

Edgar Filing: FLAHERTY & CRUMRINE TOTAL RETURN FUND INC - Form NSAR-B

FLAHERTY & CRUMRINE TOTAL RETURN FUND INC

Form NSAR-B

January 27, 2017

PAGE 1  
000 B000000 11/30/2016  
000 C000000 1245648  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 FLAHERTY & CRUMRINE TOTAL RETURN FUND  
001 B000000 811-21380  
001 C000000 6267957300  
002 A000000 301 E. COLORADO BOULEVARD, SUITE 720  
002 B000000 PASADENA  
002 C000000 CA  
002 D010000 91101  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
008 A000001 FLAHERTY & CRUMRINE INCORPORATED  
008 B000001 A  
008 C000001 801-19384  
008 D010001 PASADENA  
008 D020001 CA  
008 D030001 91101  
010 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.  
010 B000001 84-1761  
010 C010001 WESTBOROUGH  
010 C020001 MA  
010 C030001 01581  
012 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.  
012 B000001 84-1761  
012 C010001 WESTBOROUGH  
012 C020001 MA  
012 C030001 01581  
012 A000002 Destra Capital Investment LLC  
012 B000002 84-68006  
012 C010002 Chicago  
012 C020002 IL  
012 C030002 60606  
013 A000001 KPMG LLP  
013 B010001 BOSTON  
013 B020001 MA  
013 B030001 02110  
015 A000001 THE BANK OF NEW YORK MELLON  
015 B000001 C  
015 C010001 NEW YORK  
PAGE 2  
015 C020001 NY  
015 C030001 10286  
018 000000 Y  
019 A000000 N  
019 B000000 0  
021 000000 0

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022 A000001 CABRERA CAPITAL MARKETS  
022 B000001 01-0892965  
022 C000001 2217  
022 D000001 8779  
022 A000002 WELLS FARGO SECURITIES, LLC  
022 B000002 56-2326000  
022 C000002 7150  
022 D000002 2841  
022 A000003 MORGAN STANLEY  
022 B000003 13-2655998  
022 C000003 8493  
022 D000003 351  
022 A000004 JPMORGAN CHASE & CO.  
022 B000004 74-3023395  
022 C000004 5254  
022 D000004 304  
022 A000005 STIFEL, NICOLAUS & CO., INC.  
022 B000005 43-0538770  
022 C000005 1326  
022 D000005 1434  
022 A000006 JANNEY MONTGOMERY  
022 B000006 00-0000000  
022 C000006 1744  
022 D000006 121  
022 A000007 SEAPORT GROUP  
022 B000007 00-0000000  
022 C000007 1418  
022 D000007 0  
022 A000008 MERRILL LYNCH  
022 B000008 13-2511846  
022 C000008 1401  
022 D000008 0  
022 A000009 BNP PARIBAS  
022 B000009 13-3235334  
022 C000009 1000  
022 D000009 0  
022 A000010 CITIGROUP, INC.  
022 B000010 52-1568099  
022 C000010 951  
022 D000010 0  
023 C000000 34806  
023 D000000 13936  
024 000000 Y  
025 A000001 JPMORGAN CHASE & CO.  
025 B000001 74-3023395  
PAGE 3  
025 C000001 E  
025 D000001 14726  
025 A000002 WELLS FARGO & COMPANY  
025 B000002 56-2326000  
025 C000002 E  
025 D000002 12193  
025 A000003 CITIGROUP, INC.  
025 B000003 52-1568099  
025 C000003 E  
025 D000003 10023  
025 A000004 BNP PARIBAS  
025 B000004 13-3235334  
025 C000004 E  
025 D000004 7153  
025 A000005 BANK OF AMERICA  
025 B000005 13-4078651

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025 C000005 E  
025 D000005 5620  
025 A000006 MORGAN STANLEY  
025 B000006 13-2655998  
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025 D000006 4741  
026 A000000 N  
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026 F000000 N  
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026 H000000 N  
027 000000 N  
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028 B010000 0  
028 B020000 0  
028 B030000 0  
028 B040000 0  
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028 C030000 0  
028 C040000 0  
028 D010000 0  
028 D020000 0  
028 D030000 0  
028 D040000 0  
028 E010000 0  
028 E020000 0  
028 E030000 0  
PAGE 4  
028 E040000 0  
028 F010000 0  
028 F020000 0  
028 F030000 0  
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028 G010000 0  
028 G020000 0  
028 G030000 0  
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032 000000 0  
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035 000000 0  
036 B000000 0  
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042 A000000 0  
042 B000000 0  
042 C000000 0  
042 D000000 0  
042 E000000 0  
042 F000000 0

