

Edgar Filing: ADAMS EXPRESS CO - Form SC 13G/A

ADAMS EXPRESS CO
Form SC 13G/A
January 31, 2002

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

SCHEDULE 13G

Under the Securities Exchange Act of 1934

(Amendment No. 3)

THE ADAMS EXPRESS COMPANY

(Name of Issuer)

Common Stock

(Title of Class of Securities)

006212104

(CUSIP Number)

December 31, 2001

(Date of Event which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

☐ Rule 13d-1(b)

☒ Rule 13d-1(c)

☐ Rule 13d-1(d)

*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP No. 006212104

SCHEDULE 13G

Page 2 of 14

1 Name of Reporting Person

Erik E. Bergstrom

IRS Identification No. of Above Person

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2	Check the Appropriate Box if a member of a Group	(a)	☐
		(b)	☒
3	SEC USE ONLY		
4	Citizenship or Place of Organization		USA
	NUMBER OF SHARES	5	Sole Voting Power 0
	BENEFICIALLY OWNED BY EACH REPORTING PERSON WITH	6	Shared Voting Power 5,908,800
		7	Sole Dispositive Power 0
		8	Shared Dispositive Power 5,908,800
9	Aggregate Amount Beneficially Owned by Each Reporting Person		5,908,800
10	Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares		☒ *
11	Percent of Class Represented by Amount in Row 9		6.9%
12	Type of Reporting Person		IN

*See response to Item 4.

CUSIP No. 006212104

SCHEDULE 13G

Page 3 of 14

1	Name of Reporting Person	Erik E. Bergstrom Living Trust U/A Dated 12/6/74
	IRS Identification No. of Above Person	
2	Check the Appropriate Box if a member of a Group	(a) ☐ (b) ☒
3	SEC USE ONLY	
4	Citizenship or Place of Organization	California
	NUMBER OF SHARES	5 Sole Voting Power 0
	BENEFICIALLY OWNED BY EACH REPORTING PERSON WITH	6 Shared Voting Power 1,530,000
		7 Sole Dispositive Power 0
		8 Shared Dispositive Power 1,530,000
9	Aggregate Amount Beneficially Owned by Each Reporting Person	1,530,000
10	Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares	☐
11	Percent of Class Represented by Amount in Row 9	1.8%
12	Type of Reporting Person	00

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CUSIP No. 006212104

SCHEDULE 13G

Page 4 of 14

1	Name of Reporting Person	Edith H. Bergstrom
	IRS Identification No. of Above Person	
2	Check the Appropriate Box if a member of a Group	(a) ☐
		(b) ☒
3	SEC USE ONLY	
4	Citizenship or Place of Organization	USA
	NUMBER OF	
	SHARES	
	BENEFICIALLY	
	OWNED BY EACH	
	REPORTING	
	PERSON WITH	
	5	Sole Voting Power 0
	6	Shared Voting Power 20,000
	7	Sole Dispositive Power 0
	8	Shared Dispositive Power 20,000
9	Aggregate Amount Beneficially Owned by Each Reporting Person	20,000
10	Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares	☐
11	Percent of Class Represented by Amount in Row 9	0.0%
12	Type of Reporting Person	IN

CUSIP No. 006212104

SCHEDULE 13G

Page 5 of 14

1	Name of Reporting Person	Edith H. Bergstrom Living Trust U/A Dated 12/6/74
	IRS Identification No. of Above Person	
2	Check the Appropriate Box if a member of a Group	(a) ☐
		(b) ☒
3	SEC USE ONLY	
4	Citizenship or Place of Organization	California
	NUMBER OF	
	SHARES	
	BENEFICIALLY	
	OWNED BY EACH	
	REPORTING	
	PERSON WITH	
	5	Sole Voting Power 0
	6	Shared Voting Power 20,000
	7	Sole Dispositive Power 0
	8	Shared Dispositive Power 20,000
9	Aggregate Amount Beneficially Owned by Each Reporting Person	20,000
10	Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares	☐

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11	Percent of Class Represented by Amount in Row 9	0.0%
12	Type of Reporting Person	OO

CUSIP No. 006212104 SCHEDULE 13G Page 6 of 14

1	Name of Reporting Person	Federal United Corporation
	IRS Identification No. of Above Person	
2	Check the Appropriate Box if a member of a Group	(a) ☐
		(b) ☒
3	SEC USE ONLY	
4	Citizenship or Place of Organization	Delaware
	NUMBER OF SHARES	5 Sole Voting Power 0
	BENEFICIALLY OWNED BY EACH REPORTING PERSON WITH	6 Shared Voting Power 250,000
		7 Sole Dispositive Power 0
		8 Shared Dispositive Power 250,000
9	Aggregate Amount Beneficially Owned by Each Reporting Person	250,000
10	Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares	☐
11	Percent of Class Represented by Amount in Row 9	0.3%
12	Type of Reporting Person	CO

