

FLAHERTY & CRUMRINE TOTAL RETURN FUND INC
Form NSAR-B
January 28, 2014

PAGE 1
000 B000000 11/30/2013
000 C000000 1245648
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 FLAHERTY&CRUMRINE TOTAL RETURN FUND
001 B000000 811-21380
001 C000000 6267957300
002 A000000 301 E. COLORADO BOULEVARD, SUITE 720
002 B000000 PASADENA
002 C000000 CA
002 D010000 91101
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
008 A000001 FLAHERTY & CRUMRINE INCORPORATED
008 B000001 A
008 C000001 801-19384
008 D010001 PASADENA
008 D020001 CA
008 D030001 91101
010 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.
010 B000001 84-1761
010 C010001 WESTBOROUGH
010 C020001 MA
010 C030001 01581
012 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.
012 B000001 84-1761
012 C010001 WESTBOROUGH
012 C020001 MA
012 C030001 01581
012 A000002 Destra Capital Investment LLC
012 B000002 84-68006
012 C010002 Lisle
012 C020002 IL
012 C030002 60532
013 A000001 KPMG LLP
013 B010001 BOSTON
013 B020001 MA
013 B030001 02110
015 A000001 THE BANK OF NEW YORK MELLON
015 B000001 C
015 C010001 NEW YORK
PAGE 2
015 C020001 NY
015 C030001 10286
018 000000 Y
019 A000000 N
019 B000000 0
021 000000 0

Edgar Filing: FLAHERTY & CRUMRINE TOTAL RETURN FUND INC - Form NSAR-B

022 A000001 WELLS FARGO SECURITIES, LLC.
022 B000001 56-2326000
022 C000001 16712
022 D000001 17392
022 A000002 CANTOR FINITZGERALD
022 B000002 13-2698893
022 C000002 14497
022 D000002 4887
022 A000003 GOLDMAN SACHS & CO.
022 B000003 13-5108880
022 C000003 8437
022 D000003 5762
022 A000004 BANK OF AMERICA SECURITIES, LLC
022 B000004 13-4078651
022 C000004 7097
022 D000004 1123
022 A000005 STIFEL, NICOLAUS & CO., INC.
022 B000005 43-0538770
022 C000005 0
022 D000005 5256
022 A000006 JPMORGAN CHASE & CO
022 B000006 13-3224016
022 C000006 4160
022 D000006 1021
022 A000007 MORGAN STANLEY
022 B000007 13-2655998
022 C000007 568
022 D000007 4106
022 A000008 SUMRIDGE PARTNERS HOLDINGS LLC
022 B000008 00-0000000
022 C000008 1939
022 D000008 950
022 A000009 DEUTSCHE BANK
022 B000009 13-6124068
022 C000009 2136
022 D000009 299
022 A000010 BARCLAYS CAPITAL
022 B000010 13-4942190
022 C000010 2000
022 D000010 367
023 C000000 66391
023 D000000 55385
024 000000 Y
025 A000001 WELLS FARGO SECURITIES, LLC.
025 B000001 56-2326000
PAGE 3
025 C000001 E
025 D000001 9637
025 A000002 BARCLAYS BANK PLC
025 B000002 13-4942190
025 C000002 E
025 D000002 9551
025 A000003 GOLDMAN SACHS & CO.
025 B000003 13-5108880
025 C000003 E
025 D000003 8869
025 A000004 JP MORGAN CHASE & CO.
025 B000004 13-3224016
025 C000004 E
025 D000004 8468
025 A000005 BANK OF AMERICA
025 B000005 56-0906609