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042 G000000 0  
042 H000000 0  
043 000000 0  
044 000000 0  
045 000000 Y  
046 000000 N  
047 000000 Y  
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048 A010000 200000  
048 A020000 0.575  
048 B010000 300000  
048 B020000 0.500  
048 C010000 0  
048 C020000 0.000  
048 D010000 0  
048 D020000 0.000  
048 E010000 0  
048 E020000 0.000  
048 F010000 0  
048 F020000 0.000  
048 G010000 0  
048 G020000 0.000  
048 H010000 0  
048 H020000 0.000  
048 I010000 0  
PAGE 5  
048 I020000 0.000  
048 J010000 0  
048 J020000 0.000  
048 K010000 500000  
048 K020000 0.450  
049 000000 N  
050 000000 N  
051 000000 N  
052 000000 N  
053 A000000 N  
054 A000000 Y  
054 B000000 Y  
054 C000000 N  
054 D000000 N  
054 E000000 N  
054 F000000 N  
054 G000000 Y  
054 H000000 Y  
054 I000000 N  
054 J000000 Y  
054 K000000 N  
054 L000000 N  
054 M000000 Y  
054 N000000 N  
054 O000000 Y  
055 A000000 N  
055 B000000 Y  
056 000000 Y  
057 000000 N  
058 A000000 N  
059 000000 Y  
060 A000000 Y  
060 B000000 Y  
061 000000 0  
062 A000000 N  
062 B000000 0.0

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062 C000000 0.0  
062 D000000 0.0  
062 E000000 0.0  
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062 H000000 0.0  
062 I000000 0.0  
062 J000000 0.0  
062 K000000 0.0  
062 L000000 0.0  
062 M000000 0.0  
062 N000000 0.0  
062 O000000 0.0  
062 P000000 0.0  
062 Q000000 0.0

PAGE 6

062 R000000 0.0  
063 A000000 0  
063 B000000 0.0  
066 A000000 Y  
066 B000000 N  
066 C000000 N  
066 D000000 N  
066 E000000 N  
066 F000000 Y  
066 G000000 N  
067 000000 N  
068 A000000 N  
068 B000000 N  
069 000000 N  
070 A010000 Y  
070 A020000 N  
070 B010000 Y  
070 B020000 N  
070 C010000 Y  
070 C020000 N  
070 D010000 Y  
070 D020000 N  
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070 J010000 Y  
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070 L020000 Y  
070 M010000 Y  
070 M020000 N  
070 N010000 Y  
070 N020000 N  
070 O010000 Y  
070 O020000 Y  
070 P010000 N  
070 P020000 N

