Edgar Filing: WADDELL FREDERICK H - Form 4

WADDELL Form 4 July 28, 2010 FORM Check thi if no long subject to Section 1 Form 4 or Form 5 obligation may cont <i>See</i> Instru 1(b).	14 UNITED ST is box ger 6. r 56. r 51led pursu Section 17(a)	TATES SECUR Was ENT OF CHAN ant to Section 1 of the Public Ut 30(h) of the In	GES IN SECUR 6(a) of the tility Hole	D.C. 2 BENEI ITIES e Secur ling Co	0549 FICIA ities Ex mpany	L OW xchang Act o	NERSHIP OF ge Act of 1934, f 1935 or Sectio	OMB Number: Expires: Estimated a burden hou response	irs per		
(Print or Type F	Responses)										
1. Name and A WADDELL	Symbol	NORTHERN TRUST CORP				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) 50 S. LA SA	(Last)(First)(Middle)3. Date of 150 S. LA SALLE STREET07/23/20				1		_X_ Director 10% Owner _X_ Officer (give title Other (specify below) below) CEO and President				
(Street) 4. If Amend Filed(Month CHICAGO, IL 60603				-	al		 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned						lly Owned					
1.Title of Security (Instr. 3)	(Month/Day/Year)	ansaction Date 2A. Deemed hth/Day/Year) Execution Date, if any (Month/Day/Year)			urities red (A) of sed of (E 3, 4 and (A) or	or))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of		
Common Stock	07/23/2010		Code V G V	2,000		\$ 0	12,475	Ι	By Spouse		
Common Stock (1)							185,415	D			
Common Stock							120	D			
Common Stock							63,357	I	By Trust		
Common Stock							40,000	Ι	GRAT		

Reporting Owner Name / Address	Director
WADDELL FREDERICK H	
50 S. LA SALLE STREET	Х
CHICAGO, IL 60603	

Reporting Owners

Signatures

Paul A. Bernacki Attorney-in-Fact for Frederick H. Waddell

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Relationships

Officer

CEO and President

(1) Represents stock units payable automatically on a 1-for-1 basis in shares of the Corporation's common stock.

10% Owner

(2) as of 6-30-10

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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Common Stock	30,036 <u>(2)</u>	Ι	401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transact Code (Instr. 8)	5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Securi (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
			Code V	7 (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

07/28/2010

Other

Date