Edgar Filing: FLAHERTY & CRUMRINE TOTAL RETURN FUND INC - Form NSAR-B

| | | | |
|-----|---------|---------------------|------|
| 025 | C000005 | E | |
| 025 | D000005 | | 629 |
| 025 | A000006 | GOLDMAN SACHS & CO. | |
| 025 | B000006 | 13-5108880 | |
| 025 | C000006 | D | |
| 025 | D000006 | | 1899 |
| 026 | A000000 | N | |
| 026 | B000000 | N | |
| 026 | C000000 | N | |
| 026 | D000000 | Y | |
| 026 | E000000 | N | |
| 026 | F000000 | N | |
| 026 | G010000 | N | |
| 026 | G020000 | N | |
| 026 | H000000 | N | |
| 027 | 000000 | N | |
| 028 | A010000 | | 0 |
| 028 | A020000 | | 0 |
| 028 | A030000 | | 0 |
| 028 | A040000 | | 0 |
| 028 | B010000 | | 0 |
| 028 | B020000 | | 0 |
| 028 | B030000 | | 0 |
| 028 | B040000 | | 0 |
| 028 | C010000 | | 0 |
| 028 | C020000 | | 0 |
| 028 | C030000 | | 0 |
| 028 | C040000 | | 0 |
| 028 | D010000 | | 0 |
| 028 | D020000 | | 0 |
| 028 | D030000 | | 0 |
| 028 | D040000 | | 0 |
| 028 | E010000 | | 0 |
| 028 | E020000 | | 0 |
| 028 | E030000 | | 0 |
| | PAGE | 4 | |
| 028 | E040000 | | 0 |
| 028 | F010000 | | 0 |
| 028 | F020000 | | 0 |
| 028 | F030000 | | 0 |
| 028 | F040000 | | 0 |
| 028 | G010000 | | 0 |
| 028 | G020000 | | 0 |
| 028 | G030000 | | 0 |
| 028 | G040000 | | 0 |
| 028 | H000000 | | 0 |
| 030 | A000000 | | 0 |
| 030 | B000000 | | 0.00 |
| 030 | C000000 | | 0.00 |
| 031 | A000000 | | 0 |
| 031 | B000000 | | 0 |
| 032 | 000000 | | 0 |
| 033 | 000000 | | 0 |
| 035 | 000000 | | 0 |
| 036 | B000000 | | 0 |
| 038 | 000000 | | 0 |
| 042 | A000000 | | 0 |
| 042 | B000000 | | 0 |
| 042 | C000000 | | 0 |
| 042 | D000000 | | 0 |
| 042 | E000000 | | 0 |
| 042 | F000000 | | 0 |

Edgar Filing: FLAHERTY & CRUMRINE TOTAL RETURN FUND INC - Form NSAR-B

042 G000000 0
042 H000000 0
043 000000 0
044 000000 0
045 000000 Y
046 000000 N
047 000000 Y
048 000000 0.000
048 A010000 200000
048 A020000 0.575
048 B010000 300000
048 B020000 0.500
048 C010000 0
048 C020000 0.000
048 D010000 0
048 D020000 0.000
048 E010000 0
048 E020000 0.000
048 F010000 0
048 F020000 0.000
048 G010000 0
048 G020000 0.000
048 H010000 0
048 H020000 0.000
048 I010000 0
PAGE 5
048 I020000 0.000
048 J010000 0
048 J020000 0.000
048 K010000 500000
048 K020000 0.450
049 000000 N
050 000000 N
051 000000 N
052 000000 N
053 A000000 N
054 A000000 Y
054 B000000 Y
054 C000000 N
054 D000000 N
054 E000000 N
054 F000000 N
054 G000000 Y
054 H000000 Y
054 I000000 N
054 J000000 Y
054 K000000 N
054 L000000 N
054 M000000 Y
054 N000000 N
054 O000000 Y
055 A000000 N
055 B000000 Y
056 000000 Y
057 000000 N
058 A000000 N
059 000000 Y
060 A000000 Y
060 B000000 Y
061 000000 0
062 A000000 N
062 B000000 0.0

Edgar Filing: FLAHERTY & CRUMRINE TOTAL RETURN FUND INC - Form NSAR-B

062 C000000 0.0
062 D000000 0.0
062 E000000 0.0
062 F000000 0.0
062 G000000 0.0
062 H000000 0.0
062 I000000 0.0
062 J000000 0.0
062 K000000 0.0
062 L000000 0.0
062 M000000 0.0
062 N000000 0.0
062 O000000 0.0
062 P000000 0.0
062 Q000000 0.0

PAGE 6

062 R000000 0.0
063 A000000 0
063 B000000 0.0
066 A000000 Y
066 B000000 N
066 C000000 N
066 D000000 N
066 E000000 N
066 F000000 Y
066 G000000 N
067 000000 N
068 A000000 N
068 B000000 N
069 000000 N
070 A010000 Y
070 A020000 N
070 B010000 Y
070 B020000 N
070 C010000 Y
070 C020000 N
070 D010000 Y
070 D020000 N
070 E010000 Y
070 E020000 N
070 F010000 Y
070 F020000 N
070 G010000 Y
070 G020000 N
070 H010000 Y
070 H020000 N
070 I010000 N
070 I020000 N
070 J010000 Y
070 J020000 Y
070 K010000 Y
070 K020000 Y
070 L010000 Y
070 L020000 Y
070 M010000 Y
070 M020000 N
070 N010000 Y
070 N020000 N
070 O010000 Y
070 O020000 Y
070 P010000 N
070 P020000 N

