074 M000000 23  
 074 N000000 325010

Edgar Filing: FLAHERTY & CRUMRINE TOTAL RETURN FUND INC - Form NSAR-B

074	O000000	750
074	P000000	145
074	Q000000	107900
074	R010000	0
074	R020000	0
074	R030000	0
074	R040000	229
074	S000000	0
074	T000000	215986
074	U010000	9958
074	U020000	0
074	V010000	21.69
074	V020000	0.00
074	W000000	0.0000
074	X000000	13
074	Y000000	0
075	A000000	0
075	B000000	211183
076	000000	21.33
077	A000000	Y
077	B000000	Y
077	C000000	N
077	D000000	N
077	E000000	N
077	F000000	N
077	G000000	N
077	H000000	N
077	I000000	N
077	J000000	N
077	K000000	N
077	L000000	N
077	M000000	N
077	N000000	N
077	O000000	N
077	P000000	N
077	Q010000	N
077	Q020000	N
077	Q030000	N
078	000000	N
080	A000000	Federal Insurance Company (Chubb)
080	B000000	NA
080	C000000	750
081	A000000	N
081	B000000	0
082	A000000	N
082	B000000	0
083	A000000	N
	PAGE	9
083	B000000	0
084	A000000	N
084	B000000	0
085	A000000	Y
085	B000000	N
086	A010000	22
086	A020000	460
086	B010000	0
086	B020000	0
086	C010000	0
086	C020000	0
086	D010000	0
086	D020000	0
086	E010000	0

Edgar Filing: FLAHERTY & CRUMRINE TOTAL RETURN FUND INC - Form NSAR-B

086 E020000 0  
086 F010000 0  
086 F020000 0  
087 A010000 COMMON STOCK  
087 A020000 338479108  
087 A030000 FLC  
088 A000000 N  
088 B000000 N  
088 C000000 N  
088 D000000 N  
SIGNATURE BRADFORD S. STONE  
TITLE CHIEF FINANCIAL