

Bolomey Janice
Form 4
March 12, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Bolomey Janice

2. Issuer Name and Ticker or Trading Symbol
UNITY BANCORP INC /NJ/
[UNTY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
UNITY BANK, 64 OLD
HIGHWAY 22
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
03/12/2019

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)
EVP/CAO

CLINTON, NJ 08809

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price |
| Restricted Stock | 03/12/2019 | | A | | 4,000 <u>(1)</u> | A | \$ 20.61 |
| Common Stock | | | | | | | 11,100 <u>(2)</u> |
| | | | | | | | 20,581 <u>(3)</u> |
| | | | | | | | D |
| | | | | | | | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| Stock Options | \$ 20.61 | 03/12/2019 | | A | 10,000 (4) | 03/12/2020 03/12/2029 | Common Stock 10,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| Bolomey Janice UNITY BANK 64 OLD HIGHWAY 22 CLINTON, NJ 08809 | | | EVP/CAO | |

Signatures

Janice Bolomey, POA Laurie Cook,
Controller/SVP

03/12/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 4,000 restricted shares were granted on 3/12/2019 from the 2013 Stock Bonus Plan and vest over four years; vesting commences with 1,000 shares on 3/12/2020, 1,000 shares on 3/12/2021, 1,000 shares on 3/12/2022, and 1,000 shares on 3/12/2023.
- (2) 11,100 shares are held in an account at Computershare, which have upcoming vesting dates.
- (3) 20,581 shares are held in an account at Shareworks.
- (4) 10,000 stock options were granted on 3/12/2019 from the 2017 Stock Option Plan and vest over three years; vesting commences with 3,334 shares on 3/12/2020, 3,333 shares on 3/12/2021, and 3,333 shares on 3/12/2022.
- (5) 92,000 stock options were granted under various dates and vesting periods of which 72,001 are currently exercisable.

Remarks:

Total Beneficial Ownership: 103,682

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.