Edgar Filing: FLAHERTY & CRUMRINE TOTAL RETURN FUND INC - Form NSAR-B

| | | | |
|-------|---------|---|--------|
| 070 | Q010000 | N | |
| 070 | Q020000 | N | |
| 070 | R010000 | Y | |
| 070 | R020000 | N | |
| 071 | A000000 | | 68376 |
| | PAGE | 7 | |
| 071 | B000000 | | 67303 |
| 071 | C000000 | | 296739 |
| 071 | D000000 | | 23 |
| 072 | A000000 | | 12 |
| 072 | B000000 | | 11095 |
| 072 | C000000 | | 9119 |
| 072 | D000000 | | 0 |
| 072 | E000000 | | 49 |
| 072 | F000000 | | 1656 |
| 072 | G000000 | | 248 |
| 072 | H000000 | | 0 |
| 072 | I000000 | | 181 |
| 072 | J000000 | | 32 |
| 072 | K000000 | | 0 |
| 072 | L000000 | | 61 |
| 072 | M000000 | | 73 |
| 072 | N000000 | | 0 |
| 072 | O000000 | | 0 |
| 072 | P000000 | | 1069 |
| 072 | Q000000 | | 0 |
| 072 | R000000 | | 56 |
| 072 | S000000 | | 65 |
| 072 | T000000 | | 0 |
| 072 | U000000 | | 0 |
| 072 | V000000 | | 0 |
| 072 | W000000 | | 185 |
| 072 | X000000 | | 3626 |
| 072 | Y000000 | | 0 |
| 072 | Z000000 | | 16637 |
| 072AA | 000000 | | 0 |
| 072BB | 000000 | | 2676 |
| 072CC | 010000 | | 0 |
| 072CC | 020000 | | 3147 |
| 072DD | 010000 | | 16986 |
| 072DD | 020000 | | 0 |
| 072EE | 000000 | | 0 |
| 073 | A010000 | | 1.7170 |
| 073 | A020000 | | 0.0000 |
| 073 | B000000 | | 0.0000 |
| 073 | C000000 | | 0.0000 |
| 074 | A000000 | | 0 |
| 074 | B000000 | | 0 |
| 074 | C000000 | | 0 |
| 074 | D000000 | | 24802 |
| 074 | E000000 | | 263966 |
| 074 | F000000 | | 998 |
| 074 | G000000 | | 0 |
| 074 | H000000 | | 0 |
| 074 | I000000 | | 2908 |
| 074 | J000000 | | 0 |
| 074 | K000000 | | 0 |
| | PAGE | 8 | |
| 074 | L000000 | | 53 |
| 074 | M000000 | | 4171 |
| 074 | N000000 | | 296898 |
| 074 | O000000 | | 0 |

Edgar Filing: FLAHERTY & CRUMRINE TOTAL RETURN FUND INC - Form NSAR-B

| | | |
|-----|---------|---------------------------|
| 074 | P000000 | 134 |
| 074 | Q000000 | 102900 |
| 074 | R010000 | 0 |
| 074 | R020000 | 0 |
| 074 | R030000 | 0 |
| 074 | R040000 | 218 |
| 074 | S000000 | 0 |
| 074 | T000000 | 193646 |
| 074 | U010000 | 9898 |
| 074 | U020000 | 0 |
| 074 | V010000 | 19.56 |
| 074 | V020000 | 0.00 |
| 074 | W000000 | 0.0000 |
| 074 | X000000 | 14 |
| 074 | Y000000 | 0 |
| 075 | A000000 | 0 |
| 075 | B000000 | 301225 |
| 076 | 000000 | 18.16 |
| 077 | A000000 | Y |
| 077 | B000000 | Y |
| 077 | C000000 | N |
| 077 | D000000 | N |
| 077 | E000000 | N |
| 077 | F000000 | N |
| 077 | G000000 | N |
| 077 | H000000 | N |
| 077 | I000000 | N |
| 077 | J000000 | N |
| 077 | K000000 | N |
| 077 | L000000 | N |
| 077 | M000000 | N |
| 077 | N000000 | N |
| 077 | O000000 | N |
| 077 | P000000 | N |
| 077 | Q010000 | N |
| 077 | Q020000 | N |
| 077 | Q030000 | N |
| 078 | 000000 | N |
| 080 | A000000 | Federal Insurance Company |
| 080 | B000000 | NA |
| 080 | C000000 | 750 |
| 081 | A000000 | N |
| 081 | B000000 | 0 |
| 082 | A000000 | N |
| 082 | B000000 | 0 |
| 083 | A000000 | N |
| 083 | B000000 | 0 |
| | PAGE | 9 |
| 084 | A000000 | N |
| 084 | B000000 | 0 |
| 085 | A000000 | Y |
| 085 | B000000 | N |
| 086 | A010000 | 23 |
| 086 | A020000 | 463 |
| 086 | B010000 | 0 |
| 086 | B020000 | 0 |
| 086 | C010000 | 0 |
| 086 | C020000 | 0 |
| 086 | D010000 | 0 |
| 086 | D020000 | 0 |
| 086 | E010000 | 0 |
| 086 | E020000 | 0 |

Edgar Filing: FLAHERTY & CRUMRINE TOTAL RETURN FUND INC - Form NSAR-B

086 F010000 0
086 F020000 0
087 A010000 COMMON STOCK
087 A020000 338479108
087 A030000 FLC
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 N
SIGNATURE R. ERIC CHADWICK
TITLE CHIEF FINANCIAL