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|       |         |   |        |
|-------|---------|---|--------|
| 070   | Q010000 | N |        |
| 070   | Q020000 | N |        |
| 070   | R010000 | Y |        |
| 070   | R020000 | N |        |
| 071   | A000000 |   | 40846  |
|       | PAGE    | 7 |        |
| 071   | B000000 |   | 43108  |
| 071   | C000000 |   | 298433 |
| 071   | D000000 |   | 14     |
| 072   | A000000 |   | 12     |
| 072   | B000000 |   | 9408   |
| 072   | C000000 |   | 10483  |
| 072   | D000000 |   | 0      |
| 072   | E000000 |   | 38     |
| 072   | F000000 |   | 1678   |
| 072   | G000000 |   | 247    |
| 072   | H000000 |   | 0      |
| 072   | I000000 |   | 178    |
| 072   | J000000 |   | 36     |
| 072   | K000000 |   | 0      |
| 072   | L000000 |   | 68     |
| 072   | M000000 |   | 70     |
| 072   | N000000 |   | 0      |
| 072   | O000000 |   | 0      |
| 072   | P000000 |   | 1469   |
| 072   | Q000000 |   | 0      |
| 072   | R000000 |   | 57     |
| 072   | S000000 |   | 44     |
| 072   | T000000 |   | 0      |
| 072   | U000000 |   | 0      |
| 072   | V000000 |   | 0      |
| 072   | W000000 |   | 242    |
| 072   | X000000 |   | 4089   |
| 072   | Y000000 |   | 0      |
| 072   | Z000000 |   | 15840  |
| 072AA | 000000  |   | 959    |
| 072BB | 000000  |   | 0      |
| 072CC | 010000  |   | 0      |
| 072CC | 020000  |   | 5983   |
| 072DD | 010000  |   | 16186  |
| 072DD | 020000  |   | 0      |
| 072EE | 000000  |   | 0      |
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| 073   | A020000 |   | 0.0000 |
| 073   | B000000 |   | 0.0000 |
| 073   | C000000 |   | 0.0000 |
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| 074   | C000000 |   | 0      |
| 074   | D000000 |   | 14848  |
| 074   | E000000 |   | 277118 |
| 074   | F000000 |   | 551    |
| 074   | G000000 |   | 0      |
| 074   | H000000 |   | 0      |
| 074   | I000000 |   | 5795   |
| 074   | J000000 |   | 0      |
| 074   | K000000 |   | 0      |
|       | PAGE    | 8 |        |
| 074   | L000000 |   | 3730   |
| 074   | M000000 |   | 46     |
| 074   | N000000 |   | 302088 |
| 074   | O000000 |   | 0      |

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|     |         |                           |
|-----|---------|---------------------------|
| 074 | P000000 | 137                       |
| 074 | Q000000 | 104800                    |
| 074 | R010000 | 0                         |
| 074 | R020000 | 0                         |
| 074 | R030000 | 0                         |
| 074 | R040000 | 229                       |
| 074 | S000000 | 0                         |
| 074 | T000000 | 196922                    |
| 074 | U010000 | 9936                      |
| 074 | U020000 | 0                         |
| 074 | V010000 | 19.82                     |
| 074 | V020000 | 0.00                      |
| 074 | W000000 | 0.0000                    |
| 074 | X000000 | 13                        |
| 074 | Y000000 | 0                         |
| 075 | A000000 | 0                         |
| 075 | B000000 | 200833                    |
| 076 | 000000  | 20.08                     |
| 077 | A000000 | Y                         |
| 077 | B000000 | Y                         |
| 077 | C000000 | N                         |
| 077 | D000000 | N                         |
| 077 | E000000 | N                         |
| 077 | F000000 | N                         |
| 077 | G000000 | N                         |
| 077 | H000000 | N                         |
| 077 | I000000 | N                         |
| 077 | J000000 | N                         |
| 077 | K000000 | N                         |
| 077 | L000000 | N                         |
| 077 | M000000 | N                         |
| 077 | N000000 | N                         |
| 077 | O000000 | N                         |
| 077 | P000000 | N                         |
| 077 | Q010000 | N                         |
| 077 | Q020000 | N                         |
| 077 | Q030000 | N                         |
| 078 | 000000  | N                         |
| 080 | A000000 | Federal Insurance Company |
| 080 | B000000 | NA                        |
| 080 | C000000 | 750                       |
| 081 | A000000 | N                         |
| 081 | B000000 | 0                         |
| 082 | A000000 | N                         |
| 082 | B000000 | 0                         |
| 083 | A000000 | N                         |
| 083 | B000000 | 0                         |
|     | PAGE    | 9                         |
| 084 | A000000 | N                         |
| 084 | B000000 | 0                         |
| 085 | A000000 | Y                         |
| 085 | B000000 | N                         |
| 086 | A010000 | 33                        |
| 086 | A020000 | 670                       |
| 086 | B010000 | 0                         |
| 086 | B020000 | 0                         |
| 086 | C010000 | 0                         |
| 086 | C020000 | 0                         |
| 086 | D010000 | 0                         |
| 086 | D020000 | 0                         |
| 086 | E010000 | 0                         |
| 086 | E020000 | 0                         |

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086 F010000 0  
086 F020000 0  
087 A010000 COMMON STOCK  
087 A020000 338479108  
087 A030000 FLC  
088 A000000 N  
088 B000000 N  
088 C000000 N  
088 D000000 N  
SIGNATURE BRADFORD S. STONE  
TITLE CHIEF FINANCIAL