Edgar Filing: SOSA ENRIQUE - Form 4

SOSA ENRI	QUE									
Form 4										
October 01, 2										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								PPROVAL		
	Washington, D.C. 20549							3235-0287		
Check this			8 /				Expires:	January 31,		
if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF						•	Estimated average			
	Section 16. SECURITIES						burden hours per			
	Form 4 or					response				
obligation	Form 5 obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section									
may conti	nue. Section 17(a	30(h) of the In	•				on			
See Instru 1(b).	ction	30(II) of the III	vestment	company	Actor	940				
1(0).										
(Print or Type R	esponses)									
		*								
1. Name and Ad SOSA ENRI	ddress of Reporting P		2. Issuer Name and Ticker or Trading			5. Relationship of Issuer	5. Relationship of Reporting Person(s) to			
SOSA LINKI	QUE	•	Symbol							
	[NTRS]	NORTHERN TRUST CORP				(Check all applicable)				
(Least)	(First) (M			<i></i>		V Director	100	1 Oruman		
(Last)		3. Date of Earliest Transaction (Month/Day/Year)			X Director Officer (give	_X_Director10% Owner Officer (give titleOther (specify				
50 SOUTH I		09/30/2010				below) below)				
	(Street)			e Original		6 Individual or I	oint/Group Fili	ng(Check		
		4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line)				
		× ×	, , , , , , , , , , , , , , , , , , ,			_X_ Form filed by				
CHICAGO,	IL 60603					Person	More than One R	eporting		
(City)	(State) (Z	Zip) Tabl	a I - Non-De	arivativa Sa	ocurities A	.cquired, Disposed o	or Ronoficio	lly Owned		
1 Title of	2 Transaction Data							-		
1.Title of Security	2. Transaction Date (Month/Day/Year)	Execution Date, if	3. Transactio	4. Securities onAcquired (A) or		5. Amount of Securities	6. Ownership Form: Direct	Indirect		
(Instr. 3)		any	Code Disposed of (D)			Beneficially	(D) or Indirect (I)	Beneficial Ownership (Instr. 4)		
		(Month/Day/Year)	(Instr. 8) (Instr. 3, 4 and 5)		Owned Following					
						Reported	(Instr. 4)	(11150.4)		
					(A) or	Transaction(s)				
			Code V	Amount	(D) Pric	e (Instr. 3 and 4)				
Common						5,470.02	D			
Stock (1)						5,170.02	D			
Common						1 000	D			
Stock						1,000	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: SOSA ENRIQUE - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Numbe onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	Expiration I (Month/Day	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Units	(2)	09/30/2010		А	483	(3)	(3)	Common Stock	483	\$ 0

Reporting Owners

Reporting Owner Name / Address						
I State and the state	Director	10% Owner	Officer	Other		
SOSA ENRIQUE 50 SOUTH LASALLE STREET CHICAGO, IL 60603	Х					
Signatures						
Paul A. Bernacki Attorney-in-Fac J. Sosa	que	10/01/2010				
**Signature of Reporting Person			Date			

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents stock units payable automatically on a 1-for-1 basis in shares of the Corporation's common stock.

(2) 1-for-1

(3) The stock units will be paid in cash to the Director upon termination of the Director's service on the Board.

(4) Represents the deferral of quarterly retainers and miscellaneous fees.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.