

Edgar Filing: TCHEN HENRI - Form 4/A

TCHEN HENRI
Form 4/A
March 26, 2003

OMB APPROVAL

OMB Number 3235-0287
Expires: January 31, 2005
Estimated average burden
hours per response 0.5

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

Check box if no longer subject of Section 16. Form 4 or Form 5 obligations
may continue. See Instruction 1(b).

1. Name and Address of Reporting Person*

Tchen

Henri

(Last)

(First)

(Middle)

17150 Newhope St., Suite 503

(Street)

Fountain Valley

CA

92708

(City)

(State)

(Zip)

2. Issuer Name and Ticker or Trading Symbol

Liquidmetal Technologies (LQMT)

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Statement for Month/Day/Year

March 19, 2003

5. If Amendment, Date of Original (Month/Day/Year)

March 21, 2003
=====

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6. Relationship of Reporting Person to Issuer
(Check all applicable)

- Director 10% Owner
 Officer (give title below) Other (specify below)

7. Individual or Joint/Group Filing (Check applicable line)

- Form filed by one Reporting Person
 Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,
or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Trans- action Date (mm/dd/yy) | 2A. Deemed Execution Date, if any (mm/dd/yy) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | |
|--|--|---|---|---|--|------------------|--------|
| | | | Code | V | Amount | (A) or (D) | Price |
| Liquidmetal Technologies Common Stock | 03/19/03 | | P | | 1,000 | A | \$5.98 |
| Liquidmetal Technologies Common Stock | 03/19/03 | | P | | 600 | A | \$5.99 |
| Liquidmetal Technologies Common Stock | 03/19/03 | | P | | 3,300 | A | \$6.00 |
| Liquidmetal Technologies Common Stock | 03/19/03 | | P | | 350 | A | \$6.02 |
| Liquidmetal Technologies Common Stock | 03/19/03 | | P | | 1,019 | A | \$6.03 |
| Liquidmetal Technologies Common Stock | 03/19/03 | | P | | 2,000 | A | \$6.04 |

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* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Responses)

(Over)

(Form 4-07/98)

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(Last) (First) (Middle)

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(Street)

Fountain Valley CA 92708

(City) (State) (Zip)

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(Check all applicable)

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 Officer (give title below) Other (specify below)

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- Form filed by one Reporting Person
 Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,
or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Trans- action Date (mm/dd/yy) | 2A. Deemed Execution Date, if any (mm/dd/yy) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | |
|--|--|---|---|---|--|------------------|--------|
| | | | Code | V | Amount | (A) or (D) | Price |
| Liquidmetal Technologies Common Stock | 03/19/03 | | P | | 5,900 | A | \$6.05 |
| Liquidmetal Technologies Common Stock | 03/19/03 | | P | | 1,781 | A | \$6.06 |

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| | | | | | |
|--|----------|---|-------|---|---------|
| Liquidmetal Technologies Common Stock | 03/19/03 | P | 300 | A | \$6.069 |
| Liquidmetal Technologies Common Stock | 03/19/03 | P | 4,800 | A | \$6.07 |
| Liquidmetal Technologies Common Stock | 03/19/03 | P | 900 | A | \$6.08 |
| Liquidmetal Technologies Common Stock | 03/19/03 | P | 1,750 | A | \$6.09 |
| Liquidmetal Technologies Common Stock | 03/19/03 | P | 300 | A | \$6.10 |

* If the Form is filed by more than one Reporting Person, see Instruction 4 (b) (v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Responses)

(Over)

(Form 4-07/98)

FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conver- sion or Exer- cise Price of Deriv- ative Secur- ity | 3. Trans- action Date (Month/ Day/ Year) | 4. Trans- action Code (Instr. 8) ----- Code V | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) ----- Date Expira- tion Date | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) ----- Amount or Number of Shares |
|--|---|--|--|--|---|--|
| ----- | ----- | ----- | ----- | ----- | ----- | ----- |

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Explanation of Responses:

This amendment is being filed to correct the transaction code for the transactions set forth herein. The transaction code should have been a "P" rather than the originally stated "M", since the transactions were open-market purchases.

/s/ Curt P. Creely

March 26, 2003

CURT P. CREELY AS ATTORNEY IN FACT FOR
HENRI TCHEN

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

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