CUSIP No. 006212104 SCHEDULE 13G Page 7 of 14

1	Name of Reporting Person	Erik E. and Edith H. Bergstrom Foundation, Inc.
	IRS Identification No. of Above Person	
2	Check the Appropriate Box if a member of a Group	(a) ☐
		(b) ☒
3	SEC USE ONLY	
4	Citizenship or Place of Organization	California
	NUMBER OF SHARES	5 Sole Voting Power 0
	BENEFICIALLY OWNED BY EACH REPORTING PERSON WITH	6 Shared Voting Power 4,073,800
		7 Sole Dispositive Power 0
		8 Shared Dispositive Power 4,073,800
9	Aggregate Amount Beneficially Owned by Each	

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Reporting Person	4,073,800
10 Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares	[]
11 Percent of Class Represented by Amount in Row 9	4.8%
12 Type of Reporting Person	CO

CUSIP No. 006212104 SCHEDULE 13G Page 8 of 14

1 Name of Reporting Person	Sharon's Trust	
IRS Identification No. of Above Person		
2 Check the Appropriate Box if a member of a Group	(a)	[]
	(b)	[x]
3 SEC USE ONLY		
4 Citizenship or Place of Organization	California	
NUMBER OF SHARES BENEFICIALLY OWNED BY EACH REPORTING PERSON WITH	5 Sole Voting Power	0
	6 Shared Voting Power	55,000
	7 Sole Dispositive Power	0
	8 Shared Dispositive Power	55,000
9 Aggregate Amount Beneficially Owned by Each Reporting Person	55,000	
10 Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares	[]	
11 Percent of Class Represented by Amount in Row 9	0.1%	
12 Type of Reporting Person	OO	

CUSIP No. 006212104 SCHEDULE 13G Page 9 of 14

Item 1(a). Name of Issuer:

The Adams Express Company

Item 1(b). Address of Issuer's Principal Executive Offices:

Seven St. Paul Street, Suite 1140, Baltimore,
Maryland 21202

Item 2(a). Names of Persons Filing:

Erik E. Bergstrom
Erik E. Bergstrom Living Trust
U/A Dated 12/6/74
Edith H. Bergstrom

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Edith H. Bergstrom Living Trust
U/A Dated 12/6/74
Federal United Corporation
Erik E. and Edith H. Bergstrom Foundation, Inc.
Sharon's Trust

Item 2(b). Address of Principal Business Office or, if none,
Residence:

The business address of Erik E. Bergstrom, Erik E. Bergstrom Living Trust U/A Dated 12/6/74, Edith H. Bergstrom, Edith H. Bergstrom Living Trust U/A Dated 12/6/74, and Federal United Corporation is P.O. Box 126, Palo Alto, California 94302. The business address of the Erik E. and Edith H. Bergstrom Foundation, Inc. is P.O. Box 520, Palo Alto, California 94302. The business address of Sharon's Trust is 13781 Strubel's Lane, Grass Valley, California 95949.

Item 2(c). Citizenship:

See Item(s) 4 to cover page.

Item 2(d). Title of Class of Securities:

Common Stock

Item 2(e). CUSIP Number:

006212104

CUSIP No. 006212104

SCHEDULE 13G

Page 10 of 14

Item 3. If this statement is filed pursuant to Sections 240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:

(a) ☐ Broker or dealer registered under section 15 of the Act (15 U.S.C. 78o).

(b) ☐ Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c).

(c) ☐ Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c).

(d) ☐ Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8).

(e) ☐ An investment adviser in accordance with Section 240.13d-1(b)(1)(ii)(E);

(f) ☐ An employee benefit plan or endowment fund in accordance with Section 240.13d-1(b)(1)(ii)(F);

(g) ☐ A parent holding company or control person in accordance with Section 240.13d-1(b)(1)(ii)(G);

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(h) ☐ A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);

(i) ☐ A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);

(j) ☐ Group, in accordance with Section 240.13d-1(b)(1)(ii)(J).

If this statement is filed pursuant to Section 240.13d-1(c), check this box. ☒

Item 4. Ownership.

The following table specifies as of January 19, 2002 the number of shares of Common Stock as to which each person named in Item 2(a) has sole or shared power to vote or direct the vote or to dispose or direct the disposition, as well as the percentages such shares constitute of the Common Stock reported to be outstanding as of December 31, 2001.

CUSIP No. 006212104

SCHEDULE 13G

Page 11 of 14

Name	Sole Voting and Dispositive	Shared Voting and Dispositive	Aggregate Number of	Percentage of Outstanding
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