

HOME BANCSHARES INC  
Form 4  
September 26, 2016

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
ALLISON JOHN W

2. Issuer Name and Ticker or Trading Symbol  
HOME BANCSHARES INC  
[HOMB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
P.O. BOX 966  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
09/23/2016

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chairman

CONWAY, AR 72033

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Common Stock                    | 03/01/2016                           |  | G                              | V 2,000   | D \$ 0 7,643,984 <sup>(1)</sup>   | D  |   |
| Common Stock                    | 09/23/2016                           |  | J                              | 2,650,000   | D \$ 22 4,993,984 <sup>(2)</sup>  | D  |   |
| Common Stock - Restricted       |                                      |  |                                |   | 286,666 <sup>(1)</sup><br><sup>(3)</sup> <sup>(4)</sup> <sup>(5)</sup>                        | D  |   |
| Common Stock                    |                                      |  |                                |   | 13,706.312<br><sup>(1)</sup> <sup>(6)</sup>   | I  | By 401(k)   |
| Common Stock                    |                                      |  |                                |   | 67,328 <sup>(1)</sup>   | I  | By Capital  |

|              |  |  |  |                        |   |  |         |
|--------------|--|--|--|------------------------|---|--|---------|
|              |  |  |  |                        |   |  | Buyers  |
| Common Stock |  |  |  | 16,272 <sup>(1)</sup>  | I |  | By IRA  |
| Common Stock |  |  |  | 855,360 <sup>(1)</sup> | I |  | By wife |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. P         |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|----------------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title        | Amount or Number of Shares |
| Stock Option                               | \$ 4.27 <sup>(7)</sup>                                 |                                      |  |                                |   | <sup>(8)</sup>   | 01/17/2018  | Common Stock | 71,280 <sup>(1)</sup>      |
| Stock Option                               | \$ 4.3 <sup>(7)</sup>                                  |                                      |  |                                |   | <sup>(9)</sup>   | 01/09/2018  | Common Stock | 9,504 <sup>(1)</sup>       |
| Stock Option                               | \$ 5.08 <sup>(7)</sup>                                 |                                      |  |                                |   | <sup>(10)</sup>  | 01/18/2017  | Common Stock | 95,040 <sup>(1)</sup>      |
| Stock Option                               | \$ 8.62 <sup>(7)</sup>                                 |                                      |  |                                |   | 01/18/2014   | 01/17/2023  | Common Stock | 100,000 <sup>(1)</sup>     |
| Stock Option                               | \$ 2.1 <sup>(7)</sup>                                  |                                      |  |                                |   | <sup>(11)</sup>  | <sup>(11)</sup>   | Common Stock | 1,425 <sup>(1)</sup>       |
| Stock Option                               | \$ 2.46 <sup>(7)</sup>                                 |                                      |  |                                |   | <sup>(12)</sup>  | <sup>(12)</sup>   | Common Stock | 2,851 <sup>(1)</sup>       |
| Stock Option                               | \$ 2.66 <sup>(7)</sup>                                 |                                      |  |                                |   | <sup>(13)</sup>  | <sup>(13)</sup>   | Common Stock | 4,276 <sup>(1)</sup>       |

## Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

## Edgar Filing: HOME BANCSHARES INC - Form 4

Director    10% Owner    Officer    Other

ALLISON JOHN W  
P.O. BOX 966  
CONWAY, AR 72033

X

Chairman

## Signatures

/s/John W. Allison by Rachel  
Wesson

09/26/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person received shares as a result of the Company declaring a 2-for-1 stock split to shareholders of record as of May 18, 2016 and made payable June 8, 2016.  

The reporting person sold these shares to the underwriter in a registered, underwritten secondary offering. The reported sale price reflects the public offering price of \$22.00 per share. The reporting person received a price per share of \$21.56, net of underwriting discounts and commissions.
- (2) Restricted Stock granted on January 25, 2016 will "cliff" vest 100% three years from award date.
- (3) Restricted Stock granted on January 17, 2014 will vest in 33 1/3% installments over three years each January 17th.
- (4) Restricted Stock granted on January 16, 2015 will "cliff" vest 100% three years from award date.
- (5) Includes 156.676 shares acquired through the Home BancShares, Inc. 401(k) Plan since the reporting person's last filing.
- (6) The exercise price decreased as a result of the Company declaring a 2-for-1 stock split to shareholders of record May 18, 2016 and made payable June 8, 2016.
- (7) The option became exercisable in five equal annual installments beginning on January 18, 2009.
- (8) The option became exercisable in five equal annual installments beginning on January 10, 2009.
- (9) The option became exercisable in two equal annual installments beginning on January 19, 2008.
- (10) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2003 and would have expired on December 31, 2013.
- (11) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2004 and would have expired on December 31, 2014.
- (12) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2005 and would have expired on December 31, 2015.
- (13)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.