

UNI MARTS INC
 Form 4
 October 07, 2002

| | | | | | | | |
|---|---|--|--|--------------------------|--|--|---|
| <p>FORM 4</p> <p>☒ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).</p> <p>(Print or Type Responses)</p> | <p>UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549</p> <p>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP</p> <p>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940</p> | | <p>OMB APPROVAL</p> <p>OMB Number: 3235-0287</p> <p>Expires: January 31, 2005</p> <p>Estimated average burden hours per response. . . . 0.5</p> | | | | |
| <p>1. Name and Address of Reporting Person*</p> <p>Sahakian, Henry D.</p> | <p>2. Issuer Name and Ticker or Trading Symbol</p> <p>Uni-Marts, Inc. UNI</p> | | <p>6. Relationship of Reporting Person(s) to Issuer (Check all applicable)</p> <p>—</p> | | | | |
| <p>(Last) (First) (Middle)</p> <p>c/o Uni-Marts, Inc. 477 East Beaver Avenue</p> | <p>3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)</p> <p>182-26-2692</p> | <p>4. Statement for Month/Year</p> <p>September 2002</p> | <p><input checked="" type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer (give ___ Other (specify below) title below)</p> <p><u>Chairman and Chief Executive Officer</u></p> | | | | |
| <p>(Street)</p> <p>State College, PA 16801</p> | | <p>5. If Amendment, Date of Original (Month/Year)</p> | <p>7. Individual or Joint/Group Filing</p> <p>(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p> | | | | |
| <p>(City) (State) (Zip)</p> | <p>Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned</p> | | | | | | |
| <p>1. Title of Security (Instr. 3)</p> | <p>2. Transaction Date (Month/Day/Year)</p> | <p>3. Transaction Code (Instr. 8)</p> | <p>4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)</p> | | <p>5. Amount of Securities Beneficially Owned at End of Month (Instr. 3 and 4)</p> | <p>6. Ownership Form: Direct (D) Indirect (I) (Instr. 4)</p> | <p>7) Nature of Indirect Beneficial Ownership</p> |
| | | <p>Code</p> | <p>V</p> | <p>Amount (A) or (D)</p> | <p>Price</p> | | |

| | | | | | | | | |
|--------------|--|--|--|--|--|----------------|---|-------------------------------|
| Common Stock | | | | | | (1) 651,130 | D | |
| Common Stock | | | | | | 5,000 | I | As Custodian for Grandchild |
| Common Stock | | | | | | 35,500 | I | By Spouse |
| Common Stock | | | | | | 5,000 | I | By Henry Sahakian IRA Account |
| Common Stock | | | | | | 278,900 | I | By HFL Corporation |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |

Page 1 of 2

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr.3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transaction Code (Instr. 8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned at End Month (Instr. 5) |
|---|--|--------------------------------------|--------------------------------|---|--|--|---------|---|-----------------|--|---|
| | | | Code | V | | (A) | (D) | Date Exercisable | Expiration Date | | |
| | \$3.50 | | | | | 6/19/99 | 6/18/08 | | 13,000 | | 13 |

Edgar Filing: UNI MARTS INC - Form 4

| | | | | | | | | | | | | |
|-----------------------|---------|---------|--|--|--|---------|---------|--|--------------|--------|--|----|
| Option - Right to Buy | | | | | | | | | Common Stock | | | |
| Option - Right to Buy | \$1.375 | | | | | 8/31/00 | 8/30/09 | | Common Stock | 25,000 | | 25 |
| Option - Right to Buy | \$2.20 | | | | | (2) | 9/12/05 | | Common Stock | 40,000 | | 40 |
| Option - Right to Buy | \$2.42 | | | | | (2) | 9/25/06 | | Common Stock | 45,000 | | 45 |
| Option - Right to Buy | \$1.54 | 9/18/02 | | | | (3) | 9/17/07 | | Common Stock | 50,000 | | 50 |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |

Explanation of Responses:

(1) Includes 69,173 shares held in Mr. Sahakian's account in Uni-Marts, Inc. Retirement Savings & Incentive Plan and 92,400 shares held jointly with his wife.

Does not include 59,309 shares owned by his daughter and son-in-law for which Mr. Sahakian disclaims beneficial ownership.

(2) One-Third becomes exercisable at the end of years one, two and three.

(3) One-Half becomes exercisable at 9/18/03 and one-half on 9/18/04.

/S/ Henry D. Sahakian

October 7, 2002

**Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note:

Edgar Filing: UNI MARTS INC - Form 4

File three copies of this Form, on of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure

Page 2 of 2