

FIRST DATA CORP  
Form 3  
October 15, 2015

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Â FOREHAND JOE W  
(Last) (First) (Middle)

18208 PRESTON ROAD,  
SUITE D9-387

(Street)

DALLAS,Â TXÂ 75252

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)  
10/15/2015

3. Issuer Name and Ticker or Trading Symbol  
FIRST DATA CORP [FDC]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer  Other  
(give title below) (specify below)

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security  
(Instr. 4)

2. Amount of Securities Beneficially Owned  
(Instr. 4)

3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)

4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and Expiration Date  
(Month/Day/Year)

Date Exercisable      Expiration Date

3. Title and Amount of Securities Underlying Derivative Security  
(Instr. 4)

Title      Amount or Number of Shares

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:  
Direct (D)  
or Indirect (I)

6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

(Instr. 5)

Class B Common Stock	Â (1)(2)	Â (1)(2)	Class A Common Stock	256,050 (2)	\$ (1)	D	Â
Stock Options (right to buy)	Â (3)	07/03/2024	Class B Common Stock (1)	158,182	\$ 12.65	D	Â
Stock Options (right to buy)	Â (4)	03/05/2023	Class B Common Stock (1)	316,364	\$ 11.07	D	Â
Stock Options (right to buy)	Â (4)	12/23/2020	Class B Common Stock (1)	94,909	\$ 9.49	D	Â
Stock Options (right to buy)	Â (4)	05/19/2020	Class B Common Stock (1)	158,182	\$ 9.49	D	Â
Stock Options (right to buy)	Â (4)	04/09/2020	Class B Common Stock (1)	316,364	\$ 9.49	D	Â
Units in Director Deferred Compensation Plan	Â (5)	Â (5)	Class A Common Stock	9,095	\$ (5)	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
FOREHAND JOE W 18208 PRESTON ROAD, SUITE D9-387 DALLAS, TX 75252	Â X	Â	Â	Â

## Signatures

By: /s/ Gretchen A. Herron,  
attorney-in-fact

10/15/2015

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Shares of Class B common stock ("Class B Common Stock") of First Data Corporation (the "Issuer") are convertible into shares of Class (1) A common stock of the Issuer ("Class A Common Stock") on a one-for-one basis at any time at the option of the holder with the prior written consent of the Issuer, automatically upon transfer, with certain exceptions, and upon certain other events.

(2) Includes 150,596 shares of restricted Class B Common Stock, including 131,923 of which will vest upon the expiration of the 180-day lock-up period in connection with the Issuer's initial public offering, 9,886 of which will vest on March 5, 2017 and 8,787 of which (i) 20% will vest upon the expiration of the 180-day lock-up period in connection with the Issuer's initial public offering, (ii) 40% will vest on February 24, 2017 and (iii) 40% will vest on February 24, 2018, subject to continued service through the applicable vesting dates.

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- (3) These stock options vest in equal annual installments on each of the first three anniversaries of March 5, 2014, subject to continued service through each applicable vesting date.
- (4) These stock options are fully vested and exercisable.
- (5) Each unit represents the economic equivalent of one share of Class A Common Stock. The units become payable in cash upon Mr. Forehand's termination of service as a director.

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**Remarks:**

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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