

Lombarte Jordi
Form 3/A
March 07, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | |
|---|---------|----------|---|---|--|
| 1. Name and Address of Reporting Person * | | | 2. Date of Event Requiring Statement (Month/Day/Year) | 3. Issuer Name and Ticker or Trading Symbol | |
| Å Lombarte Jordi | | | 06/29/2018 | AUTOLIV INC [ALV] | |
| (Last) | (First) | (Middle) | | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| | | | | (Check all applicable) | 07/09/2018 |
| C/O AUTOLIV, INC., Å KLARABERGSVIADUKTEN 70, SECTION B7 | | | | ___ Director ___ 10% Owner | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| | | (Street) | | __X__ Officer ___ Other (give title below)(specify below) | __X__ Form filed by One Reporting Person |
| STOCKHOLM, Å V7 Å SE-111 64 | | | | Chief Technology Officer | ___ Form filed by More than One Reporting Person |
| (City) | (State) | (Zip) | | | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock | 1,792 ⁽¹⁾ | D | Å |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|---|---|---|
| | Date Exercisable | Expiration Date | Title | | |

Edgar Filing: Lombarte Jordi - Form 3/A

| | | | | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) | |
|-------------------------------------|-----------------------|-----------------------|-----------------|----------------------------------|---------------|--|---|
| Restricted Stock Unit | Â <u>(2)</u> | Â <u>(2)</u> | Common Stock | 126 <u>(1)</u> | \$ <u>(3)</u> | D | Â |
| Restricted Stock Unit | 02/19/2020 <u>(4)</u> | 02/19/2020 <u>(4)</u> | Common Stock | 435.032 <u>(1) (5)</u> | \$ <u>(3)</u> | D | Â |
| Restricted Stock Unit | 02/13/2021 <u>(4)</u> | 02/13/2021 <u>(4)</u> | Common Stock | 639.0429 <u>(1) (5)</u> | \$ <u>(3)</u> | D | Â |
| Restricted Stock Unit <u>(6)</u> | 02/15/2019 <u>(4)</u> | 02/15/2019 <u>(4)</u> | Common Stock | 378 <u>(1)</u> | \$ <u>(3)</u> | D | Â |
| Restricted Stock Unit <u>(6)</u> | 02/19/2020 <u>(4)</u> | 02/19/2020 <u>(4)</u> | Common Stock | 435.032 <u>(1) (5)</u> | \$ <u>(3)</u> | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|----------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Lombarte Jordi C/O AUTOLIV, INC. KLARABERGSVIADUKTEN 70, SECTION B7 STOCKHOLM,Â V7Â SE-111 64 | Â | Â | Â Chief Technology Officer | Â |

Signatures

/s/ Brian Kelly as
attorney-in-fact

03/07/2019

Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) On June 29, 2018, the issuer completed its spin-off of its wholly-owned subsidiary Veoneer, Inc. (the "Spin-off"). The number of shares held, as well as the number of shares underlying RSUs, were adjusted in connection with the Spin-off. Because the adjustment calculations were not available as of the date of this filing, this Form 3 reflects the reporting person's holdings on a pre-adjustment basis.

(2) The restricted stock units (RSUs) granted to the reporting person on February 15, 2016 vest and convert to shares in approximately installments on the first, second and third anniversaries of the grant date of the RSUs.

(3) Each RSU represents a contingent right to receive one share of issuer common stock.

(4) The RSUs vest and convert to shares in one installment on the third anniversary of the grant date.

(5) Includes dividend equivalent rights accrued in the form of additional RSUs in respect of the RSUs granted to the reporting person. Per the terms of the award agreement, any cash dividend paid with respect to Autoliv's common stock for which the record date occurs on or after the grant date and the payment date occurs on or before the vesting date will result in a credit of additional RSUs, which are subject to the same vesting schedule as the underlying RSUs.

(6) Reflects performance shares that converted into RSUs in connection with the Spin-off.

Â

Remarks:

Edgar Filing: Lombarte Jordi - Form 3/A

See Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.