### Edgar Filing: MARVELL TECHNOLOGY GROUP LTD - Form 4

#### MARVELL TECHNOLOGY GROUP LTD

Form 4 April 05, 2016

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

**SECURITIES** Form 5 obligations

may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Sutardja, Sehat

2. Issuer Name and Ticker or Trading Symbol

MARVELL TECHNOLOGY GROUP LTD [MRVL]

(Last) (First) (Middle)

5488 MARVELL LANE

(Street)

3. Date of Earliest Transaction (Month/Day/Year)

04/01/2016

4. If Amendment, Date Original

Filed(Month/Day/Year)

**OMB APPROVAL** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

response...

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_X\_\_ 10% Owner \_X\_\_ Director \_ Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

SANTA CLARA, CA 95054

(City)	(State) (	Zip) Tabl	e I - Non-D	erivative S	Securi	ties Acq	uired, Disposed o	of, or Beneficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Shares	04/01/2016		M	50,000	A	<u>(1)</u>	46,989,908 (2)	D	
Common Shares	04/01/2016		F(3)	18,790	D	\$ 9.94	46,971,118 (2)	D	
Common Shares	04/01/2016		M	20,000	A	<u>(1)</u>	46,991,118 (2)	D	
Common Shares	04/01/2016		F(3)	7,775	D	\$ 9.94	46,983,343 (2)	D	
Common Shares							18,253,334	I	By Sutardja Family

Partners (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units (Right to Acquire)	<u>(1)</u>	04/01/2016		M	50,000	<u>(5)</u>	04/01/2016	Common Shares	50,000
Restricted Stock Units (Right to Acquire)	<u>(1)</u>	04/01/2016		M	20,000	<u>(6)</u>	04/01/2016	Common Shares	20,000

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
reporting owner runner runners	Director	Relationships  10% Owner Officer  X	Other				
Sutardja, Sehat 5488 MARVELL LANE SANTA CLARA, CA 95054	X	X					
Dai, Weili 5488 MARVELL LANE SANTA CLARA, CA 95054	X	X					

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### **Signatures**

/s/Sehat Sutardja 04/04/2016

\*\*Signature of Date
Reporting Person

/s/Weili Dai 04/04/2016

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit ("RSU") represented a contingent right to receive one Marvell common share.
- (2) These securities are jointly owned by Ms. Weili Dai and Dr. Sehat Sutardja who are members of a "Group" for purposes of Section 13(d) of the Securities Exchange Act of 1934, as amended. Dr. Sutardja and Ms. Dai are husband and wife.
- (3) Surrender of shares in payment of tax withholding due as a result of the vesting of RSUs or performance award (as applicable).
- (4) Ms. Dai and Dr. Sutardja are the general partners of The Sutardja Family Partners, a California family limited partnership.
- (5) 50,000 shares granted pursuant to a service-based RSU award were vested and released to Dr. Sutardja effective April 1, 2016. This grant vests in four equal annual installments beginning on the first anniversary of April 1, 2012, the vesting commencement date.
- (6) 20,000 shares granted pursuant to a service-based RSU award were vested and released to Ms. Dai effective April 1, 2016. This grant vests in four equal annual installments beginning on the first anniversary of April 1, 2012, the vesting commencement date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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