

ASBJORNSON NORMAN H  
 Form 4  
 January 04, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 ASBJORNSON NORMAN H

2. Issuer Name and Ticker or Trading Symbol  
 AAON INC [AAON]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
 AAON INC, 2425 SOUTH YUKON  
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)  
 01/02/2019

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
 CEO

TULSA, OK 74107

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |   |                    |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|---|--------------------|
| Common Stock, par value \$.004  | 01/02/2019                           |  | F                              | 313   | D   | \$ 35.07   | 9,110,083   | D |                    |
| Common Stock, par value \$.004  |                                      |  |                                |   |   |  | 154,000   | I | Private Foundation |
| Common Stock, par value \$.004  |                                      |  |                                |   |   |  | 554,108   | I | Trustee of trusts  |

Common Stock, par value \$.004 6,208 I 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. P Der Sec (Ins |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------|----------------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title             | Amount or Number of Shares |
| Stock Option (Right to Buy)                | \$ 23.57   |                                      |  |                                |   | 02/26/2016   | 02/26/2025  | Common Stock      | 38,320                     |
| Stock Option (Right to Buy)                | \$ 20.92   |                                      |  |                                |   | 01/26/2017   | 01/26/2026  | Common Stock      | 34,990                     |
| Stock Option (Right to Buy)                | \$ 34.4  |                                      |  |                                |   | 02/22/2018   | 02/22/2027  | Common Stock      | 35,870                     |
| Stock Option (Right to Buy)                | \$ 36.95   |                                      |  |                                |   | 01/02/2019   | 01/02/2028  | Common Stock      | 115,700                    |

## Reporting Owners

Reporting Owner Name / Address Relationships  
 Director 10% Owner Officer Other

ASBJORNSON NORMAN H  
AAON INC  
2425 SOUTH YUKON X X CEO  
TULSA, OK 74107

## Signatures

Norman H.  
Asbjornson 01/04/2019

\*\*Signature of  
Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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