Edgar Filing: REGAL BELOIT CORP - Form 4

Form 4 May 13, 2016 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Form 4 or Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 10. Check this box if no longense Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 10. Check all applicable) 1. Name and Address of Reporting Person 1 2. Issuer Name and Ticker or Trading Avampato John Xampato John Symbol Class (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 200 STATE STREET 05/11/2016 Street A. If Amendment, Date Original Filed/Math/Day/Year) Check all applicable) Check all applicable (Information Officer (Street) 4. If Amendment, Date Original Filed/Math/Day/Year) Common (Check all applicable) Check all applicable (Information Officer Check all applicable) Check all applicable (Information Officer (Check all applicable) Check all applicable (Information Officer (Check all applicable) Check Applicable Line) Common Stock Code V Amount (D) Code V Amount (D) Check A applicable (Information Officer Check A applicable (Infor	REGAL BEI	LOIT CORP										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL Washington, D.C. 20549 OMB APPROVAL Mumber: 3235-0287 Check this how if no longer section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES State Manuary 31, 2005 Expires: 2005 Section 16. Form 4 or form 5 obligations may continue. Section 17(a) of the Dublic Utility Holding Company Act of 1935 or Section 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1935, or Section 30(h) of the Investment Company Act of 1940 State average burden hours per response. 0.5 1. Name and Address of Reporting Person 1 (b). 2. Issuer Name and Ticker or Trading Symbol S. Relationship of Reporting Person(s) to Issuer S. Relationship of Reporting Person(s) to Issuer 200 STATE STREET 05/11/2016 Eleform Director below) Director VP & Chief Information Officer 8ELOIT, WI 53511 City Sate I - Non-Derivative Securities Code S. Anount of Boy One Reporting Person Person 6. Individual or JointGroup Tiling(Check Applicable Line) -X_Form filed by More than One Reporting Person (Instr. 3) (Month/Day/Year) 3. As Courtilies any Code S. Anount (D) Disposed of (D) Beneficially More than One Reporting Person 6. Ownership Person (Instr. 3) (Month/Day/Year) S. Anount (D) (Month/Day/Year) S. Anount (D) Securities Securities (Instr. 3) S. A		6										
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Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person BELOIT, WI 53511 City (Zip) Table I - Non-Derivative Securities Securities Applicable Line) _X_ Form filed by More than One Reporting Person 1.Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 3. 4. Securities 5. Amount of Disposed of (D) 6. Ownership Form: Direct 7. Nature of Form: Direct (Instr. 3) (Month/Day/Year) (Month/Day/Year) 3. 4. Securities (Instr. 8) 5. Amount of Disposed of (D) 6. Ownership Beneficially Owned Following (Instr. 4) 6. Ownership (D) or 7. Nature of Form: Direct Common Stock 05/11/2016 A V Amount (D) Price Common 05/11/2016 A 1,750 (L) A \$ 0 10,597 D	200 STATE	STREET			-				X Officer (g below)	ive title C below)	Other (specify	
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		05/11/2016			А		А	\$0	10,597	D		
	Common								1,165 <u>(2)</u>	I		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	Securities	Expiration Date			7. Title and Amou Underlying Securi (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Am or Nur of Sha	
Stock Appreciation Rights	\$ 57.43	05/11/2016		А	6,825	05/11/2018 <u>(3)</u>	05/11/2026	Common Stock	6,8	
Stock Appreciation Rights	\$ 44.12					05/01/2009 <u>(4)</u>	05/01/2017	Common Stock	2,5	
Stock Appreciation Rights	\$ 42.28					05/02/2010 <u>(4)</u>	05/02/2018	Common Stock	6,0	
Stock Appreciation Rights	\$ 42.65					05/08/2011 <u>(4)</u>	05/08/2019	Common Stock	6,0	
Stock Appreciation Rights	\$ 61.36					05/05/2012 <u>(4)</u>	05/05/2020	Common Stock	6,0	
Stock Appreciation Rights	\$ 72.29					05/04/2013 <u>(4)</u>	05/04/2021	Common Stock	6,0	
Stock Appreciation Rights	\$ 63.56					05/03/2014 <u>(4)</u>	05/03/2022	Common Stock	6,:	
Stock Appreciation Rights	\$ 64.99					05/02/2015 <u>(3)</u>	05/02/2023	Common Stock	3,8	
Stock Appreciation Rights	\$ 75.76					05/07/2016 <u>(3)</u>	05/07/2024	Common Stock	3,0	
Stock Appreciation Rights	\$ 78.15					05/12/2017 <u>(3)</u>	05/12/2025	Common Stock	4,:	

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Avampato John 200 STATE STREET BELOIT, WI 53511			VP & Chief Information Officer					
Signatures								
/s/ Thomas E. Valentyn as Power o Attorney	f	05/13/2016						
**Signature of Reporting Person		Date						
Explanation of Resp	onses.							

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Units (RSUs) granted to the reporting person under the 2013 Equity Incentive Plan. RSUs vest 100% on the third anniversary date of the grant. Each RSU is equal to one share of Common Stock and is payable only in stock.
- (2) Balance reflects the most current data available with regard to holdings in the Regal Beloit Corporation Retirement Savings Plan.
- Granted as stock settled Stock Appreciation Rights (SARs) under the 2013 Equity Incentive Plan. The SARs vest and become exercisable
 (3) 40% on the second anniversary, 60% on the third anniversary, 80% on the fourth anniversary and 100% on the fifth anniversary of the grant date.
- (4) Granted as stock-settled SARs under the 2007 Equity Incentive Plan. The SARs vest and become exerciseable 40% on the second
- anniversary of the date of grant, 60% on the third anniversary, 80% on the fourth anniversary and 100% on the fifth anniversary.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.