

Edgar Filing: BERTCHER GENE S - Form SC 13G/A

BERTCHER GENE S  
Form SC 13G/A  
January 07, 2004

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

SCHEDULE 13G

Under the Securities Exchange Act of 1934  
(Amendment No. 1)\*

GREENBRIAR CORPORATION

-----  
(Name of Issuer)

Common Stock, Par Value \$0.01

-----  
(Title of Class of Securities)

393648 40 7

-----  
(CUSIP Number)

December 31, 2003

-----  
(Date of Event which Requires Filing of this Settlement)

Check the appropriate box to designate the rule pursuant to which  
this Schedule is filed:

- [ ] Rule 13d-1(b)  
[ X ] Rule 13d-1(c)  
[ ] Rule 13d-1(d)

\*The remainder of this cover page shall be filled out for a  
reporting person's initial filing on this form with respect to  
the subject class of securities, and for any subsequent amendment  
containing information which would alter disclosures provided in  
a prior cover page.

The information required on the remainder of this cover page  
shall not be deemed to be "filed" for the purpose of Section 18  
of the Securities Exchange Act of 1934 ("Act") or otherwise  
subject to the liabilities of that section of the Act but shall  
be subject to all other provisions of the Act (however, see the  
Notes).

SCHEDULE 13G

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(1) Name of Reporting Person

Gene S. Bertcher

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I.R.S. Identification

Nos. of Above Persons (entities only)

- (2) Check the Appropriate Box if a Member of a Group (see instructions) (a) [ ] (b) [ ]
- (3) SEC Use Only
- (4) Citizenship or Place of Organization USA
- Number of Shares (5) Sole Voting Power 72,421
- Beneficially Owned by Each (6) Shared Voting Power 0
- Reporting Person (7) Sole Dispositive Power 72,421
- with: (8) Shared Dispositive Power 0
- (9) Aggregate Amount Beneficially Owned by Each Reporting Person 72,421
- (10) Check if the Aggregate Amount in Row (9) Excludes Certain Shares (see instructions) [ ]
- (11) Percent of Class Represented by Amount in Row (9) 6.6%
- (12) Type of Reporting Person (see instructions) IN

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Item 1.

- (a) Name of Issuer: Greenbriar Corporation
- (b) Address of Issuer's Principal Executive Offices:  
14185 Dallas Parkway, Suite 650  
Dallas, TX 75254

Item 2.

- (a) Name of Person Filing: Gene S. Bertcher
- (b) Business Address:  
14185 Dallas Parkway, Suite 650  
Dallas, TX 75254
- (c) Citizenship: USA
- (d) Title of Class of Securities:

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Greenbriar Corporation Common Stock

(e) CUSIP Number: 393648 40 7

Item 3. Not applicable

Item 4. OWNERSHIP

(a) Amount beneficially owned: 72,421 shares

(b) Percent of class: 6.6%

(c) Number of shares as to which the person has:

(i) Sole power to vote or to direct the vote:  
72,421 shares.

(ii) Shared power to vote or to direct the vote 0.

(iii) Sole power to dispose or to direct the disposition  
of 72,421 shares.

(iv) Shared power to dispose or to direct the  
disposition of 0.

Item 5. OWNERSHIP OF FIVE PERCENT OR LESS OF A CLASS

Not applicable

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Item 6. OWNERSHIP OF MORE THAN FIVE PERCENT ON BEHALF OF  
ANOTHER PERSON

Not applicable

Item 7. IDENTIFICATION AND CLASSIFICATION OF THE SUBSIDIARY  
WHICH ACQUIRED THE SECURITY BEING REPORTED ON BY THE  
PARENT HOLDING COMPANY

Not applicable.

Item 8. IDENTIFICATION AND CLASSIFICATION OF MEMBERS OF THE  
GROUP

Not applicable.

Item 9. NOTICE OF DISSOLUTION OF GROUP

Not applicable.

Item 10. CERTIFICATION

(a) Not applicable

(b) By signing below I certify that, to the best of my  
knowledge and belief, the securities referred to above  
were not acquired and are not held for the purpose of

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or with the effect of changing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

After reasonable inquiry and to the best of my information and belief, I certify that the information set forth in this statement is true, complete, and correct.

Date: January 5, 2004

By: /s/ GENE S. BERTCHER

Gene S. Bertcher

nter"> \$ 34.85 (3)11/12/2008 Common Stock 2,500 2,500 D Incentive Stock Option (Right to Buy) \$ 29.5 (4)01/15/2010 Common Stock 3,000 3,000 D Incentive Stock Option (Right to Buy) \$ 21.82 (5)05/17/2011 Common Stock 3,000 3,000 D Non-Qualified Stock Option (Right to Buy) \$ 29.37 (6)01/31/2012 Common Stock 10,000 10,000 D

Reporting Owners

Table with columns: Reporting Owner Name / Address, Relationships (Director, 10% Owner, Officer, Other). Row 1: Anderson Aron B, 9924 WEST 74TH STREET, EDEN PRAIRIE, MN 55344-3523, VP & Chief Scientific Officer.

Signatures

/s/ Aron B. Anderson 03/22/2006
\*\*Signature of Reporting Person Date

Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
(1) Includes 446 shares acquired through Employee Stock Purchase Plan for phase ended 2/28/06.
(2) Exercisable in annual increments of 800 shares each commencing on 9/18/01.
(3) Exercisable in annual increments of 500 shares each commencing on 11/12/02.
(4) Exercisable in annual increments of 600 shares each commencing on 1/15/04.
(5) Exercisable in annual increments of 600 shares each commencing on 5/17/05.
(6) Exercisable in annual increments of 2,000 shares each commencing 1/31/06.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.