FIRST CAPITAL INC

Form 4

September 02, 2008

F	O	R	M	I 4
	$\mathbf{\circ}$			

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB 3235-0287

OMB APPROVAL

Number:

Expires:

January 31,

2005

0.5

Estimated average

burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Common

Common

Stock

Stock

(Print or Type Responses)

See Instruction

1. Name and Address of UHL SAMUEL E	f Reporting Person *	2. Issuer Name and Ticker or Trading Symbol FIRST CAPITAL INC [(FCAP)]			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (Fir 220 FEDERAL DR	, , , , , , , , , , , , , , , , , , ,	3. Date of Earliest Transaction (Month/Day/Year) 08/29/2008		_X_ Director _X_ Officer (giv below)	10%	Owner er (specify			
F		4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
CORYDON, IN 47	7115				Person	wiole than One Re	porting		
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Own					lly Owned				
Security (Month (Instr. 3)	any	on Date, if Transac Code Day/Year) (Instr. 8	tion(A) or Di (D)	(A) or (D) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock 08/29	0/2008	P	230	A \$ 16.5	29,616 (1)	Ι	By IRA		
Common Stock					12,580	D			

2,933

220

I

Ι

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

By ESOP

By Spouse

Edgar Filing: FIRST CAPITAL INC - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisab	le and	7. Title and A	Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	TransactionNumber Expiration Date			Underlying Securities	
Security	or Exercise		any	Code	of	(Month/Day/Year	r)	(Instr. 3 and	4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e			
	Derivative				Securities				
	Security				Acquired				
					(A) or				
					Disposed				
					of (D)				
					(Instr. 3,				
					4, and 5)				
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Incentive Stock Option (right to buy)	\$ 10					02/14/2001(2)	02/14/2010	Common Stock	6,875

Reporting Owners

Reporting Owner Name / Address	Relationships					
reporting of their remains of transcept	Director	10% Owner	Officer	Other		
UHL SAMUEL E 220 FEDERAL DRIVE CORYDON, IN 47115	X		Chief Operating Officer			

Signatures

/s/ Uhl, Samuel
E.

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This form reflects an increase in beneficial ownership resulting from dividend reinvestment pursuant to Rule 16a-11.
- (2) Options are fully vested and exercisable as of February 14, 2005.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

Edgar Filing: FIRST CAPITAL INC - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.