

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-B

SPECIAL OPPORTUNITIES FUND, INC.

Form NSAR-B

February 29, 2012

PAGE 1  
000 B000000 12/31/2011  
000 C000000 0000897802  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 SPECIAL OPPORTUNITIES FUND, INC.  
001 B000000 811-07528  
001 C000000 8776070414  
002 A000000 615 EAST MICHIGAN STREET  
002 B000000 MILWAUKEE  
002 C000000 WI  
002 D010000 53202  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
007 C010200 2  
007 C010300 3  
007 C010400 4  
007 C010500 5  
007 C010600 6  
007 C010700 7  
007 C010800 8  
007 C010900 9  
007 C011000 10  
008 A000001 BROOKLYN CAPITAL MANAGEMENT, LLC  
008 B000001 A  
008 C000001 801-70596  
008 D010001 SADDLE BROOK  
008 D020001 NJ  
008 D030001 07663  
010 A000001 U.S. BANCORP FUND SERVICES, LLC  
010 B000001 85-11357  
010 C010001 MILWAUKEE  
010 C020001 WI  
010 C030001 53202  
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY, LLC  
012 B000001 84-00416  
012 C010001 NEW YORK  
012 C020001 NY  
012 C030001 10038  
013 A000001 TAIT, WELLER & BAKER LLP  
013 B010001 PHILADELPHIA  
013 B020001 PA  
PAGE 2  
013 B030001 19103  
015 A000001 U.S. BANK, N.A.  
015 B000001 C  
015 C010001 MILWAUKEE  
015 C020001 WI  
015 C030001 53212

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015 E010001 X  
018 000000 Y  
019 A000000 N  
019 B000000 0  
020 A000001 MERLIN ADVISORS, INC.  
020 C000001 51  
020 A000002 CANACCORD  
020 C000002 18  
020 A000003 RAYMOND JAMES & ASSOCIATES, INC.  
020 C000003 15  
020 A000004 WALL STREET MANAGEMENT, INC.  
020 C000004 10  
020 A000005 CREDIT RESEARCH & TRADING LLC  
020 C000005 3  
020 A000006 KERN CAPITAL MANAGEMENT LLC  
020 C000006 3  
020 A000007 LAZARD CAPITAL MARKETS LLC  
020 C000007 3  
020 A000008 CANTOR FITZGERALD & COMPANY  
020 C000008 2  
020 A000009 KERLIN SECURITIES, INC.  
020 C000009 2  
020 A000010 I-BANKERS SECURITIES, INC.  
020 C000010 1  
021 000000 109  
022 A000001 CREDIT RESEARCH & TRADING LLC  
022 C000001 1909  
022 D000001 4234  
022 A000002 LAZARD CAPITAL MARKETS LLC  
022 C000002 3823  
022 D000002 0  
022 A000003 WELLS FARGO BANK N.A.  
022 C000003 2826  
022 D000003 0  
022 A000004 MAXIM FINANCIAL CORPORATION  
022 C000004 2669  
022 D000004 0  
022 A000005 PERSHING LLC  
022 C000005 2425  
022 D000005 0  
022 A000006 COHEN & COMPANY  
022 C000006 1756  
022 D000006 0  
022 A000007 LADENBURG THALMAN & COMPANY  
022 C000007 1738  
PAGE 3  
022 D000007 0  
022 A000008 CITIGROUP GLOBAL MARKETS, INC.  
022 C000008 1569  
022 D000008 0  
022 A000009 RODMAN & RENSHAW  
022 C000009 1128  
022 D000009 0  
022 A000010 EARLY BIRD CAPITAL, INC.  
022 C000010 814  
022 D000010 0  
023 C000000 22235  
023 D000000 4234  
024 000000 N  
025 D000001 0  
025 D000002 0  
025 D000003 0

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| 042 | F000000 | 0    |
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042 H000000 0  
 043 000000 0  
 044 000000 0  
 045 000000 Y  
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 047 000000 Y  
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 048 K010000 0  
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 049 000000 N  
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062 D000000 0.0  
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PAGE 6

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070 N020000 N  
070 O010000 Y  
070 O020000 N  
070 P010000 Y  
070 P020000 N  
070 Q010000 Y

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| 070    | Q020000 | N  |        |
| 070    | R010000 | Y  |        |
| 070    | R020000 | N  |        |
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| PAGE 7 |         |    |        |
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| 074    | I000000 |    | 58235  |
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| PAGE 8 |         |    |        |
| 074    | M000000 |    | 19     |
| 074    | N000000 |    | 112285 |
| 074    | O000000 |    | 1646   |
| 074    | P000000 |    | 92     |

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|           |              |                           |
|-----------|--------------|---------------------------|
| 074       | Q000000      | 0                         |
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| 074       | R020000      | 0                         |
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| 074       | T000000      | 106864                    |
| 074       | U010000      | 6676                      |
| 074       | U020000      | 0                         |
| 074       | V010000      | 16.01                     |
| 074       | V020000      | 0.00                      |
| 074       | W000000      | 0.0000                    |
| 074       | X000000      | 206                       |
| 074       | Y000000      | 0                         |
| 075       | A000000      | 0                         |
| 075       | B000000      | 111334                    |
| 076       | 000000       | 14.50                     |
| 077       | A000000      | Y                         |
| 077       | B000000      | Y                         |
| 077       | C000000      | Y                         |
| 077       | Q020000      | Y                         |
| 078       | 000000       | N                         |
| 080       | A000000      | FEDERAL INSURANCE COMPANY |
| 080       | C000000      | 525000                    |
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| 081       | B000000      | 0                         |
| 082       | A000000      | N                         |
| 082       | B000000      | 0                         |
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| 085       | A000000      | Y                         |
| 085       | B000000      | N                         |
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| 086       | A020000      | 0                         |
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| 086       | B020000      | 0                         |
| 086       | C010000      | 0                         |
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| 087       | A010000      | COMMON STOCK              |
|           | PAGE         | 9                         |
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| 087       | A030000      | SPE                       |
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| 088       | B000000      | N                         |
| 088       | C000000      | N                         |
| 088       | D000000      | N                         |
| SIGNATURE | ANDREW DAKOS |                           |
| TITLE     | PRESIDENT    |                           |